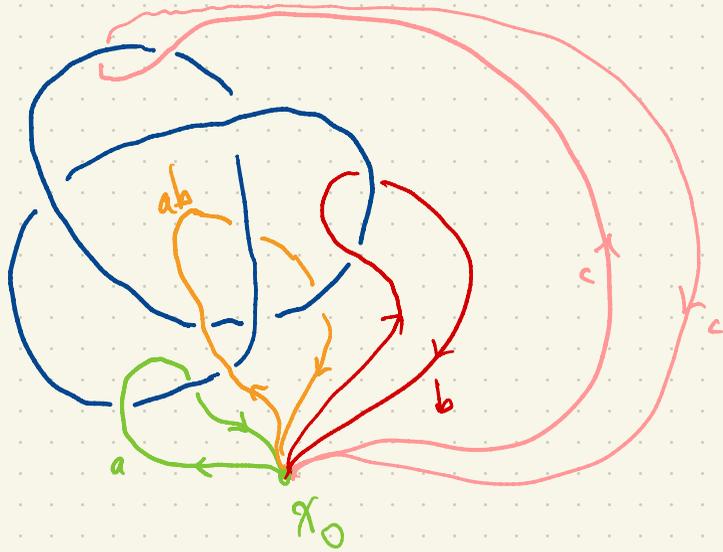


# Group Theory

*Book 3*



$$ab = bc = ca \quad \Rightarrow \quad c = b^{-1}ab = aba^{-1}$$

$$\begin{aligned} \pi_1(\mathbb{R}^3 - K) &= \langle a, b, c : ab = bc = ca \rangle \\ &= \langle x, y : x^3 = y^2 \rangle \end{aligned}$$

$$\left. \begin{aligned} x &= ab = bc = ca \\ y &= abc \end{aligned} \right\} \Rightarrow \begin{aligned} x^3 &= ab \cdot ca \cdot bc \\ &= abc \cdot abc = y^2 \end{aligned}$$

Observation:  $\pi_1(\mathbb{R}^3 - K)$  has no <sup>(nontrivial)</sup> torsion elements (a "torsion" element in a group is an element of finite order)

If  $X$  is a path-connected subset of  $\mathbb{R}^2$  then  $\pi_1(X)$  has no (nontrivial) torsion elements. (known for a few decades, this considered folklore). Intuitive!

The real proj. plane  $P^2\mathbb{R}$  has torsion (its fund. gp.  $\pi_1(P^2\mathbb{R})$  has order 2)

(top. spaces)  $\hookrightarrow$  a subspace of  $\mathbb{R}^4$ , not embeddable in  $\mathbb{R}^3$ .  
For subsets  $X \subset \mathbb{R}^4$ ,  $\pi_1(X)$  can have nontrivial torsion elements.

What about  $X \subset \mathbb{R}^3$ ? Can  $\pi_1(X)$  have nontrivial torsion elements? Famous open problem.

We will show  $SL_2(\mathbb{Z})$  has a subgroup isomorphic to  $F_2$ :

$$\langle \begin{bmatrix} 0 & 2 \\ 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix} \rangle < SL_2(\mathbb{Z})$$

use the Ping-Pong Lemma:

One version is as follows.

Let  $G$  be a group acting on a set  $X$ . We can think of  $G$  as a subgroup of

$$\text{Sym } X = \{ \text{bijections } X \rightarrow X \}$$

i.e. permutations of  $X$

More generally, to say  $G$  acts on  $X$  means: Here I'm using left action, so we compose "right-to-left"  
For every  $g \in G$  we have a permutation of  $X$ ,  $x \mapsto gx$

such that for all  $g, h \in G$ ,  $g(hx) = (gh)x$  i.e. the map  $G \rightarrow \text{Sym } X$  is a group homomorphism.

If the map  $G \rightarrow \text{Sym } X$  is one-to-one, we say  $G$  acts faithfully on  $X$ .

(So  $G$  is identified with a subgroup of  $\text{Sym } X$ .)

Eg.  $SL_2(F)$  acts on P.F. as fractional linear transformations.

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

$$\begin{array}{c} \text{"} \\ F \cup \{\infty\} \\ \cup \\ x \end{array}$$

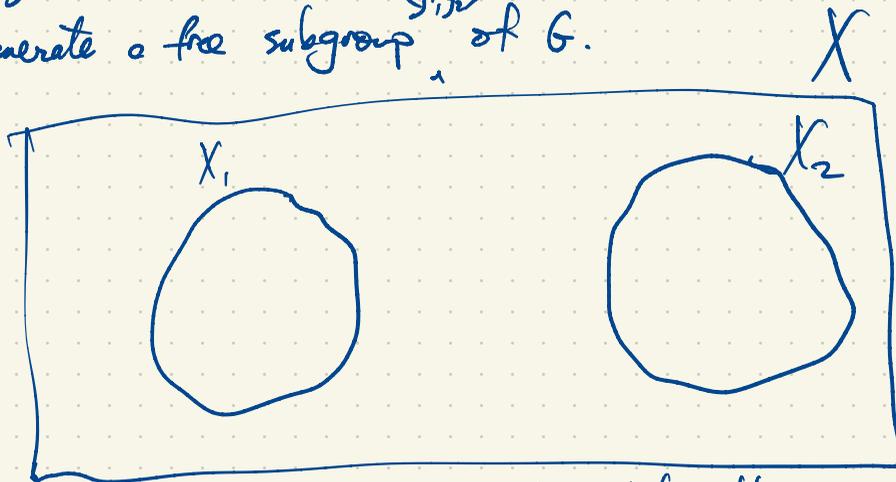
$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}(x) = \frac{ax+b}{cx+d}$$

But this action is not faithful:  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$  and  $-\begin{bmatrix} a & b \\ c & d \end{bmatrix}$  give the same frac. lin. transf.  
 $PSL_2(F)$  acts faithfully on P.F.

Given two subsets  $X_1, X_2 \subset X$  which are disjoint and two elements  $g_1, g_2 \in G$  such that

$$\left. \begin{array}{l} g_1^k(X_2) \subseteq X_1 \\ g_2^k(X_1) \subseteq X_2 \end{array} \right\} \text{ for all integers } k \neq 0$$

then  $g_1, g_2$  generate a free subgroup  $\langle g_1, g_2 \rangle$  of  $G$ .



Proof A nontrivial word in two generators looks like  
 $w = g_1^{k_1} g_2^{l_1} g_1^{k_2} g_2^{l_2} \dots g_1^{k_r} g_2^{l_r} g_1^{k_r}$  where  $k_i, l_j$  non-zero integers up to conjugacy in the free group.

Then  $w \neq 1$  since it maps  $X \rightarrow X_1$ .

Distinct words in the generators  $w_1, w_2$  must give distinct permutations of  $X$  by considering  $w = w_1 w_2$ . □

Application:  $SL_2(\mathbb{Z})$  has  $F_2 = \langle a, b \rangle$  (free group of rank 2) as a subgroup.

Try  $u = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}$ ,  $v = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$ . This doesn't work. These generate  $\langle u, v \rangle = SL_2(\mathbb{Z})$

but this is not a free group since

$$uv^{-1} = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}^{-1} = \begin{bmatrix} 0 & 1 \\ -1 & 1 \end{bmatrix}, \quad (uv^{-1})^3 = \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}, \quad (uv^{-1})^6 = 1.$$

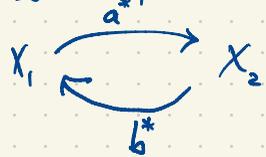
Next try  $a = u^2 = \begin{bmatrix} 0 & 2 \\ 1 & 1 \end{bmatrix}$ ,  $b = v^2 = \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix}$ . These generate  $\langle a, b \rangle \cong F_2$ .

To prove this, use the Ping-Pong Lemma.

$G = SL_2(\mathbb{Z})$  acts on  $P^1\mathbb{Q} = \mathbb{Q} \cup \{\infty\}$  by fractional linear transformations

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} (x) = \frac{ax+b}{cx+d}$$

Let  $X_1 = \{x \in P^1\mathbb{Q} : |x| < 1\}$ ,  $X_2 = \{x \in P^1\mathbb{Q} : |x| > 1\}$ . Note:  $\infty \in X_2$ .



$$b^n = \begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix}^n = \begin{bmatrix} 1 & 0 \\ 2n & 1 \end{bmatrix}, \quad a^n = \begin{bmatrix} 0 & 2 \\ 1 & 1 \end{bmatrix}^n = \begin{bmatrix} 1 & 2n \\ 0 & 1 \end{bmatrix} \quad n \neq 0$$

$$a^n(x) = \frac{x+2n}{0x+1} = x+2n$$

If  $x \in X_1$ , then  $|x| < 1$  i.e.  $-1 < x < 1$   
then  $a^n(x) \in (2n-1, 2n+1)$

so  $|a^n(x)| > 1$  i.e.  $a^n(x) \in X_2$ .  
for  $n \neq 0$ .

If  $x \in X_2$  then  $|x| > 1$

$$b^n(x) = \frac{x+0}{2nx+1} = \frac{1}{2n+\frac{1}{x}}, \quad \left|\frac{1}{x}\right| < 1, \quad \left|2n+\frac{1}{x}\right| > 1,$$

$$|b^n(x)| = \left|\frac{1}{2n+\frac{1}{x}}\right| < 1, \quad b^n(x) \in X_1. \quad \square$$

In  $G = SL_2(\mathbb{Z})$ ,  $\langle a, b \rangle \cong \mathbb{F}_2$ .

Similarly in  $PSL_2(\mathbb{Z}) = SL_2(\mathbb{Z}) / \{\pm I\}$ ,  $\langle a, b \rangle \cong \mathbb{F}_2$ .

$$\langle a, b \rangle = \left\{ \begin{bmatrix} a_1 & a_2 \\ a_3 & a_4 \end{bmatrix} : a_1, a_2, a_3, a_4 \in \mathbb{Z}; a_1 a_4 - a_2 a_3 = 1; a_1, a_4 \text{ odd}; a_2, a_3 \text{ even} \right\}$$

In  $SL_2(\mathbb{Z})$  elements have one of the forms

$$\begin{bmatrix} \text{odd} & \text{even} \\ \text{even} & \text{odd} \end{bmatrix}, \begin{bmatrix} \text{even} & \text{odd} \\ \text{odd} & \text{even} \end{bmatrix}, \begin{bmatrix} \text{odd} & \text{odd} \\ \text{even} & \text{odd} \end{bmatrix}, \begin{bmatrix} \text{odd} & \text{even} \\ \text{odd} & \text{odd} \end{bmatrix}, \begin{bmatrix} \text{even} & \text{odd} \\ \text{odd} & \text{odd} \end{bmatrix}, \begin{bmatrix} \text{odd} & \text{odd} \\ \text{odd} & \text{even} \end{bmatrix}$$

$$\begin{bmatrix} \text{even} & \text{odd} \\ \text{even} & \text{odd} \end{bmatrix}, \dots$$

ten choices of parity are excluded in  $SL_2(\mathbb{Z})$ .

$$|SL_2(\mathbb{F}_2)| = 2(2^2 - 1) = 6$$

$$SL_2(\mathbb{F}_2) = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix} \right\}$$

Reducing matrix entries mod 2 gives an epimorphism (surjective homomorphism)  $SL_2(\mathbb{Z}) \rightarrow SL_2(\mathbb{F}_2)$ .

The kernel of this map is  $\Gamma(2) = \left\{ \begin{bmatrix} a_1 & a_2 \\ a_3 & a_4 \end{bmatrix} \in SL_2(\mathbb{Z}) : a_1, a_4 \text{ odd}; a_2, a_3 \text{ even} \right\} = \langle a, b \rangle$   
(a principal congruence subgroup)  $[SL_2(\mathbb{Z}) : \Gamma(2)] = 6$ .

Tits Alternative:  $G$  linear group over  $F \Rightarrow$  either  
 $G$  virtually solvable  
or  $G$  has  $F_2$  as a subgroup (exclusive 'or').

conjectured originally by Bass & Serre

A linear group is a matrix group over a field  $F$ , in particular  $GL_n(F)$  and its subgroups such as  $SL_n(F)$ ,  $O_n(F)$ ,  $U_n(F)$ ,  $Sp_n(F)$ , ...

Tits proved that the alternative holds in  $GL_n$ .

$G$  is solvable if it has a composition series with abelian factors i.e.

$$G_0 = 1 \triangleleft G_1 \triangleleft G_2 \triangleleft \dots \triangleleft G_n = G \quad \text{with } G_k/G_{k-1} \text{ abelian.}$$

eg.  $S_3$  is solvable:  $1 \triangleleft A_3 \triangleleft S_3$

$G$  is virtually solvable if it has a solvable subgroup of finite index.

Every finite group is virtually solvable by considering the trivial subgroup.

Eg. the linear group  $O_2(\mathbb{R}) = \{ \text{orthogonal } 2 \times 2 \text{ real matrices} \} = \{ A \in GL_2(\mathbb{R}) : A^T A = I \}$

If  $A \in O_n(\mathbb{R})$  then  $AA^T = A^T A = I$ , so  $(\det A)^2 = 1 \Rightarrow \det A = \pm 1$ .

$$SO_n(\mathbb{R}) = \{ A \in O_n(\mathbb{R}) : \det A = 1 \} = \text{special orthogonal group} = \{ \text{rotations fixing } 0 \in \mathbb{R}^n \}$$

$$[O_n(\mathbb{R}) : SO_n(\mathbb{R})] = 2$$

$SO_2(\mathbb{R}) \cong S^1$  as Lie groups (abelian)

$$\left\{ \begin{bmatrix} a & b \\ -b & a \end{bmatrix} : a^2 + b^2 = 1 \right\}$$

$O_2(\mathbb{R})$  is nonabelian but solvable.

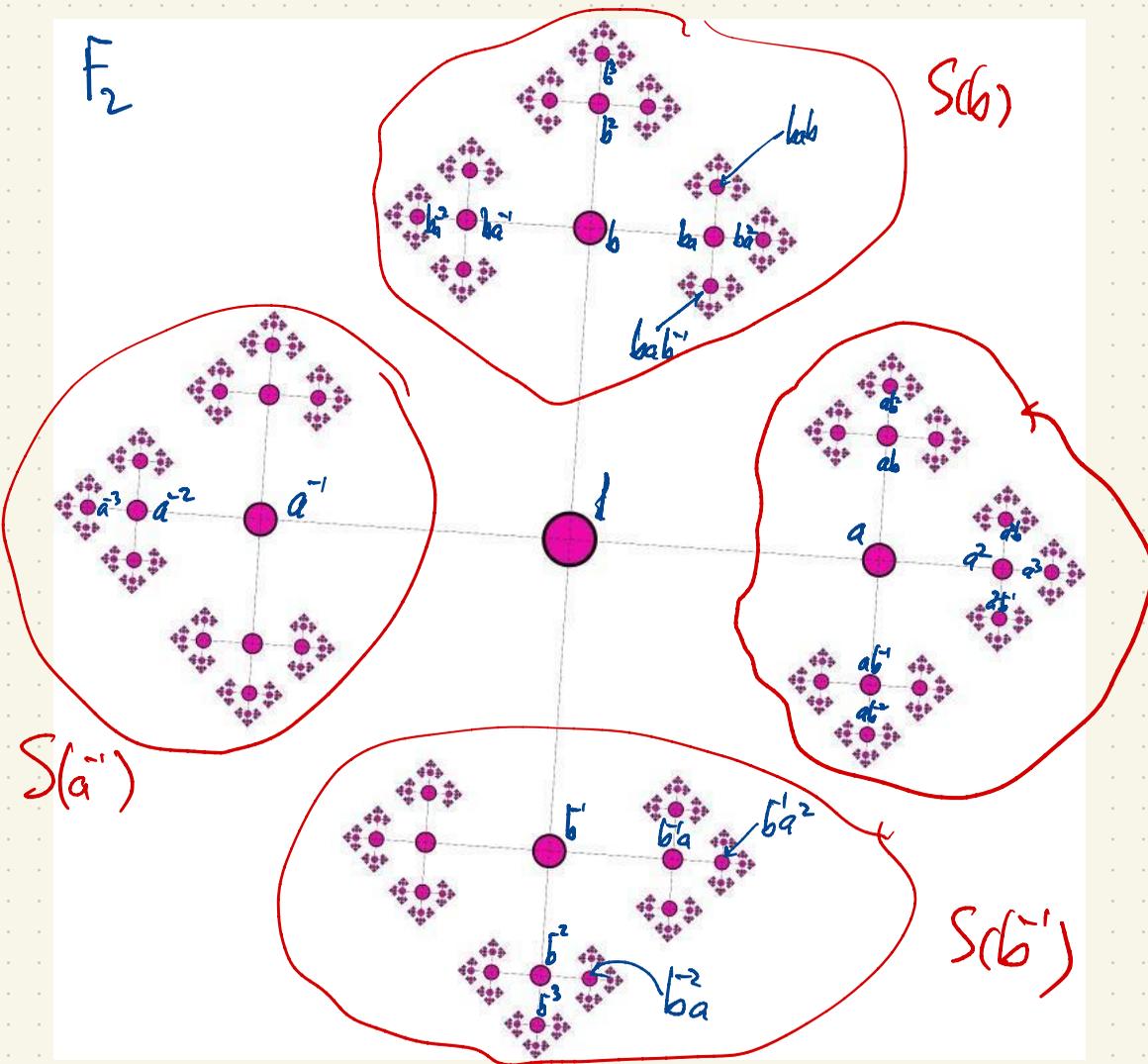
$$1 \triangleleft SO_2(\mathbb{R}) \triangleleft O_2(\mathbb{R})$$

$O_2(\mathbb{R})$  cannot have a subgroup isomorphic to  $F_2$ .

$SO_3(\mathbb{R})$  has a subgroup  $\cong F_2$ . See handout on free groups.

$F_2$  has a "paradoxical decomposition"  $F_2 = A \sqcup B \sqcup C \sqcup D$  (disjoint union i.e. partition)

$$F_2 = g_1 A \sqcup g_2 B = g_3 C \sqcup g_4 D \quad g_i \in F_2.$$

$\mathbb{F}_2$  $S(b)$ 

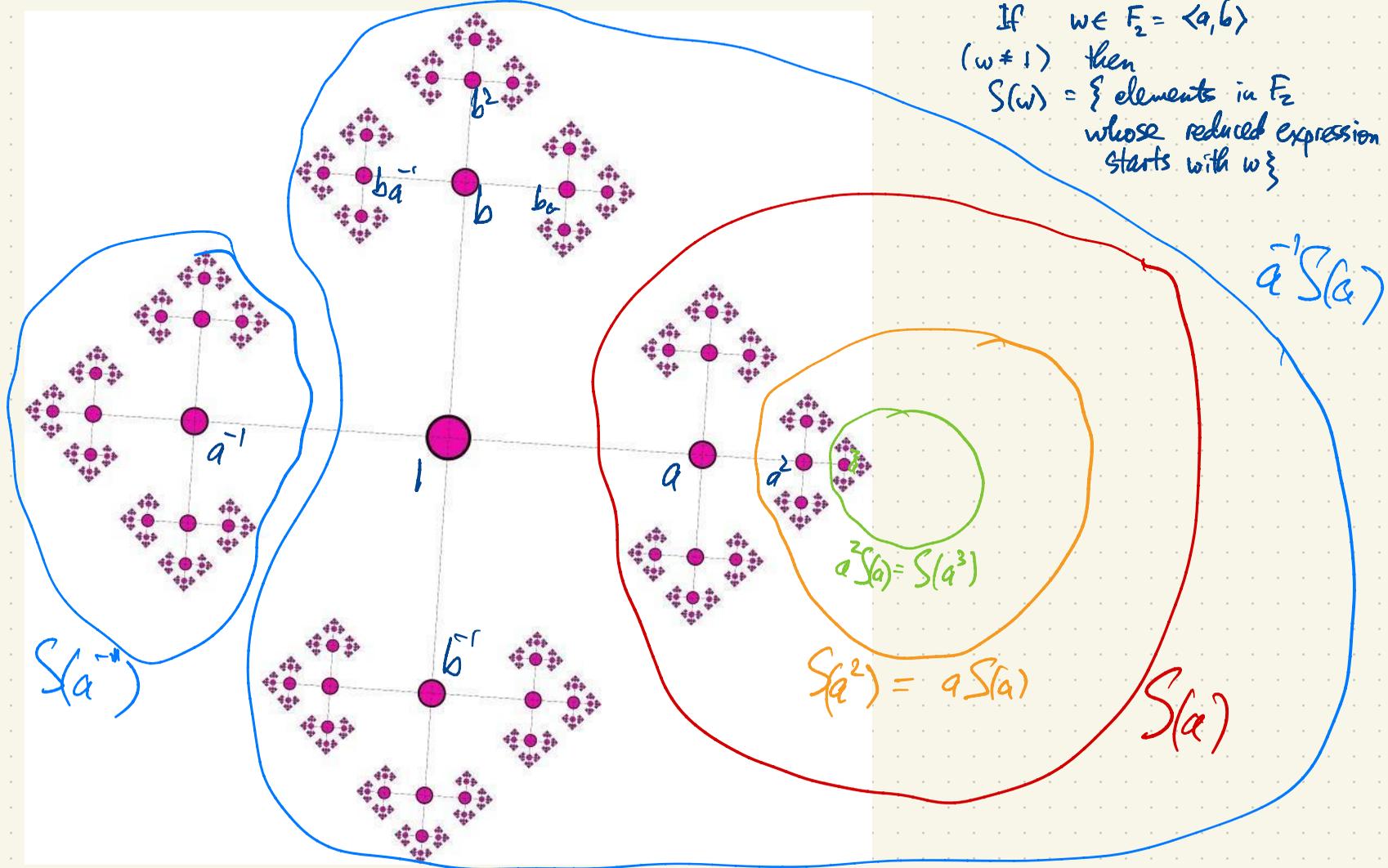
If  $w \in \mathbb{F}_2$ ,  $w \neq 1$  then  $S(w) \subset \mathbb{F}_2$  is the subset consisting of words starting with  $w$  (they have  $w$  as a prefix). I'm only considering reduced words.

 $S(a)$ 

$$\begin{aligned} \mathbb{F}_2 &= S(a^{-1}) \cup a^{-1}S(a) \\ &= S(b^{-1}) \cup b^{-1}S(b) \\ &= \{1\} \cup S(a) \cup S(b)S(a^{-1}) \\ &\quad \cup S(b) \end{aligned}$$

This is almost a paradoxical decomposition of  $\mathbb{F}_2$  (unfortunately  $\{1\}$  is "left over" ...)

If  $w \in F_2 = \langle a, b \rangle$   
 ( $w \neq 1$ ) then  
 $S(w) = \{ \text{elements in } F_2 \text{ whose reduced expression starts with } w \}$



An actual paradoxical decomposition of  $F_2$  without emitting  $\{1\}$ :

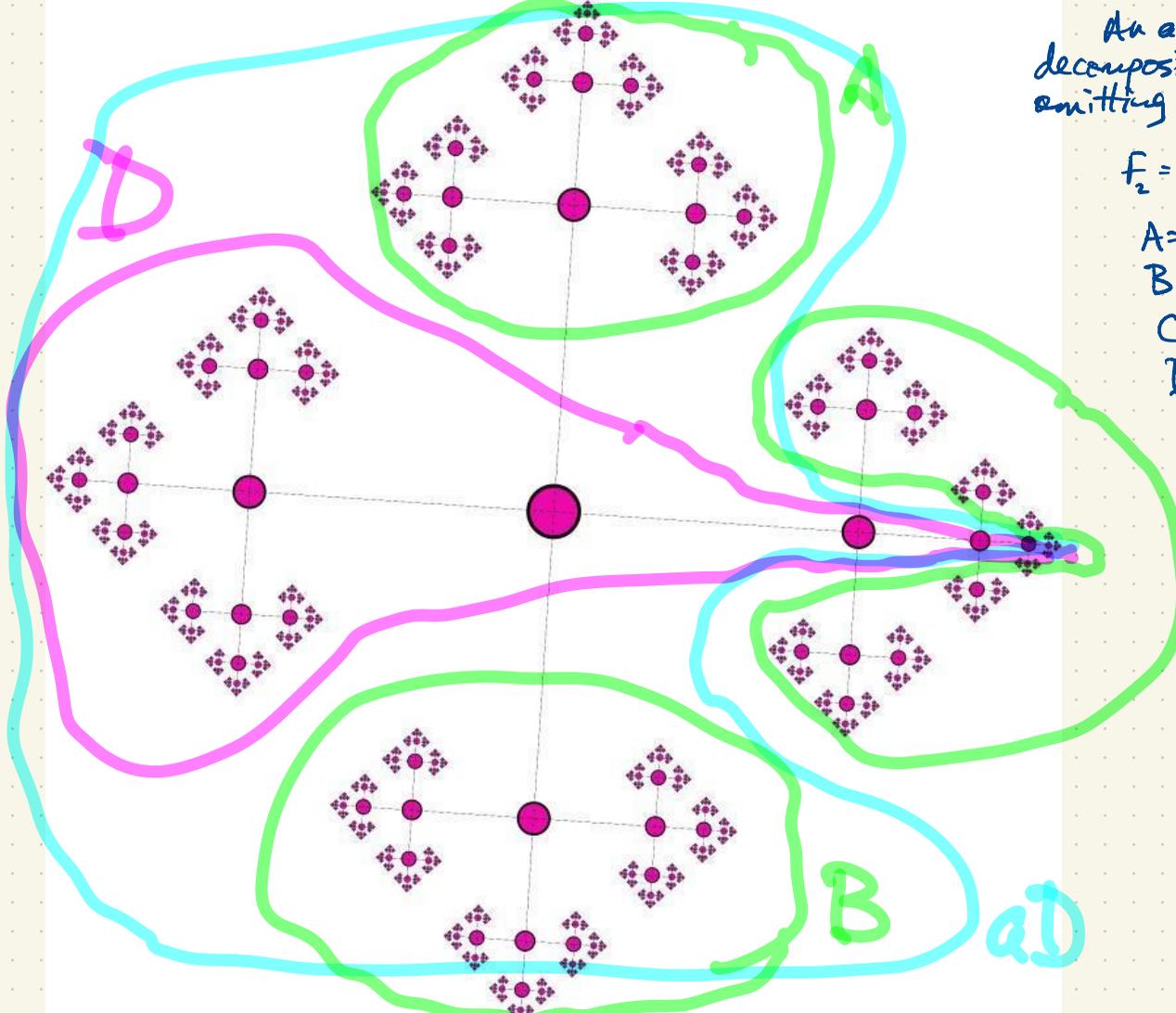
$$F_2 = A \cup B \cup C \cup D$$

$$A = S(b)$$

$$B = S(b^{-1})$$

$$C = S(a) - \{a, a^2, a^3, a^4, \dots\}$$

$$D = S(a^{-1}) \cup \{1, a, a^2, a^3, a^4, \dots\}$$



$$F_2 = b^{-1}A \cup B = C \cup aD$$

## Banach-Tarski Theorem

Let  $X = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 \leq 1\}$ .

Then there exists a partition  $X = X_1 \sqcup X_2 \sqcup X_3 \sqcup X_4 \sqcup X_5$  such that

$$X = g_1 X_1 \sqcup g_2 X_2 = g_3 X_3 \sqcup g_4 X_4 \sqcup g_5 X_5$$

for some  $g_1, \dots, g_5$  <sup>direct</sup> isometries of  $\mathbb{R}^3$ .  
(orientation-preserving isometries)

An isometry is a transformation preserving distances.

Every isometry either preserves or reverses orientation.  
e.g. rotations

The subsets  $X_1, \dots, X_5$  are not all Lebesgue-measurable.

Since  $F_2 = g_1 A \sqcup g_2 B = g_3 C \sqcup g_4 D = A \sqcup B \sqcup C \sqcup D$

and  $SO_3(\mathbb{R})$  has a subgroup  $\langle a, b \rangle \cong F_2$

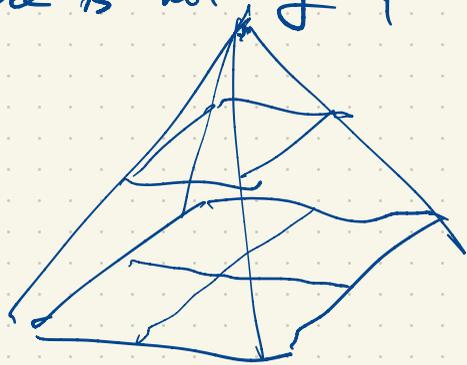
the group  $SO_3(\mathbb{R})$  also admits such a "paradoxical decomposition":

Let  $T \subset SO_3(\mathbb{R})$  be a right transversal for  $\langle a, b \rangle < SO_3(\mathbb{R}) = \bigsqcup_{\alpha} \langle a, b \rangle t_{\alpha}$   
subset, not subgroup                      i.e. set of representatives of the right cosets

$$T = \{t_{\alpha} : \alpha\}$$
$$SO_3(\mathbb{R}) = \langle a, b \rangle T$$

$$SO_3(\mathbb{R}) = AT \sqcup BT \sqcup CT \sqcup DT = g_1 AT \sqcup g_2 BT = g_3 CT \sqcup g_4 DT$$

Note: A paradoxical composition requires a finite number of pieces;  
there is nothing paradoxical about an infinite number of pieces.



Why can't a disk  $X = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 \leq 1\}$  admit a "paradoxical decomposition" ?  
If  $X = X_1 \sqcup X_2 \sqcup \dots \sqcup X_5$  (or some other finite number of pieces)

with  $X = g_1 X_1 \sqcup g_2 X_2 = g_3 X_3 \sqcup g_4 X_4 \sqcup g_5 X_5$ ,  $g_1, \dots, g_5$  isometries,  
what is the contradiction?

To obtain a contradiction we cannot invoke Lebesgue measure  
(i.e. area) since the subsets  $X_i$  aren't necessarily Lebesgue measurable.  
We use finitely additive measure.