

Changing the Coefficient Ring

1. Modules

Let R be a ring with identity. A *(left)* R-module is an additive abelian group M together with an operation of 'scalar multiplication' $R \times M \to M$ such that for all $r, s \in R$ and $x, y \in M$ we have

- (i) r(x+y) = rx + ry;
- (ii) (r+s)x = rx + sx;

(iii)
$$1x = x;$$

(iv) r(sx) = (rs)x.

For example a module over a field R = F is the same thing as a vector space over F. An additive abelian group is the same thing as a module over the ring \mathbb{Z} . For arbitrary R, the *free module of rank* n over R is a module isomorphic to $R^n = R \oplus R \oplus \cdots \oplus R$. This is a module over R with coordinatewise multiplication by R. However, if we consider R^n as consisting of all $n \times 1$ column vectors over R, then R^n it is also a module over the ring $R^{n \times n}$ of all $n \times n$ matrices over R.

We shall primarily be concerned with the case R is commutative. In this case there is no distinction between left and right R-modules. [In the case R is noncommutative, we must distinguish between left R-modules and right R-modules because of (iv); in a right R-module we have (xr)s = x(rs). A right module over R is the same thing as a left module over the *opposite ring* R° which has the same elements and addition as R, but with multiplication defined by $r \circ s = sr$.]

A free module M over R is rather like a vector space in that it has a set of generators (which generate M by taking R-linear combinations), and a minimal generating set is rather like a basis: every minimal generating set has the same cardinality r which is the rank of M.

An arbitrary \mathbb{Z} -module (i.e. additive abelian group) G is a direct sum of cyclic groups, including possibly some infinite and some finite cyclic groups. Thus $G \cong \mathbb{Z}^r \oplus T(G)$ where T(G) is the *torsion subgroup of* G, defined as the set of elements of G of finite order. Also r is called the *rank* of G. As a \mathbb{Z} -module, G is free (of rank r) iff T(G) = 0.

If M and N are R-modules then an R-module homomorphism from M to N is a map $f: M \to N$ satisfying f(rx + r'x') = rf(x) + r'f(x') for all $r, r' \in R$ and $x, x' \in M$. The

usual isomorphism theorems for groups and rings extend to modules; for example the First Isomorphism Theorem gives $M/\ker f \cong f(M) \leq N$. The set $Hom_R(M, N)$ consisting of all *R*-module homomorphisms from *M* to *N* is itself an *R*-module. In particular we have the *dual R-module* $M^* = Hom(M, R)$. Also the collection of all endomorphisms of *M*, denoted $End(M) = End_R(M) = Hom_R(M, M)$, is an *R*-module; but more than this, since composition of elements of End(M) is defined, this makes End(M) a ring. It follows that End(M) is in fact an *R*-algebra.

2. Tensor Products

Let R be a commutative ring with identity, and let M and N be R-modules. The tensor product of M and N over R, denoted $M \otimes_R N$ or simply $M \otimes N$, is defined as the quotient ring A/B where A is the free module generated by the symbols (x, y) where $x \in M$ and $y \in N$; and B is the submodule generated by the expressions

$$(rx + r'x', y) - r(x, y) - r'(x', y),$$
 $(x, ry + r'y') - r(x, y) - r'(x, y')$

where $r, r' \in R$; $x, x' \in M$; $y, y' \in N$. Note that A is a free module of rank $|M| \cdot |N|$, which is often infinite. The coset (x, y) + B is denoted simply by $x \otimes y$. Informally, $M \otimes N$ is constructed by starting with R-linear combinations of the symbols $x \otimes y$ where $x \in M$ and $y \in N$, then imposing the bilinearity conditions

$$(rx + r'x') \otimes y = r(x \otimes y) + r'(x' \otimes y), \qquad x \otimes (ry + r'y') = r(x \otimes y) + r'(x \otimes y').$$

Note that the *only* identities that hold in $M \otimes N$, are those that are deducible from these bilinearity relations (just as the only relations that hold in a finitely presented group, are those that are deducible from the defining relations). In general the elements of $M \otimes N$ are not all of the form $x \otimes y$. The elements of this special form $x \otimes y$ are called *pure tensors*, and they generate $M \otimes N$ as an *R*-module.

2.1 Example Let $M = \mathbb{R}^3$, $N = \mathbb{C}$, $R = \mathbb{R}$. Consider the standard basis $\{e=(1,0,0), f=(0,1,0), g=(0,0,1)\}$ for $M = \mathbb{R}^3$, and the standard basis $\{1,i\}$ for $N = \mathbb{C}$ over \mathbb{R} . Then $M \otimes N$ is a 6-dimensional vector space over R with basis

$$\{e \otimes 1, e \otimes i, f \otimes 1, f \otimes i, g \otimes 1, g \otimes i\}.$$

A general element of $M \otimes N$ can be uniquely expressed in the form

$$\begin{aligned} a_1(e \otimes 1) + a_2(e \otimes i) + a_3(f \otimes 1) + a_4(f \otimes i) + a_5(g \otimes 1) + a_6(g \otimes i) \\ &= (a_1e + a_3f + a_5g) \otimes 1 + (a_2e + a_4f + a_6g) \otimes i \\ &= e \otimes (a_1 + a_2i) + f \otimes (a_3 + a_4i) + g \otimes (a_5 + a_6i) \end{aligned}$$

where $a_1, \ldots, a_6 \in \mathbb{R}$. The middle of these expressions shows how to uniquely decompose an arbitrary element of $\mathbb{R}^3 \otimes \mathbb{C}$ into its real part and its imaginary part, both of which are vectors in \mathbb{R}^3 . The latter formulation shows that $\mathbb{R}^3 \otimes \mathbb{C}$ actually consists of all \mathbb{C} -linear combinations of the original basis vectors $e, f, g \in \mathbb{R}^3$, so that $\mathbb{R}^3 \otimes \mathbb{C}$ is the *complexification* of the real vector space \mathbb{R}^3 .

The latter example admits several generalisations.

2.2 Example. Suppose M is an m-dimensional vector space over a field F, and let $E \supseteq F$ be an extension field of degree n (i.e. E has dimension n over F). Then $M \otimes_F E$ may be regarded not only as an mn-dimensional vector space over F, but also as an m-dimensional vector space over F, but also as an m-dimensional vector space over E. This is the most natural way to enlarge the field of coefficients of a vector space.

2.3 Example. Even more generally, if M and N are vector spaces of dimension m and n respectively, over a field F, then $M \otimes_F N$ is an mn-dimensional vector space over F. Indeed if $\{v_1, \ldots, v_m\}$ and $\{w_1, \ldots, w_n\}$ are bases for M and N respectively, then $\{v_i \otimes w_j : 1 \leq i \leq m, 1 \leq j \leq n\}$ is a basis for $M \otimes N$. This leads to our next example:

2.4 Example. Let M be the set of all $m \times 1$ column vectors over F, and let N be the set of all $1 \times n$ row vectors over F. Then $M \otimes_F N$ may be regarded as the set of all $m \times n$ matrices over F, in such a way that for all

$$x = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} \in M, \qquad y = [y_1, y_2, \dots, y_n] \in N$$

we have

$$x \otimes y = xy = \begin{bmatrix} x_1y_1 & x_1y_2 & \cdots & x_1y_n \\ x_2y_1 & x_2y_2 & \cdots & x_2y_n \\ \vdots & \vdots & & \vdots \\ x_my_1 & x_my_2 & \cdots & x_my_n \end{bmatrix} \in M \otimes_F N.$$

Note that the matrix product xy is bilinear in both x and y as required. Also note that the pure tensors $x \otimes y$ are just the matrices of rank ≤ 1 . If $r = \min\{m, n\}$ then every $m \times n$ matrix is expressible as a sum of r matrices of rank 1, so every vector in $M \otimes N$ is expressible as a sum of r pure tensors, and in general no fewer. The apparent lack of symmetry that asks us to write M as column vectors and N as row vectors, is explained in the next example.

2.5 Example. Let V and W be vector spaces of dimension m and n over a field F, respectively. Recall (Section 1) that $Hom(V,W) = Hom_F(V,W)$ denotes the set of all F-linear transformations $V \to W$; and $V^* = Hom_F(V,F)$ is the set of all F-linear

transformations $V \to F$, i.e. linear functionals on V. After choosing bases for V and W, we may express vectors in V and W as row vectors of size $1 \times m$ and $1 \times n$ respectively; also elements of Hom(V, W) are represented as $m \times n$ matrices over F. Linear functionals $f \in V^*$ are represented as $m \times 1$ matrices, i.e. column vectors over F. Indeed every linear functional $f \in V^*$ has the form

$$f(x) = [f_1, f_2, \dots, f_m] \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_m \end{bmatrix} = \sum_{1 \le i \le m} f_i x_i \in F$$

and so the matrix of $f: V \to F$ with respect to our basis for V, and the basis $\{1\}$ for F, is $[f_1, f_2, \ldots, f_m]$ where $f_i \in F$. Now it is evident that the isomorphism in Example 2.4 represents the identity $Hom_F(V, W) \cong V^* \otimes_F W$. A coordinate-free description of this isomorphism is given by mapping

$$V^* \times W \to Hom(V, W), \qquad (f, w) \mapsto f \otimes w$$

where $f \otimes w : V \to W$ is the linear transformation $v \mapsto f(v)w$.

Why the need for the dual V^* in place of V in the latter example? One answer is that we can replace V^* by V if we are only interested in V as an abstract *n*-dimensional vector space over F, for then V^* is also *n*-dimensional over F and so $Hom(V, W) \cong V^* \otimes W \cong$ $V \otimes W$. However, the isomorphism $V^* \cong V$ is not canonical (it requires first choosing bases for V and V^* , and there is no prefered choice of these bases). The comments in Example 2.5 show that the isomorphism $Hom(V, W) \cong V^* \otimes W$ is natural and canonical, while the isomorphism with $V \otimes W$ is not.

2.6 Example. Generalising the previous example, if R is any commutative ring with identity, and M and N are R-modules, then $Hom_R(M, N) \cong M^* \otimes N$.

A more useful way of understanding $M \otimes N$ than through the definition given above, is in terms of its universal property: Suppose S is an R-module and $f : M \times N \to S$ satisfies

$$f(rx + r'x', y) = rf(x, y) + r'f(x', y), \qquad f(x, ry + r'y') = rf(x, y) + r'f(x, y')$$

for all $r, r' \in R$; $x, x' \in M$; $y, y' \in N$. Then there exists a unique *R*-module homomorphism $\widehat{f}: M \otimes N \to S$ such that the following diagram commutes:



i.e. $f(x,y) = \hat{f}(x \otimes y)$ for all $(x,y) \in M \times N$. This gives a way to interpret bilinear maps as linear maps: doing so however requires replacing the domain $M \times N$ by $M \otimes N$. Wherever tensor products are used, it is most often this universal property of tensor products that is important. In fact many books actually *define* tensor products in terms of this universal property, as follows. Let W be an R-module, and let $u : M \times N \to W$ be R-bilinear, i.e. u(rx + r'x', y) = ru(x, y) + r'u(x', y) and similarly in the second argument. We call W (or more precisely the pair (W, u)) a tensor product of M and N if for every R-bilinear map $f : M \times N \to S$, there is a unique R-linear map $\hat{f} : W \to S$ such that $f = \hat{f} \circ u$. Now it is easy to see from this definition that if a tensor product of M and N exists then it is unique up to isomorphism. Then to prove existence one can use the quotient space construction given above.

2.7 Example. Let V and W be real vector spaces, and let $\widehat{V} = V \otimes_{\mathbb{R}} \mathbb{C}$ and $\widehat{W} = W \otimes_{\mathbb{R}} \mathbb{C}$ be the complexified vector spaces as in Examples 2.1, 2.2. (These are vector spaces over \mathbb{C} , such that $\dim_{\mathbb{C}}(\widehat{V}) = \dim_{\mathbb{R}}(V)$ and $\dim_{\mathbb{C}}(\widehat{V}) = \dim_{\mathbb{R}}(V)$). If $f: V \to W$ is a real linear transformation then there is a unique \mathbb{C} -linear map $\widehat{f}: \widehat{V} \to \widehat{W}$ extending f. This follows from the universal property as follows. First observe that $f: V \to W$ gives rise to a bilinear map

$$V \times \mathbb{C} \to \widehat{W}, \quad (v, \lambda) \mapsto f(v) \otimes \lambda.$$

By universality, there exists a unique \mathbb{R} -linear map $\widehat{f}: \widehat{V} \to \widehat{W}$ such that $\widehat{f}(v \otimes \lambda) = f(v) \otimes \lambda$ for all $\lambda \in \mathbb{C}$. But this property means that \widehat{f} is \mathbb{C} -linear. And if $g: \widehat{V} \to \widehat{W}$ is any \mathbb{C} -linear map restricting to f, i.e. $g(v \otimes 1) = f(v) \otimes 1$, then \mathbb{C} -linearity implies that $g(v \otimes \lambda) = f(v) \otimes \lambda = \widehat{f}(v \otimes \lambda)$ for all $\lambda \in \mathbb{C}$. Since \widehat{V} is the real span of the vectors $v \otimes \lambda$ for $v \in V$ and $\lambda \in \mathbb{C}$, this forces $g = \widehat{f}$.

Of course in the finite-dimensional case any matrix for f (with real entries) becomes a matrix for \hat{f} where we simply interpret the entries as complex numbers with zero imaginary part.

3. Computing Tor

Let M and N be R-modules, where R is a commutative ring with identity. The R-module Tor(M, N) is defined on p.263 of the textbook using free resolutions. We will not explain this here. For now assume the formulas on p.265 for computing Tor(M, N). To compute Tor(M, N) whenever M and N are \mathbb{Z} -modules, it suffices to use formulas (1), (2) and (3), together with the identity

$$Tor(\mathbb{Z}/m\mathbb{Z}, \mathbb{Z}/n\mathbb{Z}) \cong \mathbb{Z}/g\mathbb{Z}$$
 where $g = gcd(m, n)$

which follows from (5). For example

$$Tor(\mathbb{Z}\oplus(\mathbb{Z}/4\mathbb{Z}), (\mathbb{Z}/2\mathbb{Z})\oplus(\mathbb{Z}/4\mathbb{Z}))$$

$$\cong Tor(\mathbb{Z}, (\mathbb{Z}/2\mathbb{Z})\oplus(\mathbb{Z}/4\mathbb{Z})) \oplus Tor(\mathbb{Z}/4\mathbb{Z}, (\mathbb{Z}/2\mathbb{Z})\oplus(\mathbb{Z}/4\mathbb{Z}))$$

$$\cong 0 \oplus Tor(\mathbb{Z}/4\mathbb{Z}, \mathbb{Z}/2\mathbb{Z}) \oplus Tor(\mathbb{Z}/4\mathbb{Z}, \mathbb{Z}/4\mathbb{Z})$$

$$\cong (\mathbb{Z}/2\mathbb{Z}) \oplus (\mathbb{Z}/4\mathbb{Z}).$$

4. Exact Sequences

A sequence

$$\cdots \xrightarrow{f_{n+2}} C_{n+1} \xrightarrow{f_{n+1}} C_n \xrightarrow{f_n} C_{n-1} \xrightarrow{f_{n-1}} \cdots$$

(where the C_i 's are *R*-modules and each f_i is an *R*-module homomorphism) is exact if, for every *n*, the image $f_{n+1}(C_{n+1})$ coincides with the kernel of $f_n : C_n \to C_{n-1}$. A short exact sequence is an exact sequence of the form

$$0 \longrightarrow A \xrightarrow{f} B \xrightarrow{g} C \longrightarrow 0.$$

This implies that f is injective, and so we may identify A with the submodule $f(A) \subseteq B$; also g is surjective, so the First Isomorphism Theorem gives

$$C \cong B/A \cong B/f(A).$$

The latter isomorphism is equivalent to the existence of the short exact sequence given above. Given such an isomorphism, there may or may not exist a submodule $U \subseteq B$ complementary to A, i.e. satisfying $B = U \oplus A$; but if such a submodule exists then we must have $U \cong B/A \cong C$. In this case we say that the exact sequence *splits*. Note that even if such a complementary submodule $U \subseteq B$ exists, it need not be unique, nor is the choice of such a complementary submodule U natural or canonical. For example the short exact sequence of \mathbb{Z} -modules (i.e. additive abelian groups) given by

$$0 \longrightarrow \mathbb{Z} \xrightarrow{2} \mathbb{Z} \longrightarrow (\mathbb{Z}/2\mathbb{Z}) \longrightarrow 0$$

in which the arrow labeled '2' is the map $x \mapsto 2x$, is not split. Every exact sequence of vector spaces is split, although the choice of complementary subspace is not unique in general.

5. Homology with Coefficients

Let R be a commutative ring with identity, and let X be a topological space with a simplicial subdivision. We denote by $C_n(X; R)$ the set of n-chains of a topological space

X with coefficients in R, i.e. the free R-module generated by the n-simplices. Then $C_n(X; R) = C_n(X) \otimes_{\mathbb{Z}} R$ where $C_n(X) = C_n(X; \mathbb{Z})$. The boundary maps ∂ are more than homomorphisms of additive abelian groups; they are R-module homomorphisms

$$\cdots \xrightarrow{\partial} C_3(X;R) \xrightarrow{\partial} C_2(X;R) \xrightarrow{\partial} C_1(X;R) \xrightarrow{\partial} C_0(X;R) \longrightarrow 0.$$

Denote by $Z_n(X; R)$ the set of *n*-cycles, i.e. the kernel of $\partial : C_n(X; R) \to C_{n-1}(X; R)$. Also denote by $B_n(X; R)$ the set of *n*-boundaries, i.e. the image $\partial(C_{n+1}(X; R)) \leq C_n(X; R)$. The *n*-th homology group of X with coefficients in R is the quotient group $H_n(X; R) = Z_n(X; R)/B_n(X; R)$. The homology groups with coefficients in Z (the default) determine the homology groups with coefficients in the arbitrary ring R; however the first guess that $H_n(X; R) = H_n(X) \otimes R$ (where $H_n(X) = H_n(X; Z)$) is not quite correct. The correct answer is given by

Universal Coefficient Theorem for Homology. We have a split exact sequence

$$0 \longrightarrow H_n(X) \otimes R \longrightarrow H_n(X; R) \longrightarrow Tor(H_{n-1}(X), R) \longrightarrow 0.$$

Consequently

$$H_n(X; R) \cong (H_n(X) \otimes R) \oplus Tor(H_{n-1}(X), R)$$

(although there is no natural or canonical choice of complementary submodule isomorphic to $Tor(H_{n-1}(X), R)$).

The statement of this result in the textbook (p.264) is somewhat more general in that the coefficient ring R is replaced by an arbitrary additive abelian group G. My choice to state these results using a ring R is not intended to limit you, but rather to encourage you to think more concretely of rings such as \mathbb{Z} , \mathbb{R} and $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$ as typically arise in practice. Note that if R = F is a field then $H_n(X; F) \cong F^r$ where $r = \dim_F(Z_n(X; F)) - \dim_F(B_n(X; F))$ and these vector space dimensions are easily computed by linear algebra.



5.1 Example. Let $X = P^2 \mathbb{R}$, the real projective plane. We use the triangulation of X given in the previous handout; see the figure above and recall that

$$H_2(X) = H_2(X; \mathbb{Z}) = 0;$$

$$H_1(X) = H_1(X; \mathbb{Z}) \cong \mathbb{F}_2 \cong \mathbb{Z}/2\mathbb{Z};$$

$$H_0(X) = H_0(X; \mathbb{Z}) \cong \mathbb{Z}.$$

Here $\mathbb{F}_2 = \{0, 1\}$ is the field of order 2. The Universal Coefficient Theorem gives

$H_2(X;\mathbb{R}) = 0;$		$H_2(X;\mathbb{F}_2)\cong\mathbb{F}_2;$
$H_1(X;\mathbb{R}) = 0;$	and	$H_1(X;\mathbb{F}_2)\cong\mathbb{F}_2;$
$H_0(X;\mathbb{R})\cong\mathbb{R}$		$H_0(X;\mathbb{F}_2)\cong\mathbb{F}_2$.

These groups may be computed directly as follows: We have $H_2(X; R) = Z_2(X; R)/\langle 0 \rangle \cong Z_2(X; R)$ which is the set of all $k\alpha + \ell\beta$ where $k, \ell \in R$ such that

$$0 = \partial(k\alpha + \ell\beta) = k(e+f+g) + \ell(e+f-g) = (k+\ell)(e+f) + (k-\ell)g.$$

If $R = \mathbb{Z}$ or \mathbb{R} then $k + \ell = k - \ell = 0$ implies $k = \ell = 0$ and so $H_2(X; R) = 0$. However, if $R = \mathbb{F}_2$ then the solutions are given by $k = \ell \in \mathbb{F}_2$ so $H_2(X; \mathbb{F}_2) \cong \langle \alpha + \beta \rangle_{\mathbb{F}_2} \cong \mathbb{F}_2$.

Writing $u = e + f \in C_1(X; R)$ we have $Z_1(X; R) = \langle u, g \rangle_R$ and $B_1(X; R) = \langle u+g, u-g \rangle_R = \langle u+g, 2g \rangle_R \leq Z_1(X; R)$. For $R = \mathbb{Z}$ this gives $H_1(X) = \{B_1, g+B_1\} \cong \mathbb{F}_2$. For $R = \mathbb{R}$ we have $B_1(X; \mathbb{R}) = Z_1(X; \mathbb{R}) = \langle u, g \rangle_{\mathbb{R}} \cong \mathbb{R}^2$ and $H_1(X; \mathbb{R}) = 0$. For $R = \mathbb{F}_2$ we have $Z_1(X; \mathbb{F}_2) = \langle u, g \rangle_{\mathbb{F}_2} \cong \mathbb{F}^2$ and $B_1(X; \mathbb{F}_2) = \langle u+g \rangle_{\mathbb{F}_2} \cong \mathbb{F}_2$ so subtracting dimensions gives $H_1(X; \mathbb{F}_2) \cong \mathbb{F}_2$.

Also $H_0(X; R) = Z_0(X; R)/B_0(X; R) = \langle A, B \rangle_R / \langle A - B \rangle_R$. If $R = \mathbb{Z}$ then we have $H_0(X; \mathbb{Z}) = \{kA + B_0 : k \in \mathbb{Z}\} \cong \mathbb{Z}$. If R = F is any field (such as \mathbb{R} or \mathbb{F}_2) then $Z_0(X; F) = \langle A, B \rangle_F \cong F^2$ and $B_0(X; F) = \langle A - B \rangle_F \cong F$ so subtracting dimensions gives $H_0(X; F) \cong F$.