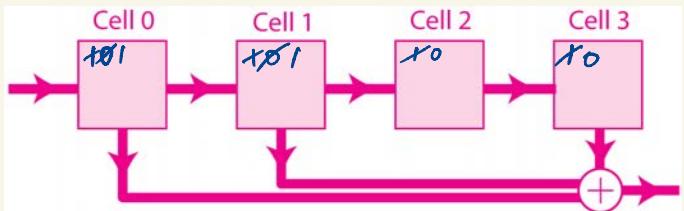


# Information Theory

Book II

Eg. an infinite stream of bits  $a_0, a_1, a_2, a_3, \dots$  ( $a_i \in F$ ) can be encoded eg.  
 represent the plaintext bitstream as a  $a_0 + a_1x + a_2x^2 + a_3x^3 + \dots \in F_2[[x]]$   
 $F[[x]]$  = ring of (formal) power series in  $x$  with coefficients in  $F$ .

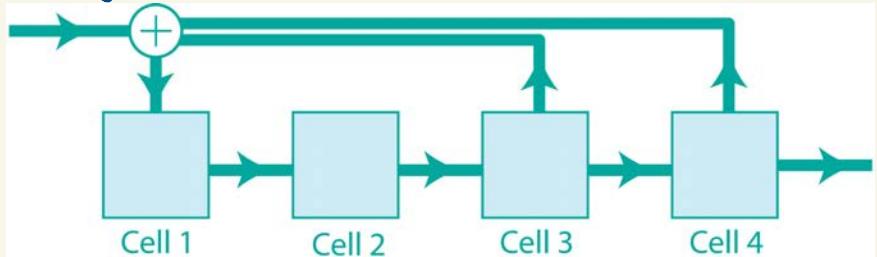


Eg. consider an input bitstream 110011011110010... which is encoded by the shift register above to obtain the output bitstream 101100101...

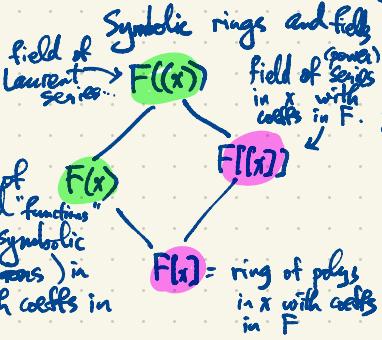
Compare: this is equivalent to multiplication by  $1+x+x^3$ :

$$(1+x+x^3)(1+x+x^2+x^3+x^4+x^5+x^6+x^7+\dots) = 1 + x^2 + x^3 + x^6 + x^8 + \dots$$

Decoding of this data is accomplished using backward shift registers eg.



which performs division by  $1+x+x^3$  in  $F_2[[x]]$



polynomials vs. polynomial functions

eg.  $F_3 = \{0, 1, 2\} = \mathbb{Z}/3\mathbb{Z}$

eg.  $f(x) = 2+x+x^3 \in F_3[x]$  is a polynomial of degree 3.

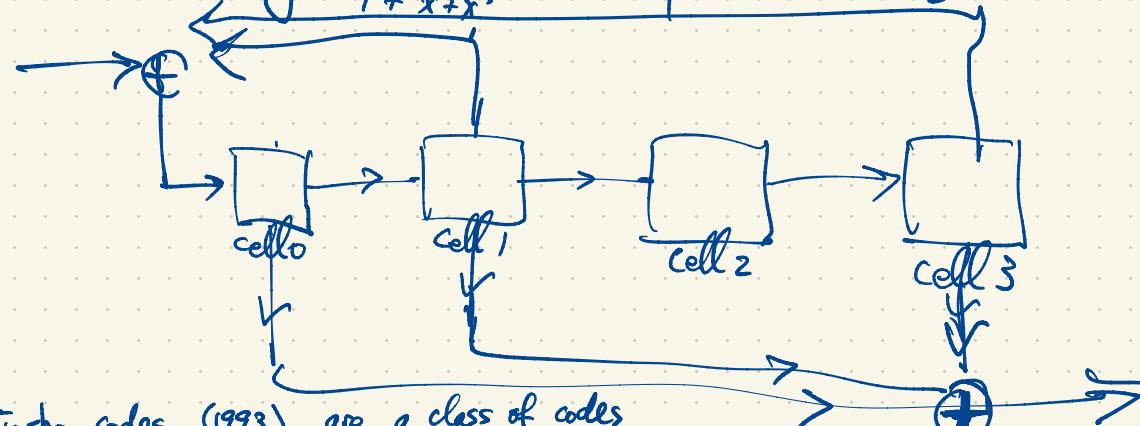
eg.  $g(x) = 2+2x \in F_3[x]$  is a polynomial of degree 1.

$a$	$f(a)$	$g(a)$
0	2	2
1	1	1
2	0	0

for  $g(x)$  are distinct poly's but they represent the same function  $F \rightarrow F_3$ .

eg.  $f(x) = \frac{1+x+x^3}{x+x^2} \in F_2(x)$

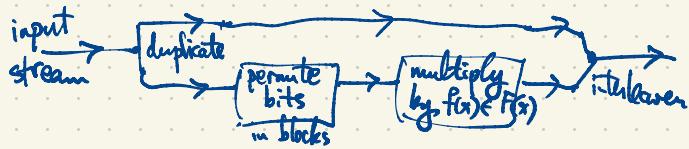
Multiplication by any rational function can be implemented using a single shift register e.g. multiplication by  $\frac{1+x+x^3}{1+x^2+x^3}$  is implemented using the shift register



Turbo codes (1993) are a class of codes used for encoding streams of data using combinatorics of gates including

- multiplication by a rational function in  $F(x)$
- splitters & interleavers
- permutations
- puncturing

e.g.



$$F(x) \subset F((x)) \quad \text{eg. for } F = \mathbb{F}_2 = \{0, 1\}$$

$$f(x) = \frac{1+x^2+x^5}{x+x^2+x^3} = \frac{1+x^2+x^5}{x(1+x+x^2)} = \frac{1}{x} \left[ \frac{1+x^2+x^5}{1+x+x^2} \right] = \frac{1}{x} \left[ 1+x+x^3+x^5+\dots \right] = \frac{1}{x} + 1 + x^2 + x^4 + \dots$$

$$\frac{1+x^2+x^5}{1+x+x^2} = 1 + q_1 x + q_2 x^2 + q_3 x^3 + q_4 x^4 + q_5 x^5 + \dots$$

$q_1=1$      $q_2=0$      $q_3=1$      $q_4=0$      $q_5=1$   
 $+ x + x^2 + x^3 + x^4 + x^5 + \dots$

$$1+x^2+x^5 = (1+x+x^2)(1+x+x^2+x^3+x^4+x^5+\dots)$$

$$(a+b)^2 = a^2 + b^2$$

$$(a+b)^4 = a^4 + b^4$$

Second method Geometric series  $\frac{1}{1-u} = 1+u+u^2+u^3+u^4+\dots$

$$\begin{aligned}
 \frac{1+x^2+x^5}{1+(x+x^2)} &= (1+x^2+x^5) \left( 1 + (x+x^2) + (x+x^2)^2 + (x+x^2)^3 + (x+x^2)^4 + (x+x^2)^5 + \dots \right) \\
 &= (1+x^2+x^5) \left( 1 + (x+x^2) + (x^2+x^4) + (x^3+x^5+\dots) + (x^4+\dots) + (x^5+\dots) + \dots \right) \\
 &\quad (x^3+3x^5+3x^7+x^9) \\
 &= (1+x^2+x^5)(1+x+x^2+x^3+\dots) \\
 &= 1+x+x^2+x^3+x^5+\dots
 \end{aligned}$$

$$f(x) = \frac{1}{x} (1+x+x^2+x^3+x^5+\dots) = \frac{1}{x} + 1 + x^2 + x^3 + \dots$$

$F = F_2 = \{0, 1\}$  for the time being

The irreducible (monic) polynomials in  $F[x]$ :  
degree      irred. polys

- 1       $x, x+1$
- 2       $x^2 + x + 1$
- 3       $x^3 + x + 1, x^3 + x^2 + 1$
- 4       $x^4 + x + 1, x^4 + x^3 + 1, x^4 + x^3 + x^2 + x + 1$

primitive

not primitive

$$\begin{aligned} & x^2, x^2 + 1, x^2 + x, x^2 + x + 1 \\ & x - x(x+1) \quad "x(x+1)" \\ & x^4 + x^2 + 1 = (x^2 + x + 1)^2 \end{aligned}$$

all poly's of degree 2.

See MacWilliams & Sloane, The Theory of Error-Correcting Codes, for more extensive lists of irreducible polynomials.

What are all the cyclic (linear) binary codes of length 7? There are exactly 8 of them. (why?)

- subspace of  $F^7$ ,  $F = F_2 = \{0, 1\}$
- invariant under cyclic shift  $(a_0, a_1, a_2, a_3, a_4, a_5, a_6) \mapsto (a_6, a_0, a_1, \dots, a_5)$     $a_i \in F$

e.g.  $\{(0000000)\}$

$\{0000000, 1111111\}$

A linear code  $C \subseteq F^n$  is cyclic iff its dual code  $C^\perp \subseteq F^n$  is also cyclic.

$$\dim C + \dim C^\perp = n$$

$$\begin{array}{cccccc} 1 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 1 & 0 & 0 \end{array}$$

$\{\text{words in } F^7 \text{ of even weight}\} = \langle 1100000, 1010000, 1001000, 1000100, 1000010, 1000001 \rangle$

Hamming  $[7, 4, 3]_2$  code  $\mathcal{H} = \langle 1101000, 0110100, \dots, 1010001 \rangle$  (all cyclic shifts of 1101000 span this code)

$$\dim \mathcal{H} = 4, |\mathcal{H}| = 2^4 = 16: \quad \text{1 codeword of weight 0}$$

$$\begin{array}{ccccccc} 7 & \cdots & \cdots & \cdots & \cdots & 3 \\ 7 & \cdots & \cdots & \cdots & \cdots & 4 \\ & & & & & & 7 \end{array}$$

Its dual  $\mathcal{H}^\perp$ ,  $\dim \mathcal{H}^\perp = 3$  is a  $[7, 3, 4]_2$ -code.

$\mathcal{H}^\perp$  has 1 codeword of weight 0

$$\mathcal{H}^\perp = \mathcal{H} \cap \langle 1111111 \rangle$$

$\mathcal{H}^\perp = \langle 1011000, 0101100, \dots, 0110001 \rangle$  also  $[7, 1, 3]_2$

$\mathcal{H}^\perp$  also  $[7, 3, 4]_2$

$$x^{q-1} \in F[x] \quad \text{where } n = \text{length} \\ \text{actually } x^{q-1}, \quad F = \mathbb{F}_2 \\ x^8 - 1 = \underbrace{(x-1)(x^6 + x^5 + x^4 + x^3 + x^2 + 1)}_{\text{i.e. } x+1} = (x-1) \underbrace{(x^3 + x + 1)}_{(x-\alpha)(x-\alpha^2)(x-\alpha^4)} \underbrace{(x^3 + x^2 + 1)}_{(x-\beta)(x-\beta^2)(x-\beta^4)}$$

$$\text{If } E = \mathbb{F}_q, \quad x^2 - x = \sum_{i=0}^{q-1} x^i (x-i)(x-q_1)(x-q_2) \cdots (x-q_{q-1})$$

i.e.  $x^{q-1}$  has  $q-1$  distinct roots which are the nonzero field elements.

If  $\alpha \in \mathbb{F}_8$  is a root of  $x^3 + x + 1$

$$\begin{aligned} \mathbb{F}_8 &= \mathbb{F}_2[\alpha] = \{a_0 + a_1\alpha + a_2\alpha^2 : a_0, a_1, a_2 \in \mathbb{F}_2\} \\ &= \{0, 1, \alpha, \alpha+1, \alpha^2, \alpha^2+1, \alpha^2+\alpha, \alpha^2+\alpha+1\} \end{aligned}$$

Squaring is an automorphism of  $\mathbb{F}_8$ .

$$(u+v)^2 = u^2 + v^2 \\ (uv)^2 = u^2 v^2$$

If  $f(x) \in \mathbb{F}_p[x]$  is irreducible of degree  $d$ , then  $\mathbb{F}_p[x]/(f(x)) \cong \mathbb{F}_{p^d} = \mathbb{F}_p[\beta]$  where  $\beta$  is a root of  $f(x)$ .  
 $\mathbb{F}_{p^d} = \{0, 1, \beta, \beta^2, \beta^3, \dots, \beta^{p^d-2}\}$  then we say  $\beta$  is a primitive element and we say  $f(x)$  is a primitive polynomial.

$$\begin{aligned} \mathbb{F}_{p^d} &= \{a_0 + a_1\beta + a_2\beta^2 + \dots + a_{d-1}\beta^{p^d-1} : a_i \in \mathbb{F}_p\} \\ (\beta \text{ generates } \mathbb{F}_{p^d}) &\Rightarrow \mathbb{F}_{p^d} \text{ is a field} \\ \text{as an algebra} \end{aligned}$$

If  $f(x) = x^4 + x^3 + x^2 + x + 1$  and  $\beta \in \mathbb{F}_{16} = \mathbb{F}_2$  is a root of  $f(x)$  then  $\beta^5 = 1$  since  $\beta$  is a root of  $f(x)$   
 $0, 1, \beta, \beta^2, \beta^3, \beta^4, 1, \beta, \beta^2, \dots$  doesn't give all of  $\mathbb{F}_{16}$ .

$$\beta^5 - 1 = (\beta - 1)(\beta^4 + \beta^3 + \beta^2 + \beta + 1) = 0$$

There are eight ways to factor  $x^7 - 1 = g(x)h(x)$  in  $\mathbb{F}_2[x]$ . In each case  $g(x)$  is a generator poly. and  $h(x)$  is a parity check poly. for a cyclic code of length 7 over  $\mathbb{F}_2 = \{0, 1\} \subset \mathbb{F}$ .

Cyclic codes  $\xrightarrow{\text{(linear)}}$  ideals in  $\mathbb{F}[x]/(x^7 - 1)$

$$g(x) = 1, \quad h(x) = x^7 - 1, \quad \text{gives } \mathbb{F}^7$$

$$g(x) = x^7 - 1, \quad h(x) = 1 \quad \text{gives } \{0000000\}$$

$$g(x) = x+1, \quad h(x) = x^6 + x^5 + x^4 + x^3 + x^2 + x + 1 \quad \text{gives all words of even weight i.e. } \langle 1100000, 1010000, \dots, 1000001 \rangle$$

$$g(x) = x^6 + x^5 + \dots + 1, \quad h(x) = x+1 \quad \text{gives } \langle 111111 \rangle = \{0000000, 1111111\}$$

$$g(x) = 1+x+x^3, \quad h(x) = 1+x^2+x^3+x^4 \quad \text{gives } \mathcal{H} \quad [7, 4, 3]_2 \text{ code}$$

BCH bound : a lower bound for performance of a cyclic code.

Consider a cyclic code of length  $n$  over  $\mathbb{F}$ , i.e. an ideal in  $\mathbb{F}_q[x]/(x^n - 1)$  with gen. poly.  $g(x)$ , parity check poly.  $h(x)$ ,  $x^n - 1 = g(x)h(x)$ ,  $g(x)$  primitive,  $\beta$  root of  $g(x)$  in  $\mathbb{F}_{q^r}$ ,  $r = \deg g(x)$ , and  $\beta, \beta^2, \dots, \beta^{n-1}$  are roots of  $g(x)$ , then the code has min. distance  $\geq s$ .

For Hamming  $[7, 4, 3]_2$  code  $\beta$  root of  $g(x) = 1+x+x^3 \in \mathbb{F}[x]$ ,  $\beta \in \mathbb{F}_8 = \mathbb{F}_2[\beta]$   
 Also  $\beta^2, \dots, \beta^6$  by Freshman's Dream

$$\begin{aligned} 1+\beta+\beta^3 &= 0 \\ (1+\beta+\beta^3)^2 &= 1+\beta^2+\beta^6 = 0 = 1+\beta^2+(\beta^2)^3 \Rightarrow \mathcal{H} \text{ has min. dist. } \geq 3. \end{aligned}$$