

## Solutions to Practice Problems 1

October, 2024

1.  $\alpha^3 = 2 + 3 \cdot 2^{4/3} + 3 \cdot 2^{5/3} + 4 = 6 + 6(2^{1/3} + 2^{2/3}) = 6 + 6\alpha$ , so  $\alpha$  is a root of  $m(x) = x^3 - 6x - 6 \in \mathbb{Z}[x]$ . This has no integer roots (any integer root would have to divide 6, but we easily check that  $\pm 1, \pm 2, \pm 3, \pm 6$  are not roots of  $m(x)$ ). So  $m(x)$  is irreducible in  $\mathbb{Z}[x]$ , so it is irreducible in  $\mathbb{Q}[x]$ : it is the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ .
2. Since  $f(a) = a^4 + 1 > 0$  for all  $a \in \mathbb{R}$ ,  $f(x)$  has no real roots and certainly no rational roots. If it factors as a product of two quadratic factors in  $\mathbb{Z}[x]$  then any such factorization must have the form  $f(x) = (x^2 + ax + b)(x^2 - ax + b)$  where  $a, b \in \mathbb{Z}$  (in order to avoid terms of degree 3). But then  $b = \pm 1$  and  $2b - a^2 = 0$  so  $a^2 = \pm 2$  which has no integer solutions, a contradiction. We conclude that  $f(x)$  is irreducible in  $\mathbb{Z}[x]$  and also in  $\mathbb{Q}[x]$ .

Note that  $\zeta^4 = -1$  so  $\zeta^8 = 1$ . We have  $\mathbb{Q}[\zeta] \subseteq \mathbb{Q}[\zeta^3] \subseteq \mathbb{Q}[\zeta^9] = \mathbb{Q}[\zeta]$  so  $E = \mathbb{Q}[\zeta] = \mathbb{Q}[\zeta^3]$ . Similar arguments show  $E = \mathbb{Q}[\zeta^5] = \mathbb{Q}[\zeta^7]$ . In fact the roots of  $f(x)$  are  $\zeta, \zeta^3, \zeta^5, \zeta^7$  and so these four roots are conjugates. For  $k \in \{1, 3, 5, 7\}$ , denote by  $\sigma_k : E \rightarrow E$  the automorphism which satisfies  $\sigma_k(\zeta) = \zeta^k$ . Such automorphisms exist since  $\zeta^k$  ( $k = 1, 3, 5, 7$ ) are conjugates of  $\zeta$ . These are all the automorphisms of  $E$  since any automorphism  $\sigma \in \text{Aut } E$  must map  $\zeta \mapsto \zeta^k$  for some  $k \in \{1, 3, 5, 7\}$ , these being all the roots of  $f(x)$ ; and since  $\zeta$  generates the extension  $E \supseteq \mathbb{Q}$ ,  $\sigma$  must coincide with  $\sigma_k$ . It is easy to see that  $G = \text{Aut } E = \{\sigma_1, \sigma_3, \sigma_5, \sigma_7\}$  is a Klein four-group.

3. The similar example done in class, was the splitting field of  $x^3 + x^2 - 2x - 1$ , where the map  $t \mapsto t^2 - 2$  was used to cycle the three roots. Other than the choice of polynomials, the details are the same and so I give a rather quick sketch of the proof; see the handout for further details. This example is useful for practicing the basic steps in studying Galois extensions.

The polynomial  $f(x) \in \mathbb{Q}[x]$  is irreducible in  $\mathbb{Q}[x]$ . (Otherwise it would have an integer root  $\pm 1$ , but  $f(1) = -1$  and  $f(-1) = 3$ .) So we have a cubic extension  $E = \mathbb{Q}[\alpha] \supset \mathbb{Q}$  where  $\alpha \in \mathbb{C}$  is a root of  $f(x) = x^3 - 3x + 1$ . Then

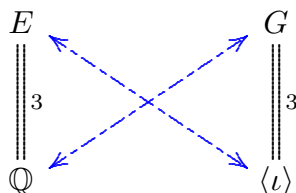
$$\alpha^3 = 3\alpha - 1$$

$$\alpha^4 = 3\alpha^2 - \alpha$$

$$\alpha^5 = 3\alpha^3 - \alpha^2 = -\alpha^2 + 9\alpha - 3$$

$$\alpha^6 = -\alpha^3 + 9\alpha^2 - 3\alpha = 9\alpha^2 - 6\alpha + 1.$$

Using these identities, it is easy to check that  $f(2-\alpha-\alpha^2) = 0$ , so the polynomial function  $g(t) = 2-t-t^2$  permutes the three roots of  $f(x)$ . None of the roots can be fixed by  $g$ , otherwise that root would be a root of a quadratic polynomial  $g(x)-x = 2-2x-x^2$ , which is not divisible by  $f(x)$ . So  $g$  must permute the three roots  $\alpha \mapsto \beta \mapsto \gamma \mapsto \alpha$ . Since  $\beta = g(\alpha) \in \mathbb{Q}[\alpha]$ , we have  $\mathbb{Q}[\beta] \subseteq \mathbb{Q}[\alpha]$ . The same argument shows that  $\mathbb{Q}[\beta] \subseteq \mathbb{Q}[\alpha] \subseteq \mathbb{Q}[\gamma] \subseteq \mathbb{Q}[\beta]$ , so in fact  $\mathbb{Q}[\alpha] = \mathbb{Q}[\beta] = \mathbb{Q}[\gamma] = E$ . So the map  $\sigma : E \rightarrow E$  defined by  $\sigma(a+b\alpha+c\alpha^2) = a+b\beta+c\beta^2$  (for all  $a, b, c \in \mathbb{Q}$ ) is an automorphism of  $E$ . (*Warning:* Although  $\sigma$  permutes the three roots in the same way that  $g$  does,  $\sigma(t) \neq g(t)$  for other elements  $t \in E$ . The map  $E \rightarrow E, t \mapsto g(t)$  is not even one-to-one, e.g.  $g(-2) = g(1) = 0$ . Of course,  $\sigma(-2) = -2$  and  $\sigma(1) = 1$ .) The group  $G = \text{Aut } E = \langle \sigma \rangle = \{\iota, \sigma, \sigma^2\}$  is cyclic of order 3 and so we call  $E \supset \mathbb{Q}$  a cyclic cubic extension.



4. Let  $E \supset \mathbb{Q}$  be a quadratic extension, with basis  $\{1, \alpha\}$ . Since  $\alpha^2 \in E$ , we have  $\alpha^2 = k + \ell\alpha$  for some  $k, \ell \in \mathbb{Q}$ . After multiplying by the least common multiple of the denominators, we find that  $\alpha$  is a root of  $ax^2 + bx + c$  for some  $a, b, c \in \mathbb{Z}$ ; so  $\alpha = \frac{1}{2a}(-b \pm \sqrt{d})$  where  $d = b^2 - 4ac \in \mathbb{Z}$ . Clearly  $E = \mathbb{Q}[\alpha] = \mathbb{Q}[\sqrt{d}]$ . If  $d \not\equiv 0 \pmod{4}$ , we are done. Otherwise  $d = 4^k m$  for some  $k \geq 1$  and  $m \not\equiv 0 \pmod{4}$ . In this case,  $E = \mathbb{Q}[\sqrt{d}] = \mathbb{Q}[2^k \sqrt{m}] = \mathbb{Q}[\sqrt{m}]$  and once again, we are done.
5. This example is attributed to Daniel Shanks, who was the first person to compute the first 100,000 decimal places of  $\pi$ . I have no idea how he found the remarkable identity in (b).

(a) We have

$$\begin{aligned} \alpha - \sqrt{5} &= \sqrt{22 + 2\sqrt{5}} \\ \alpha^2 - 2\alpha\sqrt{5} + 5 &= 22 + 2\sqrt{5} \\ \alpha^2 - 17 &= 2(\alpha + 1)\sqrt{5} \\ (\alpha^2 - 17)^2 &= 20(\alpha + 1)^2 \\ \alpha^4 - 34\alpha^2 + 289 &= 20\alpha^2 + 40\alpha + 20 \\ \alpha^4 - 54\alpha^2 - 40\alpha + 269 &= 0, \end{aligned}$$

so  $\alpha$  is a root of  $m(x) = x^4 - 54x^2 - 40x + 269 \in \mathbb{Q}[x]$ . You can use the usual procedure to show that  $m(x)$  is irreducible in  $\mathbb{Q}[x]$ ; but let me show you a trick that simplifies the arithmetic. The substitution  $y = 4x + 3$ , i.e.  $x = \frac{y-3}{4}$ , allows us to rewrite  $m(x) = 256f(y)$  where  $f(y) = y^4 + 3y^3 - 4y - 1$ . Now  $m(x)$  is irreducible in  $\mathbb{Q}[x]$  iff  $f(y)$  is irreducible in  $\mathbb{Q}[y]$ . (Any nontrivial factorization  $m(x) = m_1(x)m_2(x)$  in  $\mathbb{Q}[x]$  gives a nontrivial factorization  $f(y) = f_1(y)f_2(y)$  in

$\mathbb{Q}[y]$ , and conversely.) It suffices to show that  $f(y)$  has no nontrivial factorization in  $\mathbb{Z}[y]$ . First,  $f(y)$  has no linear factors in  $\mathbb{Z}[y]$ , otherwise it would have a root in  $\mathbb{Z}$  dividing 1; but both  $f(1) = -1$  and  $f(-1) = 1$  are nonzero, so this cannot happen. If  $f(y)$  factors into quadratic factors in  $\mathbb{Z}[y]$ , then

$$f(y) = y^4 + 3y^2 - 4y - 1 = (y^2 + ay - 1)(y^2 + by + 1)$$

for some  $a, b \in \mathbb{Z}$ . From the coefficients of  $y^3$  and  $y$ , we get  $a + b = 3$  and  $a - b = -4$ . Adding these equations gives  $2a = -1$ , which is not possible for  $a \in \mathbb{Z}$ . This contradiction proves that  $f(y) \in \mathbb{Q}[y]$  and so  $m(x) \in \mathbb{Q}[x]$  is irreducible. So  $m(x)$  is the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ .

(b) I will denote the given expression by  $\theta = \sqrt{u} + \sqrt{v + 2\sqrt{w}}$  where

$$u = 11 + 2\sqrt{29}, \quad v = 16 - 2\sqrt{29}, \quad w = 55 - 10\sqrt{29}.$$

The fact that  $u, v, w \in \mathbb{Q}[\sqrt{29}]$  will be helpful in these calculations. In particular, note that  $uw = 25$ . My strategy is to show that  $\theta$  has the same minimal polynomial over  $\mathbb{Q}$  as  $\alpha$ . Using calculus, we see that  $m(x)$  has four real roots, one on each of the intervals  $[-7, -6]$ ,  $[-3, -2]$ ,  $[1, 2]$ ,  $[7, 8]$ . Numerical estimates show that  $\alpha, \theta \in [7, 8]$ , so they are both equal to the largest root of  $m(x)$ . This gives  $\alpha = \theta$ . Now

$$\begin{aligned} \theta - \sqrt{u} &= \sqrt{v + 2\sqrt{w}} \\ \theta^2 - 2\theta\sqrt{u} + u &= v + 2\sqrt{w} \\ \theta^2 + u - v &= 2\theta\sqrt{u} + 2\sqrt{w} \\ (\theta^2 + u - v)^2 &= (2\theta\sqrt{u} + 2\sqrt{w})^2 \\ \theta^4 + 2(u-v)\theta^2 + (u-v)^2 &= 4u\theta^2 + 8\theta\sqrt{uw} + 4w \\ \theta^4 + 2(u-v)\theta^2 + (u-v)^2 &= 4u\theta^2 + 40\theta + 4w \\ \theta^4 + [2(u-v) - 4u]\theta^2 - 40\theta + [(u-v)^2 - 4w] &= 0 \\ \theta^4 - 54\theta^2 - 40\theta + 269 &= 0. \end{aligned}$$

Here we have carefully calculated the coefficients using arithmetic in  $\mathbb{Q}[\sqrt{29}]$ ; and it follows that  $\theta = \alpha$  as explained above.

6. From  $m(x) = x^3 - 7x^2 + 5x - 3 = (x - \alpha)(x - \beta)(x - \gamma)$  we obtain

$$\alpha + \beta + \gamma = 7, \quad \alpha\beta + \alpha\gamma + \beta\gamma = 5, \quad \alpha\beta\gamma = -3.$$

This answers (a) and (b). As for (c), we have

$$\alpha^2 + \beta^2 = \gamma^2 = (\alpha + \beta + \gamma)^2 - 2(\alpha\beta + \alpha\gamma + \beta\gamma) = 7^2 - 2 \cdot 5 = 39.$$

The fact that all these values are rational follows from the fact that each of the expressions is fixed by the Galois group of the polynomial (since permuting  $\alpha, \beta, \gamma$  does not change them). The fact that they are all integers follows from knowing a little more algebra beyond what we are covering in our course: Not only are  $\alpha, \beta, \gamma$

*algebraic numbers* (i.e. roots of nonzero polynomials with integer coefficients), they are in fact *algebraic integers* (i.e. roots of *monic* polynomials with integer coefficients).

7. This exercise uses the isomorphism  $E = \mathbb{Q}[\alpha]$  to a subring of the  $3 \times 3$  rational matrices, where  $\alpha$  is a root of  $m(x) = x^3 + x^2 - 2x - 1$ . As studied in class,  $m(x)$  has three roots  $\alpha, \beta, \gamma$  which are permuted cyclically by  $t \mapsto t^2 - 2$ . From

$$x^3 + x^2 - 2x - 1 = (x - \alpha)(x - \beta)(x - \gamma)$$

we obtain

$$\alpha + \beta + \gamma = -1, \quad \alpha\beta + \alpha\gamma + \beta\gamma = -2, \quad \alpha\beta\gamma = 1.$$

The isomorphism from  $E$  to a subring of  $\mathbb{Q}^{3 \times 3}$  maps  $\alpha, \beta, \gamma$  to a triple of matrices  $A, B, C$  satisfying exactly the same relations. You can find one of the three matrices using a companion matrix for  $m(x)$ , as I have explained earlier; then generate the other two using the cyclic action of  $t \mapsto t^2 - 2$  on the roots. One possible solution is

$$A = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 2 \\ 0 & 1 & -1 \end{bmatrix}, \quad B = \begin{bmatrix} -2 & 1 & -1 \\ 0 & 0 & -1 \\ 1 & -1 & 1 \end{bmatrix}, \quad C = \begin{bmatrix} 1 & -1 & 0 \\ -1 & -1 & -1 \\ -1 & 0 & -1 \end{bmatrix}.$$

8. (a)  $i^i = (e^{(2n+\frac{1}{2})\pi i})^i = e^{-(2n+\frac{1}{2})\pi}$  for  $n \in \mathbb{Z}$ . Interestingly, all possible values of  $i^i$  are real.  
 (b) The values  $\alpha = \sqrt{2}^{\sqrt{2}}$  and  $\beta = \sqrt{2}$  are irrational. (By the Gelfond-Schneider Theorem,  $\alpha$  is in fact transcendental.) Also  $\alpha^\beta = (\sqrt{2}^{\sqrt{2}})^{\sqrt{2}} = \sqrt{2}^2 = 2$  is rational.

9. First observe that  $\alpha = \pi^2 - 1$  is transcendental. This is because any polynomial in  $\alpha$  (with rational coefficients) is a polynomial in  $\pi$  (with rational coefficients). Even more bluntly, if a nonzero polynomial  $g(x) \in \mathbb{Q}[x]$  of degree  $n \geq 1$  satisfies  $g(\alpha) = 0$ , then  $f(\pi) = 0$  where  $f(x) = g(x^2 - 1)$ . And  $\deg f(x) = 2n \geq 2$ , so this is also a nonzero polynomial. This is a contradiction.

Next, suppose  $\sqrt{\alpha}$  is algebraic; and let  $h(x) \in \mathbb{Q}[x]$  be a nonzero polynomial having  $h(\sqrt{\alpha}) = 0$ . The polynomial  $f(x) = h(x)h(-x)$  is also nonzero (its degree is twice the degree of  $h(x)$ ) and  $f(x)$  is an even polynomial (i.e.  $f(-x) = f(x)$ ). This means that every term appearing in  $f(x)$  has even degree, i.e.  $f(x) = p(x^2)$  for some nonzero polynomial  $p(y) \in \mathbb{Q}[y]$ . And  $p(\alpha) = f(\sqrt{\alpha}) = h(\sqrt{\alpha})h(-\sqrt{\alpha}) = 0$ , a contradiction. So  $\sqrt{\alpha} = \sqrt{\pi^2 - 1}$  is also transcendental.

We don't actually have to be this clever. There are much easier ways to answer the question, after we cover some more basic results. An extension  $E \supseteq F$  is *algebraic* if every  $\alpha \in E$  is algebraic over  $F$  (i.e. there exists a nonzero  $f(x) \in F[x]$  such that  $f(\alpha) = 0$ ). Every finite extension is algebraic. And given a tower of extensions  $E \supseteq K \supseteq F$ , the extension  $E \supseteq F$  is algebraic iff both of the extensions  $E \supseteq K$  and

$K \supseteq F$  are algebraic. And given an element  $\alpha$  in some extension of  $F$ , the element  $\alpha$  is algebraic over  $F$  iff the extension  $F(\alpha) \supseteq F$  is algebraic. These facts are ... well, not too hard to prove. Now consider  $\beta = \sqrt{\pi^2 - 1} = \sqrt{\alpha}$  where  $\alpha = \pi^2 - 1$ . Then  $\beta \in K(\beta) \supseteq K \supseteq \mathbb{Q}$  and  $\pi \in K(\pi) \supseteq K \supseteq \mathbb{Q}$  where  $K = \mathbb{Q}(\alpha)$ . The extension  $K(\pi) \supseteq \mathbb{Q}$  cannot be algebraic since it contains a transcendental element  $\pi$ . But  $K(\pi) \supseteq K$  is algebraic since  $\pi$  is a root of  $x^2 - \alpha \in K[x]$ . So the extension  $K \supseteq \mathbb{Q}$  cannot be algebraic. In particular, the extension  $K(\pi) = \mathbb{Q}(\pi) \supseteq \mathbb{Q}$  cannot be algebraic.

10. Numerical evaluation of the sequence of approximations  $\sqrt{2}, \sqrt{2}^{\sqrt{2}}, \sqrt{2}^{\sqrt{2}^{\sqrt{2}}}, \dots$  leads us to conjecture that the limit is 2. The decimal approximations also lead us to believe that the sequence is increasing, which suggests the following plan of attack.

Define  $f(x) = 2^{x/2} = \sqrt{2}^x$ . Whenever  $0 < x < 2$ , we have  $0 < x < f(x) < 2$ . (Use  $f(x) = 2^{x/2} < 2^1 = 2$ . Also by the first derivative test, the function  $g(x) = \frac{\ln x}{x}$  is increasing on the interval  $(0, e]$ , so  $\frac{\ln x}{x} < \frac{\ln 2}{2}$  and  $x = e^{\ln x} < e^{(x \ln 2)/2} = f(x)$ .) Our sequence of approximations is  $f(1), f(f(1)), f(f(f(1))), \dots$  which is an increasing sequence of real numbers less than 2. So it converges to a real number  $\alpha \leq 2$ . This number satisfies  $\alpha = f(\alpha)$ , so  $\ln \alpha = \ln f(\alpha) = \frac{\alpha \ln 2}{2}$ , i.e.  $g(\alpha) = g(2)$ . Again using the fact that  $g(x)$  is increasing on the interval  $(0, e]$ , this forces  $\alpha = 2$ . Of course this value is rational.

11. The required value  $\alpha$  satisfies  $\alpha = \sqrt{5 + \sqrt{5 - \alpha}}$ , so  $\alpha^2 = 5 + \sqrt{5 - \alpha}$ ,  $\alpha^2 - 5 = \sqrt{5 - \alpha}$  and  $\alpha^4 - 10\alpha^2 + 25 = 5 - \alpha$ . So  $\alpha$  is a root of

$$x^4 - 10x^2 + x + 20 = (x^2 + x - 5)(x^2 - x - 4).$$

Thus  $\alpha \in \{\frac{1}{2}(-1 \pm \sqrt{21}), \frac{1}{2}(1 \pm \sqrt{17})\}$ . However, it is evident from the original expression for  $\alpha$  that we must have  $\alpha > \sqrt{5}$ . Only one of the four roots satisfies this requirement, giving  $\alpha = \frac{1}{2}(1 + \sqrt{17})$ . This value is algebraic of degree two (a quadratic irrational) with minimal polynomial  $x^2 - x - 4$  over  $\mathbb{Q}$ .

*Remarks.* This identity for  $\alpha$  is unexpected, much as Shanks' identity in #5(b) is unexpected. An important point here is that after finding a monic polynomial in  $\mathbb{Z}[x]$  having  $\alpha$  as a root, we would usually expect this to be the minimal polynomial. This example reminds us, however, not to jump too quickly to this conclusion.

12. This example relates to straightedge-and-compass constructions, which we will discuss in class. We have a tower of extension fields

$$E_n \supseteq E_{n-1} \supseteq \dots \supseteq E_2 \supseteq E_1 \supseteq E_0 = \mathbb{Q}$$

where  $E_i = E_{i-1}[a_i \sqrt{b_i}]$  for  $i = 1, 2, \dots, n$ . Since  $a_i \sqrt{b_i}$  is a root of the quadratic polynomial  $x^2 - a_i^2 b_i \in E_{i-1}[x]$ , we have  $[E_i : E_{i-1}] \leq 2$ . By transitivity of degrees of extensions,  $[E_n : \mathbb{Q}] = 2^k$  for some  $k \in \{0, 1, 2, \dots, n\}$ . Now consider the element  $\beta \in E_n$  defined by  $\beta = \sum_{i=1}^n a_i \sqrt{b_i}$ . Since  $E_n \supseteq \mathbb{Q}[\beta] \supseteq \mathbb{Q}$ ,  $[\mathbb{Q}[\beta] : \mathbb{Q}]$  must divide  $[E_n : \mathbb{Q}] = 2^k$ . However,  $[\mathbb{Q}[\alpha] : \mathbb{Q}] = 3$ , so  $\beta \neq \alpha$ .