

A 3D perspective view of a grid of cubes. Most cubes are grey, but one cube in the upper-left quadrant is gold. A yellow banner with rounded corners is positioned in the lower-middle part of the image, containing the text 'Information Theory' in a bold, brown serif font. Below the banner, the text 'Book III' is written in a white sans-serif font.

Information Theory

Book III

Spin state of an electron (disregard position and momentum) is an example of a qubit, which is a vector $|\psi\rangle \in \mathbb{C}^2 = \left\{ \begin{pmatrix} \alpha \\ \beta \end{pmatrix} : \alpha, \beta \in \mathbb{C} \right\}$.

Standard basis of \mathbb{C}^2 : $|+\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $|-\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$
 "spin up" "spin down"

$$|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \alpha|+\rangle + \beta|-\rangle, \quad |\alpha|^2 + |\beta|^2 = 1.$$

An electron in this spin state is in a superposition of spin up and spin down states

A linear functional on \mathbb{C}^2 is a linear transformation

$$\langle\phi| : \mathbb{C}^2 \rightarrow \mathbb{C}$$

bra notation

$$\langle\phi| = (r \ s) : \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \mapsto (r \ s) \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = r\alpha + s\beta \in \mathbb{C}$$

Dual basis:

$$\langle+| = |+\rangle^* = (1 \ 0) \quad \langle\phi|\psi\rangle \quad \text{(conjugate transpose)}$$

$$\langle-| = |-\rangle^* = (0 \ 1)$$

$$|\psi\rangle^* = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}^* = (\bar{\alpha} \ \bar{\beta}) = \bar{\alpha}\langle+| + \bar{\beta}\langle-|$$

$$\langle+|\psi\rangle = \langle+|(\alpha|+\rangle + \beta|-\rangle) = \alpha$$

$$\langle-|\psi\rangle = \beta$$

Spin states are unit vectors in \mathbb{C}^2 i.e. $\begin{pmatrix} \alpha \\ \beta \end{pmatrix}$, $\alpha, \beta \in \mathbb{C}$, $|\alpha|^2 + |\beta|^2 = 1$.

i.e. in \mathbb{R}^4

so $|\psi\rangle \in S^3 =$ unit sphere in \mathbb{R}^4 .

$$\alpha_1^2 + \alpha_2^2 + \beta_1^2 + \beta_2^2 = 1$$

$$\begin{cases} \alpha = \alpha_1 + \alpha_2 i \\ \beta = \beta_1 + \beta_2 i \end{cases} \quad \alpha_1, \alpha_2, \beta_1, \beta_2 \in \mathbb{R}$$

A measurement of an electron in this spin state yields a single bit of classical information:

- spin up, with probability $|\alpha|^2$;
- spin down, with probability $|\beta|^2$.

This says what happens when we measure with respect to the z-axis. (For measurement in a different direction/axis, we'll say later.)

As soon as the measurement is taken, the spin state collapses; all knowledge of α, β is then lost.

Any time we measure a spin state $|\psi\rangle \in S^3$, it collapses.

But it is possible to perform certain reversible operations $|\psi\rangle \mapsto A|\psi\rangle$ where A is a 2×2 unitary matrix ($AA^* = A^*A = I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$) over \mathbb{C} .

Special examples of unitary matrices are scalar matrices $\begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix}$, $\lambda \in \mathbb{C}$, $|\lambda| = 1$

These perform an operation on $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$ whose only effect is to alter the phase of α, β

$$|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \mapsto A|\psi\rangle = \begin{pmatrix} \lambda\alpha \\ \lambda\beta \end{pmatrix} = \lambda \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

$$\lambda = e^{i\theta} \quad (\theta \in [0, 2\pi))$$

which has no physical significance. For this reason the so-called density matrix

$$\underbrace{|\psi\rangle}_{2 \times 1} \underbrace{\langle\psi|}_{1 \times 2} = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \begin{pmatrix} \bar{\alpha} & \bar{\beta} \end{pmatrix} = \begin{pmatrix} \alpha\bar{\alpha} & \alpha\bar{\beta} \\ \beta\bar{\alpha} & \beta\bar{\beta} \end{pmatrix}$$

2×2

Hermitian 2×2 matrix

$$H \in \mathbb{C}^{2 \times 2} \quad (2 \times 2 \text{ complex matrix})$$

$$\text{satisfying } H^\dagger = H$$

which holds all the physically significant information of the single qubit.

The map $\begin{pmatrix} \alpha \\ \beta \end{pmatrix} \mapsto \begin{pmatrix} \lambda\alpha \\ \lambda\beta \end{pmatrix}$ does not change this density matrix.

Entanglement typically occurs when we include multiple electrons in our system.

Start by reviewing statistical dependence works:

Let's say we take a random individual A from a population.

Imagine the population is 40% male, 60% female; 30% short, 70% tall.

Sampling by selecting one person gives two bits: MS, MT, FS, or FT.

Combinations of attributes:

12%, 28%, 18%, 42% if gender is independent of height.

In this example, gender and height are independent.

		S	T	
Gender	M	0.12	0.28	0.4
	F	0.18	0.42	0.6
		0.3	0.7	1

$$\begin{bmatrix} 0.4 \\ 0.6 \end{bmatrix} \begin{bmatrix} 0.3 & 0.7 \end{bmatrix} = \begin{bmatrix} 0.12 & 0.28 \\ 0.18 & 0.42 \end{bmatrix}$$

Outer product of two vectors is a rank 1.

More typical distribution

		S	T	
Gender	M	0.1	0.3	0.4
	F	0.2	0.4	0.6
		0.3	0.7	1

The matrix $\begin{bmatrix} 0.1 & 0.3 \\ 0.2 & 0.4 \end{bmatrix}$ has rank 2.

In this second example gender and height are (statistically) dependent.

If one electron has ^(spin) state $|\psi_1\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \in \mathbb{C}^2$ and a second electron has spin state $|\psi_2\rangle = \begin{pmatrix} \gamma \\ \delta \end{pmatrix} \in \mathbb{C}^2$
 $|\alpha|^2 + |\beta|^2 = 1$ $|\gamma|^2 + |\delta|^2 = 1$

the pair of electrons has state $|\psi_n\rangle = \alpha_n |++\rangle + \alpha_{n1} |+-\rangle + \alpha_{n2} |-+\rangle + \alpha_{n3} |--\rangle \in \mathbb{C}^4$

If the two electrons are not entangled then

$$\begin{pmatrix} \alpha_{n1} & \alpha_{n2} \\ \alpha_{n3} & \alpha_{n4} \end{pmatrix} = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \begin{pmatrix} \gamma & \delta \end{pmatrix} \quad \text{rank 1.}$$

$$\alpha_{ij} \in \mathbb{C}, \quad |\alpha_{11}|^2 + |\alpha_{12}|^2 + |\alpha_{21}|^2 + |\alpha_{22}|^2 = 1.$$

↑
prob. of
both electrons
having spin up

If the matrix has rank ≥ 2 then the two electrons are entangled.

Ex. $|\psi\rangle = \frac{1}{\sqrt{2}} (|++\rangle + |--\rangle)$ i.e. $\begin{pmatrix} \frac{1}{\sqrt{2}} & 0 \\ 0 & \frac{1}{\sqrt{2}} \end{pmatrix}$ } Examples of EPR pairs
 $|\psi'\rangle = \frac{1}{\sqrt{2}} (|+-\rangle + |-+\rangle)$ i.e. $\begin{pmatrix} 0 & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & 0 \end{pmatrix}$

One way to talk about the spin state of a set of n electrons is

$$|\psi\rangle = \sum_{\substack{i_1 \in \{0,1\} \\ i_2 \in \{0,1\} \\ \vdots \\ i_n \in \{0,1\}}} \alpha_{i_1 i_2 \dots i_n} |\pm \pm \dots \pm\rangle \in \mathbb{C}^{2^n}$$

all 2^n combinations of \pm

$$\sum |\alpha_{i_1 i_2 \dots i_n}|^2 = 1$$

$(\alpha_{i_1 i_2 \dots i_n} : i_1, i_2, \dots, i_n \in \{0,1\})$ is a
 $\underbrace{2 \times 2 \times 2 \times \dots \times 2}_n$ array or tensor

$\mathbb{C}^2 = \underbrace{\mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2}_{n \text{ times}}$ tensor product. Take basis $|+\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $|-\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

has basis $|++\dots+\rangle = |+\rangle \otimes |+\rangle \otimes \dots \otimes |+\rangle$
 $|++\dots+\rangle = |+\rangle \otimes |+\rangle \otimes \dots \otimes |+\rangle$
 \vdots
 $|-\dots-\rangle = |-\rangle \otimes |-\rangle \otimes \dots \otimes |-\rangle$

In $\mathbb{C}^m \otimes \mathbb{C}^n \cong \mathbb{C}^{mn}$
 every vector is a
 sum of at most
 $\min\{m, n\}$ pure tensors.

More generally if $v_i \in \mathbb{C}^2$ ($i=1, 2, \dots, n$)

then $v_1 \otimes v_2 \otimes \dots \otimes v_n \in \mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2$. (pure tensors)
 $\mathbb{C}^2 \times \mathbb{C}^2 \times \dots \times \mathbb{C}^2$ $\mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2 = \mathbb{C}^{2^n}$ simple

$(v_1, \dots, v_n) \mapsto v_1 \otimes v_2 \otimes \dots \otimes v_n$ this map is multilinear
 i.e. linear in each argument separately.

The corresponding result
 for $\mathbb{C}^{m_1} \otimes \mathbb{C}^{m_2} \otimes \dots \otimes \mathbb{C}^{m_k}$
 is not known and
 extremely hard.

(In Algebraic Geometry
 look up Higher Secant
 varieties of
 Segre Varieties)

- Bell's Theorem
- Gleason's Theorem
- Kochen-Specker Theorem

Recall: the spin state of a single electron is a qubit $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \in \mathbb{C}^2$, $|\alpha|^2 + |\beta|^2 = 1$.

Standard basis $|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $|1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

How do we measure the spin in an arbitrary direction?
 spin up/down with respect to the z-axis

In the vertical direction we make use of basis $|+\frac{z}{2}\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $|-\frac{z}{2}\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ basis of eigenvectors for the Pauli spin operator $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$.

$$\sigma_z |+\frac{z}{2}\rangle = |+\frac{z}{2}\rangle$$

$$\sigma_z |-\frac{z}{2}\rangle = -|-\frac{z}{2}\rangle$$

Any electron with spin state $|\psi\rangle = \alpha |+\frac{z}{2}\rangle + \beta |-\frac{z}{2}\rangle$ can be measured in the vertical direction

$$\sigma_z |\psi\rangle = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} \alpha \\ -\beta \end{pmatrix}$$

Follow this by a linear functional eg. $|+\frac{z}{2}\rangle^* = \langle +\frac{z}{2} |$

$$\langle +\frac{z}{2} | \sigma_z | \psi \rangle = (1 \ 0) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = (1 \ 0) \begin{pmatrix} \alpha \\ -\beta \end{pmatrix} = \alpha$$
, the amplitude for the electron to be spin up.

Once the measurement is performed, the state collapses into that spin state $|\psi\rangle \mapsto |+\frac{z}{2}\rangle$.

$$\langle \underbrace{+\frac{z}{2}}_{|+\frac{z}{2}\rangle} | \sigma_z | \underbrace{+\frac{z}{2}}_{|+\frac{z}{2}\rangle} \rangle = 1.$$

If we measure $|\psi\rangle \mapsto \langle +\frac{z}{2} | \sigma_z | \psi \rangle$ and find spin down, the state collapses to spin down $|-\frac{z}{2}\rangle$

$$\langle +\frac{z}{2} | \sigma_z | \psi \rangle = -\beta, \quad |-\beta|^2 = |\beta|^2$$

$$\sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

$$\sigma_y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$$

$$\sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Hermitian: $\sigma^{\dagger} = \sigma$

Eigenvectors: $|+\rangle_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$, $|-\rangle_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

$|+\rangle_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}$, $|-\rangle_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix}$

$|+\rangle_z = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$, $|-\rangle_z = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

eigenvalues $+1, -1$

eg. $\sigma_y \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 1 \\ i \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 \\ i \end{pmatrix} = |+\rangle_y$

$\sigma_y \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 1 \\ -i \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} -1 \\ i \end{pmatrix} = -\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix} = -|-\rangle_y$

If we measure an electron having spin $|+\rangle_y$ (in the pos. y-direction) with respect to the x-axis

$\langle +\rangle_x |+\rangle_y = \frac{1}{\sqrt{2}} (1 \ 1) \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1+i \\ 1+i \end{pmatrix}$ $|\frac{1+i}{2}|^2 = \frac{2}{4} = \frac{1}{2}$ $|a+bi|^2 = a^2+b^2$

Density matrix of $|\psi\rangle$ is $|\psi\rangle\langle\psi| = |\psi\rangle\langle\psi|^{\dagger} = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \begin{pmatrix} \bar{\alpha} & \bar{\beta} \end{pmatrix} = \begin{pmatrix} \alpha\bar{\alpha} & \alpha\bar{\beta} \\ \bar{\alpha}\beta & \beta\bar{\beta} \end{pmatrix}$ $|\alpha|^2 + |\beta|^2 = 1$
 is Hermitian having eigenvalues $1, 0$; corresponding eigenvectors $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$, $|\psi^{\perp}\rangle = \begin{pmatrix} \bar{\beta} \\ -\bar{\alpha} \end{pmatrix}$

$|\psi\rangle\langle\psi|\psi\rangle = |\psi\rangle$ since $\langle\psi|\psi\rangle = 1$

$\langle\psi^{\perp}|\psi\rangle = (\beta - \alpha) \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \alpha\beta - \alpha\beta = 0$

$|\psi\rangle\langle\psi|\psi^{\perp}\rangle = 0 = 0|\psi^{\perp}\rangle$

$\langle\psi^{\perp}|\psi^{\perp}\rangle = 1 = \langle\psi|\psi\rangle$
 for $AB = BA$.

What is the corresponding Pauli spin operator in an arbitrary direction $n = (n_x, n_y, n_z) \in \mathbb{R}^3$

$$\sigma_n = n \cdot \sigma = n_x \sigma_x + n_y \sigma_y + n_z \sigma_z$$

$$n_x^2 + n_y^2 + n_z^2 = 1.$$

$$\sigma = (\sigma_x, \sigma_y, \sigma_z)$$