

Partial Spreads and Flocks over Infinite Fields

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Spreads

A *partial spread* in projective 3-space $\mathbb{P}^3 F$ is a set of mutually skew (i.e. mutually disjoint) lines. Its *deficiency* is the number of points not covered.

A *spread* is a set of mutually skew lines which partitions the points (i.e. deficiency=0).

A *dual spread* is a set Σ of mutually skew lines such that every plane contains a unique $\ell \in \Sigma$. (For $|F| < \infty$, a spread is the same as a dual spread.)

A *bispread* is a spread which is also a dual spread.

Spread of $\mathbb{P}^3 F \leftrightarrow$ Translation plane of dimension 2 over F



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Possible deficiencies of partial spreads over various fields F :

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\mathbb{F}_q	$k(q+1)$
\mathbb{Q}	$\leq \aleph_0$
\mathbb{R}	$\leq 2^{\aleph_0}$

$$0 \leq k \leq q^2 + 1$$

Note: $|\mathbb{R}| = 2^{\aleph_0}$



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Theorem

If $\mathcal{B} = \{B_1, \dots, B_n\}$ are distinct points of $\mathbb{P}^3\mathbb{Q}$, then there exists a partial spread of $\mathbb{P}^3\mathbb{Q}$ of deficiency n omitting precisely the points of \mathcal{B} .

Proof. Enumerate the points of $\mathbb{P}^3\mathbb{Q} \setminus \mathcal{B}$ as P_0, P_1, P_2, \dots
Inductively define a chain of partial spreads

$$\Sigma_0 \subseteq \Sigma_1 \subseteq \Sigma_2 \subseteq \dots$$

such that $P_i \in \bigcup \Sigma_j$ whenever $i < j$; and the partial spread $\Sigma = \bigcup_{j \geq 0} \Sigma_j$ satisfies $\bigcup \Sigma = \mathbb{P}^3\mathbb{Q} \setminus \mathcal{B}$. Define $\Sigma_0 = \emptyset$ and

$$\Sigma_{j+1} = \begin{cases} \Sigma_j, & \text{if } P_j \in \bigcup \Sigma_j; \\ \Sigma_j \cup \{\ell\}, & \text{if } P_j \notin \bigcup \Sigma_j; \text{ line } \ell \ni P_j \text{ disjoint from } \mathcal{B} \cup (\bigcup \Sigma_j). \end{cases}$$



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Improvement, also by induction:

Theorem

Every finite partial spread of $\mathbb{P}^3\mathbb{Q}$ can be completed to a spread.

Moreover if $\Sigma = \{\ell_1, \dots, \ell_m\}$ is a partial spread and $\mathcal{B} = \{B_1, B_2, \dots, B_n\}$ are distinct points not in $\bigcup \Sigma$, then Σ may be extended to a partial spread of deficiency n omitting precisely the points of \mathcal{B} .



A similar result holds for *real* projective 3-space, by transfinite induction:

Theorem

Every partial spread Σ of $\mathbb{P}^3\mathbb{R}$ of cardinality $|\Sigma| < 2^{\aleph_0}$ can be completed to a spread.

Moreover if $\mathcal{B} \subset \mathbb{P}^3\mathbb{R}$ is a point set of cardinality $|\mathcal{B}| < 2^{\aleph_0}$ disjoint from $\bigcup \Sigma$, then Σ may be extended to a partial spread omitting precisely the points of \mathcal{B} (deficiency = $|\mathcal{B}|$).

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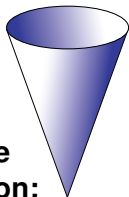
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Quadratic Cones



**Projective
description:**

$$\underbrace{(W, X, Y, Z) \text{ such that } \alpha X^2 + \beta XY + \gamma Y^2 = W^2}_{\text{irreducible}}$$

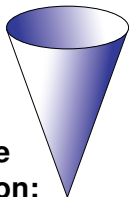


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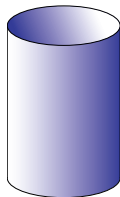


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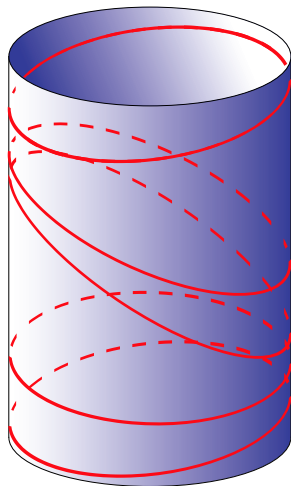


Flocks

A **partial flock of the cone** is a collection of mutually disjoint conics (plane sections) of the cylinder (i.e. the cone minus its vertex).

The **deficiency** of a partial flock is the number of points of the cylinder not covered.

A **flock of the cone** is a partition of the points of the cylinder into conics (deficiency=0).

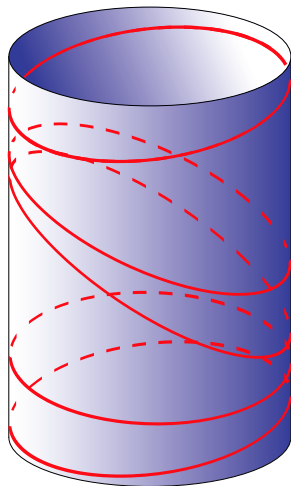


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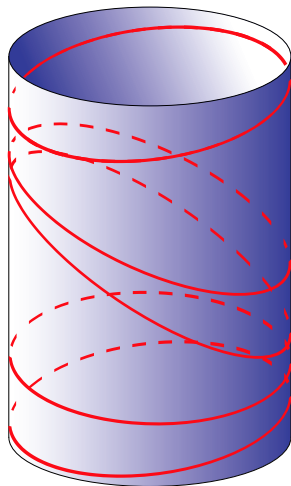


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Possible deficiencies of partial flocks over various fields F :

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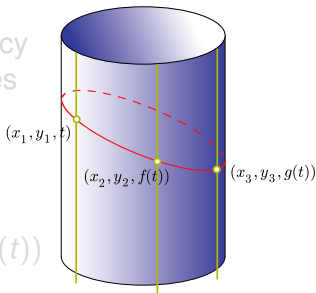


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A partial flock of a real quadratic cone has deficiency 0 or 2^{\aleph_0} .

Proof. Consider a partial flock of deficiency $< 2^{\aleph_0}$. Then there exist at least three lines of the cylinder, each of which is covered by the flock. Ellipses of the flock meet these lines in points

$$(x_1, y_1, t), (x_2, y_2, f(t)), (x_3, y_3, g(t))$$



for $t \in \mathbb{R}$ where $f, g : \mathbb{R} \rightarrow \mathbb{R}$ are bijective and increasing, hence continuous. Now the ellipses of the flock must cover the entire cylinder. □

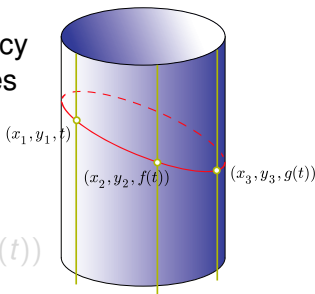


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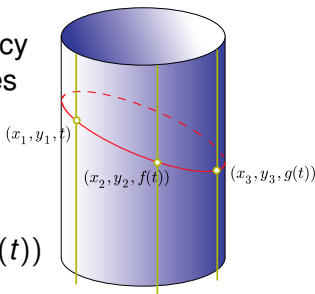


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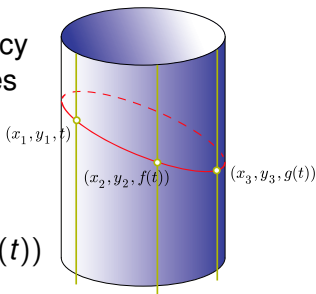


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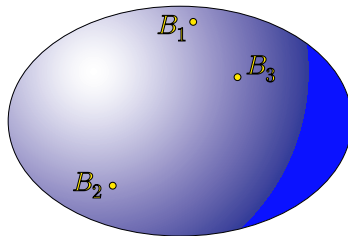
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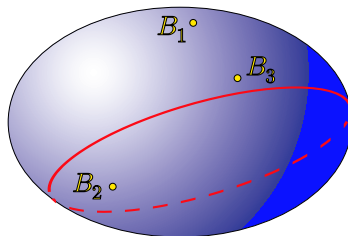
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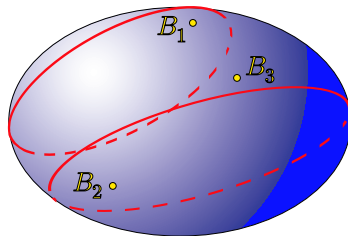
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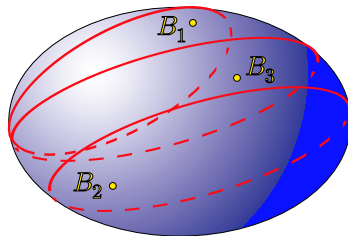
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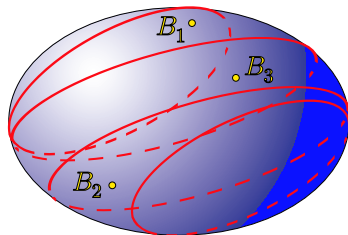
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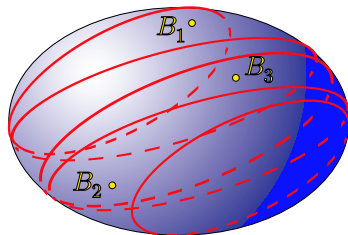
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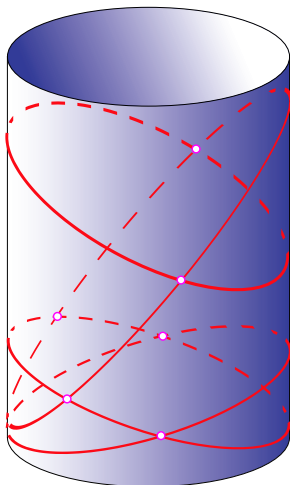


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We may replace \mathbb{Q} by any countable subfield of \mathbb{R} .



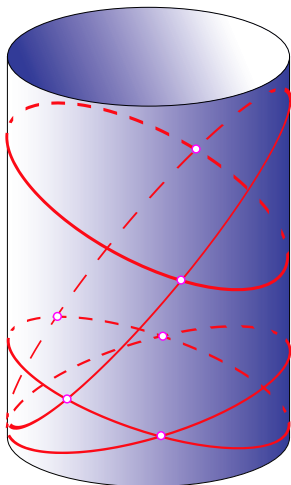


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Another argument is required to prove that finite partial flocks can be completed.



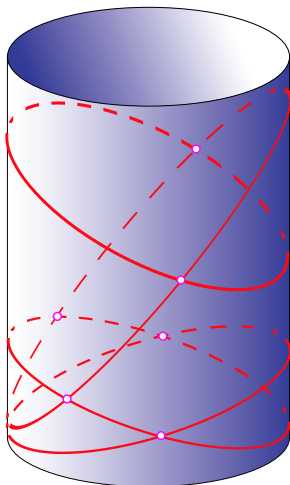


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The p -adic norm

Let p be a prime. Define the p -adic norm of a rational number by

$$\|p^r \frac{a}{b}\|_p = p^{-r}$$

where $a, b, r \in \mathbb{Z}$ with $p \nmid ab$; also

$$\|0\|_p = 0.$$

This satisfies

- (i) $\|x + y\|_p \leq \max\{\|x\|_p, \|y\|_p\}$ with equality whenever $\|x\|_p \neq \|y\|_p$; and
- (ii) $\|xy\|_p = \|x\|_p \|y\|_p$.

For every *nonzero* $x \in \mathbb{Q}$,

$$|x| \times \|x\|_2 \times \|x\|_3 \times \|x\|_5 \times \|x\|_7 \times \|x\|_{11} \times \cdots = 1$$

where all but finitely many factors are 1.



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where all but finitely many factors are 1.



The p -adic norm

Let p be a prime. Define the p -adic norm of a rational number by

$$\|p^r \frac{a}{b}\|_p = p^{-r}$$

where $a, b, r \in \mathbb{Z}$ with $p \nmid ab$; also

$$\|0\|_p = 0.$$

This satisfies

- (i) $\|x + y\|_p \leq \max\{\|x\|_p, \|y\|_p\}$ with equality whenever $\|x\|_p \neq \|y\|_p$; and
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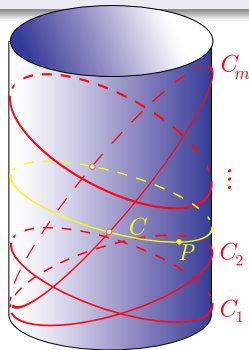
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Lemma

Let C_1, \dots, C_m be ellipses on the affine cylinder $X^2 + Y^2 = 1$ over \mathbb{Q} , and let $P \notin C_1 \cup C_2 \cup \dots \cup C_m$ be a point of the cylinder. Then there exists an ellipse C on the cylinder containing P , and disjoint from each of the ellipses C_1, C_2, \dots, C_m .



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Proof. WLOG $P = (1, 0, 0)$ since the cylinder admits a point-transitive group of automorphisms

$$(x, y, z) \mapsto (ax+by, -bx+ay, z+c), \quad a^2+b^2=1, \quad a, b, c \in \mathbb{Q}.$$

$$C_i : Z = \alpha_i X + \beta_i Y + \gamma_i, \quad i=1, 2, \dots, m; \quad \alpha_i, \beta_i, \gamma_i \in \mathbb{Q}; \quad \alpha_i + \gamma_i \neq 0.$$

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Choose distinct primes $p_1, \dots, p_m \equiv 3 \pmod{4}$ such that $\|\alpha_i + \gamma_i\|_{p_i} = 1$.

By Weak Approximation, there exist $\alpha, \beta \in \mathbb{Q}$ such that

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for $i = 1, 2, \dots, m$. The planes of \mathcal{C} and \mathcal{C}_i intersect in a line which satisfies

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where

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We may *also* prescribe points B_1, \dots, B_n of the cylinder, and insist that C does not contain any of these.



Theorem

Let B_1, \dots, B_n be distinct rational points on the cylinder $X^2 + Y^2 = 1$. Let \mathcal{C} be a partial flock of the cylinder not covering any of the points B_i . Then \mathcal{C} extends to a partial flock of the cylinder covering all points of the cylinder except B_1, \dots, B_n .

Proof. Enumerate the rational points of the cylinder *other than* B_1, \dots, B_n as P_0, P_1, P_2, \dots . Using the Lemma we inductively define a sequence of partial flocks

$$\mathcal{C}_0 = \mathcal{C} \subseteq \mathcal{C}_1 \subseteq \mathcal{C}_2 \subseteq \dots$$

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Ovoids

Let \mathcal{P} be a classical polar space (e.g. a nondegenerate quadric in $\mathbb{P}^n F$).

A *cap* in \mathcal{P} is a set of points \mathcal{C} in \mathcal{P} , such that every generator (i.e. maximal projective subspace) $U \subset \mathcal{P}$ satisfies $|U \cap \mathcal{C}| \leq 1$.

An *ovoid* is a set of points \mathcal{O} in \mathcal{P} , such that every generator $U \subset \mathcal{P}$ satisfies $|U \cap \mathcal{O}| = 1$.

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Let \mathcal{P} be a classical polar space over an infinite field F . If \mathcal{C} is a cap in \mathcal{P} with $|\mathcal{C}| < |F|$, then \mathcal{C} extends to an ovoid of \mathcal{P} .



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Let $\gamma = |F|$, the smallest ordinal with $|\gamma| = |F|$. Enumerate the generators as $\{U_\alpha\}_{\alpha < \gamma}$. Inductively define a chain of caps \mathcal{O}_β for $\beta \leq \gamma$, with

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For arbitrary $\beta < \gamma$, define

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