



$$F[\alpha] \cong F[t]/(f(t))$$

Algebra III

Fields



Computations in p -adic Fields

Let p be a prime. Recall that the ring \mathbb{Z}_p of p -adic integers consists of all expressions of the form

$$a_0 + a_1p + a_2p^2 + a_3p^3 + \cdots$$

where each $a_i \in \{0, 1, 2, \dots, p-1\}$. Finite (i.e. terminating) sums of the form above give all the non-negative integers (written in ‘reverse’ base p notation); and allowing infinite sums, we obtain a much larger ring of numbers which includes all those rational numbers which, in reduced form, have no p in the denominator. It is important to recognize that the digits a_i are just integers, *not* integers mod p . Also, the p -adic expansion (in which a number is expressed in terms of *ascending* powers of p) is very different from the usual base p representation (in terms of *descending* powers of p). For example we have

$$\frac{8}{3} = 2.666666\dots = 2 + \frac{6}{10} + \frac{6}{10^2} + \frac{6}{10^3} + \frac{6}{10^4} + \cdots \quad (\text{decimal expansion});$$

$$\frac{8}{3} = \underbrace{2.313131\dots}_{\text{base 5}} = 2 + \frac{3}{5} + \frac{1}{5^2} + \frac{3}{5^3} + \frac{1}{5^4} + \cdots \quad (\text{base 5 expansion});$$

$$\frac{8}{3} = 1 + 2 \cdot 5 + 3 \cdot 5^2 + 5^3 + 3 \cdot 5^4 + \cdots \quad (5\text{-adic expansion}).$$

We will show, using the latter expansion as an example, how to obtain p -adic expansions of certain numbers, including rational numbers.

Allowing finitely many terms with negative exponent gives the field \mathbb{Q}_p of p -adic numbers; these are all expressions of the form

$$a_kp^k + a_{k+1}p^{k+1} + a_{k+2}p^{k+2} + a_{k+3}p^{k+3} + \cdots$$

where $k \in \mathbb{Z}$ and $a_i \in \{0, 1, 2, \dots, p-1\}$. In fact, \mathbb{Q}_p is the field of quotients of \mathbb{Z}_p . It is an extension of the ordinary rationals: $\mathbb{Q} \subset \mathbb{Q}_p$.

Let us arbitrarily consider $p = 5$ and give some computational examples in \mathbb{Q}_5 . For simplicity I’ll begin with some 5-adic integers (so there will be no 5’s in the denominator). An example is $\frac{8}{3}$. We wish to determine its 5-adic expansion

$$\frac{8}{3} = a_0 + a_15 + a_25^2 + a_35^3 + \cdots.$$

We can always find the coefficients $a_i \in \{0, 1, 2, 3, 4\}$ by brute force if necessary:

$$(1) \quad 8 = 3(a_0 + a_1 5 + a_2 5^2 + a_3 5^3 + \dots).$$

Since each $a_i \in \mathbb{Z}$ we can reduce modulo 5 to obtain $a_0 \equiv 1 \pmod{5}$, and the only possible digit is $a_0 = 1$. Substituting this into (1) and simplifying gives

$$(2) \quad 1 = 3(a_1 + a_2 5 + a_3 5^2 + a_4 5^3 + \dots).$$

This forces $3a_1 \equiv 1 \pmod{5}$ and so the only possible digit is $a_1 = 2$. Substituting this into (2) and simplifying again leaves

$$(3) \quad -1 = 3(a_2 + a_3 5 + a_4 5^2 + a_5 5^3 + \dots).$$

The only digit satisfying $3a_2 \equiv -1 \pmod{5}$ is $a_2 = 3$. Substituting this into (3) and simplifying again yields

$$(4) \quad -2 = 3(a_3 + a_4 5 + a_5 5^2 + a_6 5^3 + \dots).$$

The only digit satisfying $3a_3 \equiv -2 \pmod{5}$ is $a_3 = 1$. Substituting this into (4) and simplifying gives

$$(5) \quad -1 = 3(a_4 + a_5 5 + a_6 5^2 + a_7 5^3 + \dots).$$

This is the same as (3) but with the subscripts shifted by 2, which means that our sequence of digits has started to repeat. The digits are therefore $1, 2, 3, 1, 3, 1, 3, 1, 3, 1, \dots$ and so

$$\frac{8}{3} = 1 + 2 \cdot 5 + 3 \cdot 5^2 + 5^3 + 3 \cdot 5^4 + 5^5 + 3 \cdot 5^6 + 5^7 + \dots$$

We can verify this by collecting terms on the right hand side to obtain a geometric series:

$$\begin{aligned} & (1+2 \cdot 5) + (3 \cdot 5^2 + 5^3) + (3 \cdot 5^4 + 5^5) + (3 \cdot 5^6 + 5^7) + \dots \\ &= 11 + 200 + 200 \cdot 5^2 + 200 \cdot 5^4 + 200 \cdot 5^6 + \dots \\ &= 11 + \frac{200}{1-25} \\ &= 11 - \frac{25}{3} \\ &= \frac{8}{3}. \end{aligned}$$

It is easy to obtain the 5-adic expansion for $-\frac{8}{3}$ from that of $\frac{8}{3}$:

$$-\frac{8}{3} = 4 + 2 \cdot 5 + 5^2 + 3 \cdot 5^3 + 5^4 + 3 \cdot 5^5 + 5^6 + 3 \cdot 5^7 + \dots$$

as one can verify by adding the 5-adic expansions and watching all the terms cancel.

The partial sums of the 5-adic expansion of $\frac{8}{3}$ are

$$1, 11, 86, 211, 2086, 5211, 52086, 130211, \dots$$

This sequence converges 5-adically to $\frac{8}{3}$, i.e. these partial sums get closer and closer to $\frac{8}{3}$ according to the 5-adic notion of distance, approaching $\frac{8}{3}$ in the limit. Indeed the k -th partial sum differs from $\frac{8}{3}$ by a multiple of 5^k which has size $5^{-k} \rightarrow 0$ as $k \rightarrow \infty$. To find the size of a nonzero rational number in \mathbb{Q}_5 , first write it as $5^k \frac{a}{b}$ where $k, a, b \in \mathbb{Z}$, and a, b are not divisible by 5; then the 5-adic norm is given by

$$\left\| 5^k \frac{a}{b} \right\|_5 = 5^{-k}.$$

So for example, the distance between the fourth partial sum and $\frac{8}{3}$ is

$$\left\| 211 - \frac{8}{3} \right\|_5 = \left\| \frac{625}{3} \right\|_5 = \left\| \frac{5^4}{3} \right\|_5 = 5^{-4} = \frac{1}{625}.$$

Let us check our computations using Maple. We first load the `padic` package. The command `evalp` gives the p -adic expansion, in the same way that `evalf` gives the decimal expansion.

The screenshot shows a Maple 17 window with the following content:

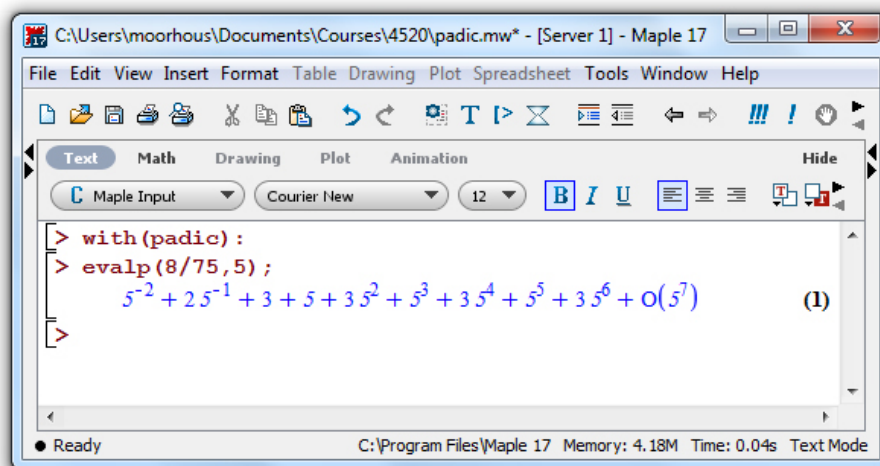
```

> with(padic):
> evalp(8/3,5);
      1+2 5+3 52+53+3 54+55+3 56+57+3 58+O(59)      (1)
By default, only the first 9 digits are shown; but you can request more:
> e:=evalp(8/3,5,20);
e:=1+2 5+3 52+53+3 54+55+3 56+57+3 58+59+3 510+511+3 512+513+3 514+515+3 516
  +517+3 518+O(519)      (2)
Compute the first 20 partial sums:
> seq(ratvaluep(e,k),k=1..20);
1, 11, 86, 211, 2086, 5211, 52086, 130211, 1302086, 3255211, 32552086, 81380211, 813802086,
  2034505211, 20345052086, 50862630211, 508626302086, 1271565755211,
  12715657552086, 31789143880211      (3)
Check the 5-adic expansion of -8/3:
> evalp(-8/3,5);
      4+2 5+52+3 53+54+3 55+56+3 57+58+O(59)      (4)
  
```

As an example of a 5-adic number which is not a 5-adic integer, divide our previous example by 25 to obtain

$$\frac{8}{75} = 5^{-2} + 2 \cdot 5^{-1} + 3 + 5 + 3 \cdot 5^2 + 5^3 + 3 \cdot 5^4 + 5^5 + \dots$$

Check:



Most p -adic numbers have non-repeating expansions and so are irrational. As an example, let us compute the 7-adic expansion of $\pm\sqrt{2} \in \mathbb{Z}_7$:

$$\begin{aligned} \pm\sqrt{2} &= b_0 + b_1 7 + b_2 7^2 + b_3 7^3 + b_4 7^4 + \dots; \\ 2 &= (b_0 + b_1 7 + b_2 7^2 + b_3 7^3 + b_4 7^4 + \dots)^2 \\ &= b_0^2 + (2b_0 b_1)7 + (2b_0 b_2 + b_1^2)7^2 + (2b_0 b_3 + 2b_1 b_2)7^3 + \dots \end{aligned}$$

The only values of $b_0 \in \{0, 1, 2, 3, 4, 5, 6\}$ satisfying $b_0^2 \equiv 2 \pmod{7}$ are 3 and 4. Whichever of these two choices we make, we can then uniquely solve for the remaining coefficients b_i . We thus obtain two possible values for $\pm\sqrt{2}$ in \mathbb{Z}_7 as expected. It is meaningless to distinguish which one is $\sqrt{2}$ and which one is $-\sqrt{2}$ since the ring \mathbb{Z}_7 is not ordered; more correctly, we have simply the two roots of $x^2 = 2$ in \mathbb{Z}_7 . For now, let us arbitrarily choose $b_0 = 3$:

$$\begin{aligned} 2 &= (3 + b_1 7 + b_2 7^2 + b_3 7^3 + b_4 7^4 + \dots)^2 \\ &= 9 + (6b_1)7 + (6b_2 + b_1^2)7^2 + (6b_3 + 2b_1 b_2)7^3 + \dots; \\ -1 &= 6b_1 + (6b_2 + b_1^2)7 + (6b_3 + 2b_1 b_2)7^2 + (6b_4 + 2b_1 b_3 + b_2^2)7^3 + \dots; \end{aligned}$$

The only digit $b_1 \in \{0, 1, 2, \dots, 6\}$ satisfying this mod 7 is $b_1 = 1$ so

$$\begin{aligned} -1 &= 6 + (6b_2 + 1)7 + (6b_3 + 2b_2)7^2 + (6b_4 + 2b_3 + b_2^2)7^3 + \dots; \\ -2 &= (6b_2) + (6b_3 + 2b_2)7 + (6b_4 + 2b_3 + b_2^2)7^2 + \dots \end{aligned}$$

This gives $b_2 = 2$. Continuing in this way, we can solve for one coefficient b_i at a time to obtain

$$\sqrt{2} = 3 + 7 + 2 \cdot 7^2 + 6 \cdot 7^3 + 7^4 + 2 \cdot 7^5 + 7^6 + 2 \cdot 7^7 + 4 \cdot 7^8 + \dots$$

The partial sums of this sequence are

$$3, 10, 108, 2166, 4567, 38181, 155830, 1802916, 24862120, \dots$$

which gives successively better approximate solutions of $x^2 = 2$ in \mathbb{Z}_7 : the k -th approximation solves the equation $x^2 = 2$ within $\frac{1}{7^k}$ in \mathbb{Q}_7 . For example, the fourth approximation 2166 satisfies

$$\|2166^2 - 2\|_7 = \|4691554\|_7 = \|1954 \cdot 7^4\|_7 = 7^{-4} = \frac{1}{2401}.$$

This naive approach requires k iterations to obtain k digits of $\sqrt{2}$.

There is a much faster approach, for which k iterations will give 2^k digits of $\sqrt{2}$: Newton's method. Recall (e.g. from Calculus I) that this method starts with an approximate root x_0 of the equation $f(x) = 0$, as the starting point of a sequence of approximate solutions $x_0, x_1, x_2, x_3, \dots$ where each successive approximation is found from the previous approximation by

$$x_{k+1} = x_k - \frac{f(x_k)}{f'(x_k)}.$$

This sequence may not converge, for a variety of reasons: maybe the equation $f(x) = 0$ has no solution, or maybe the first guess x_0 was chosen poorly. But when it works, it works *fast*, doubling the number of digits of accuracy at every iteration (much faster than the naive approach which only adds one more digit of accuracy at every iteration). This phenomenon of *quadratic convergence* works in \mathbb{Q}_p for the same reason that it works in \mathbb{R} (see any calculus textbook), although we omit the proof here.

Let's illustrate Newton's Method for approximating $\sqrt{2}$ with initial guess $x_0 = 3$, the leading term of our 7-adic expansion. We are looking for a root of $f(x) = x^2 - 2$, where $f'(x) = 2x$ so successive guesses are given by

$$x_{k+1} = x_k - \frac{x_k^2 - 2}{2x_k} = \frac{1}{2} \left(x_k + \frac{2}{x_k} \right).$$

This gives

$$\begin{aligned}
 x_0 &= 3, \\
 x_1 &= \frac{11}{6}, \\
 x_2 &= \frac{193}{132}, \\
 x_3 &= \frac{72097}{50952}, \\
 x_4 &= \frac{10390190017}{7346972688}, \\
 x_5 &= \frac{215912063945802350977}{152672884556058511392}, \\
 x_6 &= \frac{93236038714671382520186472510594280409857}{65927835226115610973831953438649073659968}, \\
 x_7 &= \frac{17385917830407401734168936857744523804462124059388058507474973971779744313674282497}{12293700395033181689521014498791482446089623473412299565850544862957438332187009152},
 \end{aligned}$$

etc. Here is a Maple session which completes these values:

```

C:\Users\moorhous\Documents\Courses\4520\padi3.mw* - [Server 1] - Maple 17
File Edit View Insert Format Table Drawing Plot Spreadsheet Tools Window Help
Text Math Drawing Plot Animation Hide
C Maple Input Courier New 12 B I U
Declare x_0, x_1, x_2, ..., x_8 to be a sequence whose values we will determine momentarily:
> x:=array(0..8): x[0]:=3;
                                x_0 := 3                                (1)
> for k from 0 to 7 do x[k+1] := (x[k]+2/x[k])/2; print(x[k]); od:
                                3
                                11
                                6
                                193
                                132
                                72097
                                50952
                                10390190017
                                7346972688
                                215912063945802350977
                                152672884556058511392
                                93236038714671382520186472510594280409857
                                65927835226115610973831953438649073659968
                                17385917830407401734168936857744523804462124059388058507474973971779744313674282497
                                12293700395033181689521014498791482446089623473412299565850544862957438332187009152
                                (2)
>

```

This sequence converges to $\sqrt{2}$ in both \mathbb{R} and \mathbb{Q}_7 ; and in both cases the convergence

