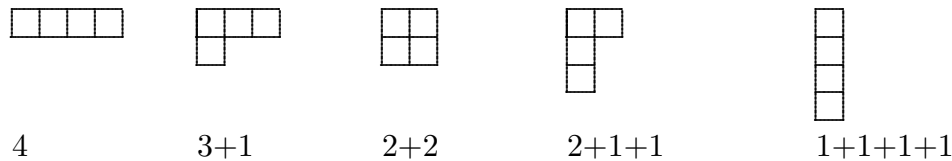


## The Partition Function

The partition function  $p(n)$  expresses the number of ways of partitioning  $n$  identical objects into nonempty piles, where the order of the piles does not matter. For example,  $p(4) = 5$  since we have

$$4 = 3+1 = 2+2 = 2+1+1 = 1+1+1+1.$$

Each partition is denoted as a tuple in which the sizes of the parts ('piles') are listed in weakly decreasing order; for example the partition  $4 = 2+1+1$  is denoted by  $(2, 1, 1) \vdash 4$  where the symbol ' $\vdash$ ' means 'is a partition of'. We also denote each partition graphically by a **Ferrers diagram** (or **Young diagram**) whose rows indicate the parts of the partition. For example, here are the five partitions of 4, together with their Ferrers diagrams:

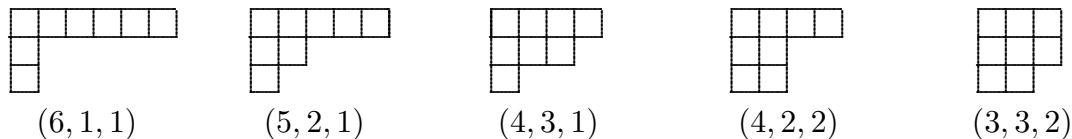


Here are the first few values of the partition function:

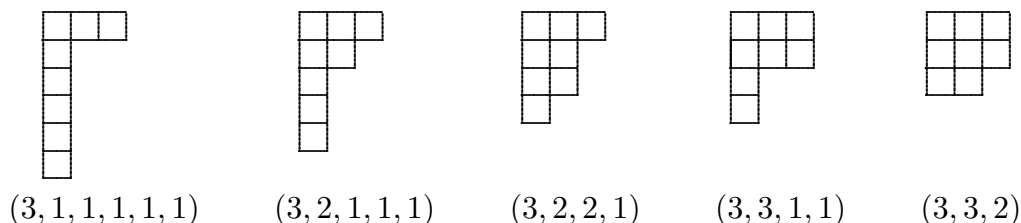
$n$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
$p(n)$	1	1	2	3	5	7	11	15	22	30	42	56	77	101	135	176	231

In slightly different language,  $p(n)$  is the number of partitions of  $n$  into nonempty parts. It should be clear why we require the parts to be nonempty: without this requirement, we could have an unlimited number of empty parts (e.g.  $4+0 = 4+0+0 = 4+0+0+0 = \text{etc.}$ ) with the resulting number of 'partitions' being infinite, which we clearly want to avoid.

Refining our count, we have  $p(n) = \sum_{k=1}^n p_k(n)$  where  $p_k(n)$  is the number of partitions of  $n$  into  $k$  nonempty parts. Thus for example  $p_3(8) = 5$ :



Rather than limiting the number of parts, we may choose to limit the size of each part. For example there are exactly five partitions of 8 into nonempty parts of maximum size 3:



Note that the preceding list of Ferrers diagrams comes from the previous list, by reflection across the  $-45^\circ$  line through the upper left corner. This operation is called **conjugation**; for example, the conjugate of the partition  $(6, 1, 1)$  is the partition  $(3, 1, 1, 1, 1, 1)$ . Note that the partition  $(3, 3, 2)$  is conjugate to itself; it is **self-conjugate**. Evidently, conjugation establishes a one-to-one correspondence between partitions of  $n$  having  $k$  parts, and partitions of  $n$  having largest part  $k$ . In each case, the number of partitions is  $p_k(n)$ . Note that the number of partitions of  $n$  having parts of size  $\leq k$  is not  $p_k(n)$ , but rather  $p_1(n) + p_2(n) + \cdots + p_k(n)$ .

In summary, a **partition** of an integer  $n$  with  $k$  parts is a tuple  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$  of positive integers  $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_k \geq 1$  satisfying  $\lambda_1 + \lambda_2 + \cdots + \lambda_k = n$ . If these conditions are satisfied, we write  $\lambda \vdash n$ ; and we call  $\lambda_1, \lambda_2, \dots, \lambda_k$  the **parts** of the partition.

**Theorem 1.** The generating function for the partition function  $p(n)$  is

$$\sum_{n=0}^{\infty} p(n)x^n = \prod_{k=1}^{\infty} \frac{1}{1-x^k}.$$

*Proof.* 
$$\prod_{k=1}^{\infty} \frac{1}{1-x^k} = \prod_{k=1}^{\infty} (1 + x^k + x^{2k} + x^{3k} + x^{4k} + \cdots)$$

$$= (1+x+x^2+x^3+\cdots)(1+x^2+x^4+x^6+\cdots)(1+x^3+x^6+x^9+\cdots) \times \cdots.$$

A general term in this expansion has the form  $x^{r_1+2r_2+3r_3+4r_4+\cdots}$  where  $r_1, r_2, r_3, r_4, \dots$  are non-negative integers. Now we collect terms. The coefficient of  $x^n$  in the expansion is of course the number of tuples of non-negative integers  $(r_1, r_2, r_3, r_4, \dots)$  satisfying

$$(*) \quad r_1 + 2r_2 + 3r_3 + 4r_4 + \cdots = n.$$

Evidently every solution of  $(*)$  has only finitely many positive  $r_i$ 's, beyond which all the remaining  $r_i$ 's must be zero. Moreover every solution of  $(*)$  corresponds to a partition of  $n$  in which we have  $r_1$  parts of size 1,  $r_2$  parts of size 2,  $r_3$  parts of size 3, etc. So the number of solutions of  $(*)$  is exactly  $p(n)$ , the number of partitions of  $n$ . This gives the result.  $\square$

Typical symbolic computation engines will not be able to store the infinite product  $\prod_{k=1}^{\infty} \frac{1}{1-x^k}$  directly. Instead, a finite product  $\prod_{k=1}^m \frac{1}{1-x^k}$  may be used with  $m$  sufficiently large. Indeed, the series expansion of the full generating function in all terms up to degree  $m$ . So by taking  $m \geq n$  and reading coefficients of the power series expansion, we may correctly compute  $p(0), p(1), p(2), \dots, p(n)$ . The following Maple session evaluates the values of  $p(n)$  given in our earlier table of values:

```

> product(1/(1-x^k), k=1..20);
1/((1-x) (-x^2+1) (-x^3+1) (-x^4+1) (-x^5+1) (-x^6+1) (-x^7
+1) (-x^8+1) (-x^9+1) (-x^10+1) (-x^11+1) (-x^12+1) (
-x^13+1) (-x^14+1) (-x^15+1) (-x^16+1) (-x^17+1) (-x^18
+1) (-x^19+1) (-x^20+1))
> series(% , x=0, 17);
1+x+2x^2+3x^3+5x^4+7x^5+11x^6+15x^7+22x^8+30x^9+42x^10
+56x^11+77x^12+101x^13+135x^14+176x^15+231x^16+O(x^17)

```

**Theorem 2.** Fix a positive integer  $k$ .

- (a) The generating function for  $p_k(n)$ , the number of partitions of  $n$  with  $k$  parts (or largest part  $k$ ) is

$$\sum_{n=1}^{\infty} p_k(n)x^n = \frac{x^k}{(1-x)(1-x^2)\cdots(1-x^k)}.$$

- (b) The generating function for  $p_1(n)+p_2(n)+\cdots+p_k(n)$ , the number of partitions of  $n$  with at most  $k$  parts (or parts of size at most  $k$ ) is

$$\sum_{n=1}^{\infty} (p_1(n)+p_2(n)+\cdots+p_k(n))x^n = \frac{1}{(1-x)(1-x^2)\cdots(1-x^k)}.$$

*Proof.* We first prove (b). We may interpret  $p_1(n)+p_2(n)+\cdots+p_k(n)$  as the number of partitions of  $n$  into parts of size at most  $k$  (since by conjugation, we know that this is the same as the number of partitions of  $n$  into at most  $k$  parts). Now

$$\frac{1}{(1-x)(1-x^2)\cdots(1-x^k)} = (1+x+x^2+x^3+\cdots)(1+x^2+x^4+x^6+\cdots)\times\cdots\times(1+x^k+x^{2k}+x^{3k}+\cdots).$$

A typical term in the expansion of this product has the form  $x^{r_1+2r_2+3r_3+\cdots+kr_k}$  where  $r_1, r_2, \dots, r_k$  are non-negative integers satisfying

$$(\dagger) \quad r_1+2r_2+3r_3+\cdots+kr_k = n.$$

Again, every solution of  $(\dagger)$  corresponds to a partition of  $n$  into parts of size at most  $k$  (by taking  $r_1$  parts of size 1,  $r_2$  parts of size 2,  $\dots$ ,  $r_k$  parts of size  $k$ ). So the number of solutions of  $(\dagger)$  is  $p_1(n)+p_2(n)+\cdots+p_k(n)$ , the number of partitions of  $n$  into parts. This gives (b).

For (a), we have

$$\begin{aligned}
\sum_{n=0}^{\infty} p_k(n)x^n &= \sum_{n=1}^{\infty} (p_1(n)+p_2(n)+\cdots+p_k(n))x^n - \sum_{n=1}^{\infty} (p_1(n)+p_2(n)+\cdots+p_{k-1}(n))x^n \\
&= \frac{1}{(1-x)(1-x^2)\cdots(1-x^{k-1})(1-x^k)} - \frac{1}{(1-x)(1-x^2)\cdots(1-x^{k-1})} \\
&= \frac{1}{(1-x)(1-x^2)\cdots(1-x^{k-1})} \left[ \frac{1}{1-x^k} - 1 \right] \\
&= \frac{1}{(1-x)(1-x^2)\cdots(1-x^{k-1})} \cdot \frac{x^k}{1-x^k}. \quad \square
\end{aligned}$$

Previously we determined  $p_3(8) = 5$  by explicitly enumerating partitions of 8 with 3 parts (also partitions of 8 with largest part 3). Here is a Maple session in which  $p_3(8) = 5$  can be read from the coefficient of  $x^8$  using Theorem 2(a):

```

Untitled (1)* - [Server 1] - Maple 2018
File Edit View Insert Format Table Drawing Plot Tools Window Help
Search
Text Math Drawing Plot Animation Hide
C Maple Input Courier New 12 B I U
> x^3 / ((1-x) * (1-x^2) * (1-x^3));
      x^3
----- (1)
(1-x) (-x^2+1) (-x^3+1)
> series(%, x=0, 20);
x^3 + x^4 + 2x^5 + 3x^6 + 4x^7 + 5x^8 + 7x^9 + 8x^10 + 10x^11 + 12x^12 + 14x^13
+ 16x^14 + 19x^15 + 21x^16 + 24x^17 + 27x^18 + 30x^19 + O(x^20) (2)
> |

```

Note that we may recover Theorem 1 from Theorem 2(b) by letting  $k \rightarrow \infty$ . In the limit we have the generating function  $\lim_{k \rightarrow \infty} \frac{1}{(1-x)(1-x^2)\cdots(1-x^k)} = \prod_{i=1}^{\infty} \frac{1}{1-x^i}$ . The coefficient of  $x^n$  in the series expansion of the infinite product is  $p_1(n)+p_2(n)+p_3(n)+\cdots = p(n)$ .