

# Field Theory

Book 2

Claim:  $\sqrt{3} \notin \mathbb{Q}[\sqrt{2}]$  i.e.  $\sqrt{3} = a + b\sqrt{2}$  has no solution with  $a, b \in \mathbb{Q}$ .

Suppose  $\sqrt{3} = a + b\sqrt{2}$  where  $a, b \in \mathbb{Q}$ . Then  $3 = a^2 + 2b^2 + 2ab\sqrt{2}$  so  $2ab\sqrt{2} = 3 - a^2 - 2b^2$ .

If  $ab \neq 0$  then  $\sqrt{2} = \frac{3 - a^2 - 2b^2}{2ab} \in \mathbb{Q}$ , a contradiction.

If  $b = 0$  then  $0 = 3 - a^2$  so  $a^2 = 3$ ,  $a = \pm\sqrt{3} \notin \mathbb{Q}$ , a contradiction.

If  $a = 0$  then  $0 = 3 - 2b^2$  so  $2b^2 = 3$ ,  $4b^2 = 6$ ,  $2b = \pm\sqrt{6} \notin \mathbb{Q}$ , a contradiction.  $\square$

So  $1, \sqrt{2}, \sqrt{3} \in \mathbb{R}$  are linearly independent over  $\mathbb{Q}$ .

•  $1 \neq 0$

•  $\sqrt{2} \neq$  scalar multiple of  $1$ . ( $\sqrt{2} \notin \mathbb{Q}$  by Euclid)

•  $\sqrt{3} \neq$  linear combination of  $1, \sqrt{2}$ . (proved above)

$$\sqrt{8} = 2\sqrt{2}$$

$1, \sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7}, \sqrt{11}, \sqrt{13}, \sqrt{17}, \sqrt{19}, \sqrt{23}, \dots$  are linearly independent.

$[\mathbb{R} : \mathbb{Q}] = \infty$  (in fact uncountable)

Also  $1, \pi, \pi^2, \pi^3, \dots$  are linearly independent over  $\mathbb{Q}$ . (since  $\pi$  is transcendental).

An extension  $E \supseteq F$  is finite if  $[E : F] < \infty$ , i.e.  $[E : F] = n$  is a positive integer.

eg  $\mathbb{C} \supseteq \mathbb{R}$  is a quadratic extension, hence finite,  $[\mathbb{C} : \mathbb{R}] = 2$ .

A finite extension of  $\mathbb{Q}$  i.e.  $E \supseteq \mathbb{Q}$  with  $[E : \mathbb{Q}] = n$ , a positive integer, is called a number field (or algebraic number field). Here every element  $\alpha \in E$  is algebraic over  $\mathbb{Q}$ . Why?

$1, \alpha, \alpha^2, \dots, \alpha^n$  are  $n+1$  vectors in an  $n$ -dimensional vector space  $E \supseteq \mathbb{Q}$  so this list is linearly dependent i.e.  $q_0 + q_1\alpha + q_2\alpha^2 + \dots + q_n\alpha^n = 0$  for some  $q_0, q_1, \dots, q_n \in \mathbb{Q}$ , not all zero, i.e.  $\alpha$  is a root of some nonzero polynomial  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n \in \mathbb{Q}[x]$ .

If  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n$  then the degree of  $f(x)$ , denoted  $\deg f(x)$ , is the largest  $d$  such that  $a_d \neq 0$ .

$$\deg(3x^2 + 5x + 7) = 2$$

$$\deg(0x^2 + 5x + 7) = \deg(5x + 7) = 1$$

$$\deg(7) = \deg(7x^0) = 0$$

$\deg 0$  is sometimes left undefined (not 0) or  $\deg 0 = -\infty$ .

$$\deg[(3x^2 + 5x + 7)(x^3 - 4x - 11)] = \deg(3x^5 + \dots - 77) = 5$$

$$\deg[f(x)g(x)] = \deg f(x) + \deg g(x)$$

If  $g(x) = x^3 - 4x - 11$  then  $\deg 0 = 0$

$$\deg(0g(x)) = \deg 0$$

$$\deg 0 + \deg g(x) = \deg 0 + 3$$

$$\deg 0 = (\deg 0) + 3$$

There is no integer value for  $\deg 0$  that satisfies this.  
(We don't choose  $+\infty$ ; we choose  $-\infty$ .)

Let  $\alpha \in \mathbb{C}$ . If  $\alpha$  is the root of some nonzero poly.  $f(x) \in \mathbb{Q}[x]$  (i.e.  $f(\alpha) = 0$ ) then  $\alpha$  is algebraic of degree  $n$  where  $n$  is the smallest degree of any such polynomial  $f(x)$ . In this case, the smallest degree monic polynomial having  $\alpha$  as a root is the minimal polynomial of  $\alpha$  (over  $\mathbb{Q}$ ).

eg.  $\sqrt{14}$  is algebraic of degree 2 with min. poly.  $x^2 - 14 \in \mathbb{Q}[x]$ .

Look at powers  $1, \alpha, \alpha^2, \alpha^3, \dots$

$$\alpha = \sqrt{14} \Rightarrow 1, \alpha \text{ lin. indep.}$$

$$1, \alpha, \alpha^2 \text{ lin. dep.}$$

$$\alpha^2 = 0 \cdot \alpha + 14 \cdot 1$$

$\alpha = \sqrt{2} + \sqrt{5}$  is algebraic of degree 4 with min. poly.  $x^4 - 10x^2 + 1$ . Why is  $\alpha = \sqrt{2} + \sqrt{5}$  not a root of any smaller degree poly. with rational coefficients?

If  $m(x) = x^4 - 10x^2 + 1 = f(x)g(x)$  where  $f(x), g(x) \in \mathbb{Q}[x]$  then one of  $f(x), g(x)$  is a constant polynomial. Assuming we start with a monic poly. with integer coefficients, it suffices to check that there is no nontrivial factorization over  $\mathbb{Z}[x]$ .

If  $x^4 - 10x^2 + 1 = f(x)g(x)$ ,  $f(x), g(x) \in \mathbb{Z}[x]$ , neither  $f(x)$  nor  $g(x)$  is constant then either

(i)  $\deg f(x) = 1$ ,  $\deg g(x) = 3$ ; or

(ii)  $\deg f(x) = \deg g(x) = 2$ . (The case  $\deg f(x) = 3, \deg g(x) = 1$  is essentially case (i)).

In both cases we obtain a contradiction.

In case (i),  $x^4 - 10x^2 + 1 = (x+a)(x^3+bx^2+cx+d)$ ,  $ad=1$ ,  $a=d=\pm 1$ .

In this case  $m(x)$  has  $\pm 1$  as a root but  $m(1) = -8 = m(-1)$ , a contradiction.

In case (ii),  $m(x) = x^4 - 10x^2 + 1 = (x^2+ax+b)(x^2-ax+c)$ ,  $a, b, c \in \mathbb{Z}$  (since there is no  $x^3$  term on the left).

Once again,  $bc=1$  so  $b=c=\pm 1$ . Now

$$m(x) = x^4 - 10x^2 + 1 = (x^2 + ax \pm 1)(x^2 - ax \pm 1). \text{ Comparing } x^2 \text{ terms on both sides,}$$

$$-10 = \pm 2 - a^2 \text{ i.e. } a^2 = 10 \pm 2 = 8 \text{ or } 12.$$

This is a final contradiction so  $m(x) = x^4 - 10x^2 + 1$  is irreducible in  $\mathbb{Z}[x]$  and in  $\mathbb{Q}[x]$ .

Note:  $x^4 + x^2 + 1$  is reducible in  $\mathbb{Z}[x]$  as well as in  $\mathbb{Q}[x]$ : it factors nontrivially as

$$x^4 + x^2 + 1 = (x^2 + x + 1)(x^2 - x + 1).$$

This polynomial has no roots in  $\mathbb{Z}$  or in  $\mathbb{Q}$  or in  $\mathbb{R}$ .

$x^4 - 10x^2 + 1$  is irreducible in  $\mathbb{Z}[x]$  and in  $\mathbb{Q}[x]$  but reducible in  $\mathbb{R}[x]$ . Every polynomial of degree  $\geq 3$  in  $\mathbb{R}[x]$  is reducible.

$$x^4 - 10x^2 + 1 = x^4 + 2x^2 + 1 - 8x^2 = (x^2 + 1)^2 - (2\sqrt{2}x)^2 = (x^2 + 1 + 2\sqrt{2}x)(x^2 + 1 - 2\sqrt{2}x)$$

The polynomial  $x - \sqrt{2}$  is irreducible in  $\mathbb{Z}[x]$  and in  $\mathbb{Q}[x]$  and in  $\mathbb{R}[x]$ . It has a root  $\sqrt{2} \in \mathbb{Z}$ .

Theorem: If  $f(x) \in \mathbb{Z}[x]$  is monic, then  $f(x)$  is reducible in  $\mathbb{Q}[x]$  iff  $f(x)$  is reducible in  $\mathbb{Z}[x]$ . Assume this, and use it!

For  $f(x) \in \mathbb{Q}[x]$  of degree  $\geq 3$ ,  $f(x)$  is reducible in  $\mathbb{Q}[x]$  iff it has a root in  $\mathbb{Q}$ .

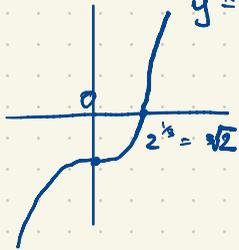
This is not true for  $\deg f(x) \geq 4$ .

Eg.  $f(x) = x^4 + x^2 + 1$  has no roots in  $\mathbb{Q}$  but it is reducible in  $\mathbb{Q}[x]$

eg.  $x^3 - 2$  is irreducible in  $\mathbb{Q}[x]$  since it has no roots in  $\mathbb{Q}$ . You need to master this point!

$x^3 - 2$  has no rational roots: it has one real root  $2^{1/3} \notin \mathbb{Q}$  essentially by Euclid's argument.

$y = x^3 - 2$  If  $x = 2^{1/3} \in \mathbb{Q}$ ,  $x^3 = 2$ , write  $x = \frac{a}{b}$  with  $a, b$  positive integers in lowest terms ( $\gcd(a, b) = 1$ ) then  $\frac{a^3}{b^3} = 2$  so  $a^3 = 2b^3$  is even so  $a$  is even i.e.  $a = 2r$  for some positive integer  $r$ , so  $8r^3 = 2b^3$  and  $b^3 = 4r^3$  is even so  $b$  is even i.e.  $b = 2s$  for some  $s \in \mathbb{Z}$ . This contradicts  $\gcd(a, b) = 1$ .



Now if  $x^3 - 2$  is reducible in  $\mathbb{Q}[x]$  then  $x^3 - 2 = (x+a)(x^2+bx+c)$ ,  $a, b, c \in \mathbb{Q}$  but then  $-a \in \mathbb{Q}$  is a root, contradiction.

For  $f(x) \in F[x]$  where  $F$  is a field ( $f(x)$  is a polynomial in  $x$  with coefficients in the field  $F$ ) and  $r \in F$ , we have:

$r$  is a root of  $f(x)$  iff  $x-r$  is a <sup>linear factor i.e. factor of degree 1.</sup> factor of  $f(x)$   
 i.e.  $f(r) = 0$  i.e.  $f(x) = (x-r)q(x)$ ,  $q(x) \in F[x]$   
 i.e.  $r$  is a "zero" of  $f(x)$

In one direction this "iff" statement is obvious: if  $f(x) = (x-r)q(x)$  then  $f(r) = (r-r)q(r) = 0$ .

What about the converse? By the Division Algorithm,  $f(x) = q(x)(x-r) + a(x)$ ,  $\deg a(x) < \deg(x-r)$

If  $r$  is a root of  $f(x)$  then  $f(r) = 0 = \frac{q(r)(r-r)}{0} + a \Rightarrow a = 0$   
 $\Rightarrow f(x) = q(x)(x-r)$   $\deg a(x) < \deg(x-r)$   
 $0 \text{ or } -\infty$   
 $a(x) = a = \text{constant}$

We require the Division Algorithm for this.

Review the Division Algorithm for integers  $\mathbb{Z}$ :

Let  $n, d \in \mathbb{Z}$  with  $d \geq 1$ . (OK for  $d$  negative but we cannot use  $d=0$ .) In general  $d$  won't divide  $n$  evenly; there is a remainder.

Theorem There exist unique  $q, r \in \mathbb{Z}$  such that  $n = qd + r$ ,  $0 \leq r < d$ .

Eg.  $n=65, d=7$ ,  $65 = \underline{9} \cdot 7 + \underline{2}$   $7 \nmid 65 \neq$

$$65 = \underline{8} \cdot 7 + \underline{9}$$

$$91 = \underline{13} \cdot 7 + \underline{0}$$

quotient          remainder           $7 \mid 91$

$d$  divides  $n$  ( $d \mid n$ )  $\iff n$  is a multiple of  $d$ ,  $n = qd$  (i.e.  $r=0$ ).

Similarly in  $F[x]$ ,  $F$  any field. eg.  $\mathbb{Q}[x], \mathbb{R}[x], \dots$  not  $\mathbb{Z}[x]$ .

Theorem (Division Algorithm for polynomials) Let  $F$  be any field and let  $f(x), d(x) \in F[x]$  where  $\deg d(x) \geq 1$ . Then there exist unique  $q(x), r(x) \in F[x]$  such that

$$f(x) = q(x)d(x) + r(x), \quad \deg r(x) < \deg d(x).$$

Eg.  $F = \mathbb{Q}$ ,  $f(x) = x^3 - 2x - 3$ ,  $d(x) = x^2 + x + 1$ .

$$f(x) = x^3 - 2x - 3 = (x-1)(x^2 + x + 1) + (-2x - 2)$$

$d =$  "divisor"  
 $q =$  "quotient"  
 $r =$  "remainder"

$$\begin{array}{r} 9 \\ 7 \overline{) 65} \\ \underline{63} \\ 2 \end{array}$$

$$\begin{array}{r} x-1 \\ x^2+x+1 \overline{) x^3-2x-3} \\ \underline{x^3+x^2+x} \\ -x^2-3x-3 \\ \underline{-x^2-x-1} \\ -2x-2 \end{array}$$

$$x^2 + x + 1 = \left(-\frac{1}{2}x\right)(-2x-2) + (1)$$

$$-2x-2 \overline{) \begin{array}{l} x^2 + x + 1 \\ x^2 + x \\ \hline 1 \end{array}}$$

The Division Algorithm leads to Euclid's Algorithm (for  $\mathbb{Z}$ ,  $F[x]$ , ...)  
not  $\mathbb{Z}[x]$

$$\gcd(100, 27) = 1 = a \cdot 100 + b \cdot 27 \quad \text{for some } a, b \in \mathbb{Z}$$

$$100 = 3 \times 27 + 19$$

$$27 = 1 \times 19 + 8$$

$$19 = 2 \times 8 + 3$$

$$8 = 2 \times 3 + 2$$

$$3 = 1 \times 2 + 1$$

$$2 = 2 \times 1 + 0$$

Last nonzero remainder

$$\gcd(100, 27) = 1 = 3 - 2$$

$$= 3 - (8 - 2 \times 3)$$

$$= 3 \times 3 - 8$$

$$= 3 \times (19 - 2 \times 8) - 8$$

$$= 3 \times 19 - 7 \times 8$$

$$= 3 \times 19 - 7 \times (27 - 19)$$

$$= 10 \times 19 - 7 \times 27$$

$$= 6 \times (100 - 3 \times 27) - 37 \times 27$$

$$= 10 \times 100 - 37 \times 27$$

$$= 1$$

Continue  
Monday

Shorthand

100	27	
1	0	100
0	1	27
1	-3	19
-1	4	8
3	-11	3
-7	26	2
10	-37	1
*	*	0

$$\gcd(100, 27) = 1 = 10 \times 100 - 37 \times 27$$

Euclid's Algorithm uses repeated application of the Division Algorithm. The last nonzero remainder is the gcd.

The gcd of two polynomials is the largest monic polynomial dividing both of them.  
 Given  $f(x), g(x) \in F[x]$  ( $F$  any field),  $f(x), g(x)$  not both zero.

$d(x) = \gcd(f(x), g(x))$  is the largest monic polynomial such that  $d(x) \mid f(x)$ ,  $d(x) \mid g(x)$ .  
 We compute  $d(x)$  using Euclid's Algorithm and it finds  $a(x), b(x) \in F[x]$  such that  
 $d(x) = a(x)f(x) + b(x)g(x)$ .

Eg.  $f(x) = x^3 - 2x - 3$   
 $g(x) = x^2 + x + 1$

$$\gcd(f(x), g(x)) = 1 = \left(\frac{1}{2}x\right)f(x) + \left(-\frac{1}{2}x^2 + \frac{1}{2}x + 1\right)g(x) \quad (*)$$

$$f(x) = (x-1)g(x) + (-2x-2)$$

$$g(x) = \left(\frac{1}{2}x\right)(-2x-2) + 1$$

$$-2x-2 = (-2x-2)(1) + 0$$

$$1 = g(x) + \left(\frac{1}{2}x\right)(-2x-2)$$

$$= g(x) + \left(\frac{1}{2}x\right)(f(x) - (x-1)g(x))$$

$$= \left(\frac{1}{2}x\right)f(x) + \left(1 - \frac{1}{2}x^2 + \frac{1}{2}x\right)g(x)$$

Check:  $\left(\frac{1}{2}x\right)(x^3 - 2x - 3) + \left(-\frac{1}{2}x^2 + \frac{1}{2}x + 1\right)(x^2 + x + 1) = 1$

$x^2$  terms:  $-1 + 1 + \frac{1}{2} - \frac{1}{2} = 0$

$x$  terms:  $-\frac{3}{2} + \frac{1}{2} + 1 = 0$

constant:  $1$

Alternatively

	$f(x) = x^3 - 2x - 3$	$g(x) = x^2 + x + 1$	
①	1	0	$x^3 - 2x - 3$
②	0	1	$x^2 + x + 1$
③ = ① - (x)②	1	-x+1	-2x-2
④ = ② + ③	$\frac{1}{2}x$	$-\frac{1}{2}x^2 + \frac{1}{2}x + 1$	1
	*	*	0

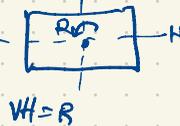
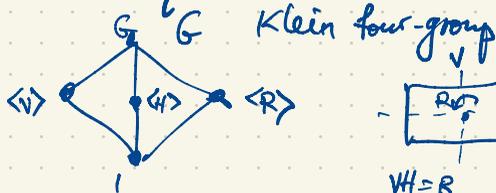
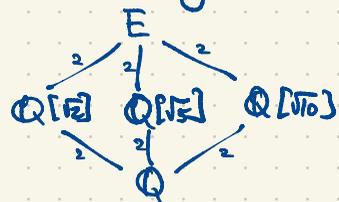
Recall: we considered the field  $\mathbb{Q}[\theta] = \{a + b\theta + c\theta^2 : a, b, c \in \mathbb{Q}\}$ ,  $\theta$  root of  $f(x)$   
 We computed  $\alpha + \beta$ ,  $\alpha - \beta$ ,  $\alpha\beta$ ,  $\frac{\alpha}{\beta}$  where  $\alpha = \theta^2 - 3$ ,  $\beta = \theta^2 + \theta + 1 = g(\theta)$   
 To find  $\frac{1}{\beta}$ , use (\*)  
 $\frac{1}{\beta} = -\frac{1}{2}\theta^2 + \frac{1}{2}\theta + 1$   
 $1 = \left(\frac{1}{2}x\right)f(x) + \left(-\frac{1}{2}x^2 + \frac{1}{2}x + 1\right)g(x)$   
 $1 = \left(\frac{1}{2}\theta\right)f(\theta) + \left(-\frac{1}{2}\theta^2 + \frac{1}{2}\theta + 1\right)g(\theta)$

$$E = \mathbb{Q}[\sqrt{2}, \sqrt{5}] = \{a + b\sqrt{2} + c\sqrt{5} + d\sqrt{10} : a, b, c, d \in \mathbb{Q}\}$$

$$[E : \mathbb{Q}] = 4 \text{ with basis } \{1, \sqrt{2}, \sqrt{5}, \sqrt{10}\}$$

$E$  has subfields  $\mathbb{Q}$ ,  $E$ ,  $\mathbb{Q}[\sqrt{2}]$ ,  $\mathbb{Q}[\sqrt{5}]$ ,  $\mathbb{Q}[\sqrt{10}]$

These are the only subfields (which is not quite obvious)



$$\mathbb{Q}[x], \mathbb{Q}[x, y]$$

$$\mathbb{Q}[x] \rightarrow \mathbb{Q}[\sqrt{2}] \subset \mathbb{R}$$

$$\begin{matrix} \cup \\ f(x) \mapsto f(\sqrt{2}) \end{matrix}$$

Evaluation maps are homomorphisms

$$f(x) + g(x) \mapsto f(\sqrt{2}) + g(\sqrt{2})$$

$$f(x)g(x) \mapsto f(\sqrt{2})g(\sqrt{2})$$

$$\mathbb{Q}[x, y] \rightarrow \mathbb{Q}[\sqrt{2}, \sqrt{5}] \subset \mathbb{R}$$

$$f(x, y) \mapsto f(\sqrt{2}, \sqrt{5})$$

homomorphism

$$[E : \mathbb{Q}[\sqrt{2}]] = 2 \text{ with basis } \{1, \sqrt{5}\}$$

Every  $\alpha \in E$  i.e.  $\alpha = a + b\sqrt{2} + c\sqrt{5} + d\sqrt{10}$  can be uniquely written as

$$\alpha = \underbrace{(a + b\sqrt{2})}_\uparrow \underbrace{1 + (c + d\sqrt{2})\sqrt{5}}_\uparrow$$

$\mathbb{Q}[\sqrt{2}] \quad \mathbb{Q}[\sqrt{2}]$

$$[E : E] = 1 \text{ with basis } \{1\}$$

Every  $\alpha \in E$  can be uniquely expressed as

$$\alpha = (\alpha) \cdot 1$$

$$\text{Note: } [E : \mathbb{Q}] = [E : \mathbb{Q}[\sqrt{2}]] [\mathbb{Q}[\sqrt{2}] : \mathbb{Q}]$$

4                      2                      2

Given a "tower" of fields  $E \supseteq K \supseteq F$  we have

$$[E : F] = [E : K][K : F]$$

$$\text{eg. } \underbrace{[\mathbb{C} : \mathbb{Q}]}_\infty = \underbrace{[\mathbb{C} : \mathbb{R}]}_2 \underbrace{[\mathbb{R} : \mathbb{Q}]}_\infty$$

Given a "tower" of fields  $E \supseteq K \supseteq F$  we have  
 $[E:F] = [E:K][K:F]$ .

eg.  $\underbrace{[C:\mathbb{Q}] = [C:\mathbb{R}][\mathbb{R}:\mathbb{Q}]}_{\infty = 2 \cdot \infty}$

If  $[K:F] = m$  and  $[E:K] = n$  then we have  
 a basis  $\{\alpha_1, \dots, \alpha_m\}$  we can choose a basis for  $K$  over  $F$   
 so every  $\alpha \in K$  can be uniquely written as  
 $\alpha = c_1\alpha_1 + c_2\alpha_2 + \dots + c_m\alpha_m$ ,  $c_1, \dots, c_m \in F$ .

Every  $\beta \in E$  can be written uniquely as  
 $\beta = b_1\beta_1 + b_2\beta_2 + \dots + b_n\beta_n$ ,  $b_j \in K$   
 $\{\beta_1, \dots, \beta_n\}$  basis for  $E$  over  $K$ .

$$b_j = a_{1j}\alpha_1 + a_{2j}\alpha_2 + \dots + a_{mj}\alpha_m, \quad a_{ij} \in F$$

$$= \sum_{i=1}^m a_{ij}\alpha_i$$

$$\beta = \sum_{j=1}^n b_j\beta_j = \sum_{j=1}^n \left( \sum_{i=1}^m a_{ij}\alpha_i \right) \beta_j = \sum_{i=1}^m \underbrace{\sum_{j=1}^n a_{ij}\alpha_i}_{\in F} \underbrace{\beta_j}_{\in F}$$

Note:  $\sqrt[3]{2} \notin \mathbb{Q}[\sqrt{2}, \sqrt{5}]$ .

$\mathbb{Q}[\sqrt[3]{2}] \supset \mathbb{Q}$  is an extension of degree 3.

Denoting  $\alpha = \sqrt[3]{2} = 2^{1/3}$  we have  $\mathbb{Q}[\alpha] = \{a + b\alpha + c\alpha^2 : a, b, c \in \mathbb{Q}\}$ .

$\{1, \alpha, \alpha^2\}$  is a basis for  $\mathbb{Q}[\alpha]$  over  $\mathbb{Q}$ .  $\alpha$  has min. poly.  $x^3 - 2$ .

$E = \mathbb{Q}[\sqrt{2}, \sqrt{5}]$  cannot contain  $\alpha = 2^{1/3}$ .

If it did, we would have

$$E \supseteq \mathbb{Q}[\alpha] \supseteq \mathbb{Q}$$

$$[E:\mathbb{Q}] = [E:\mathbb{Q}[\alpha]][\mathbb{Q}[\alpha]:\mathbb{Q}]$$

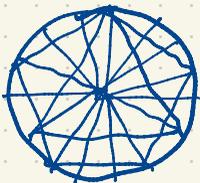
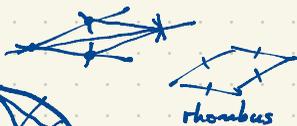
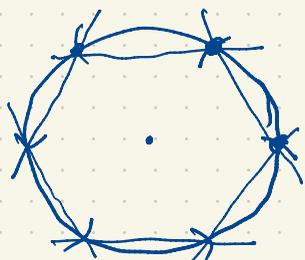
$$4 \qquad \qquad ? \qquad \qquad 3$$

contradiction.

# Straightedge and Compass Constructions

Which regular  $n$ -gons are constructible using straightedge and compass?

$$n = 3, 8, 10, 4, 17, 5, \dots$$



A regular  $n$ -gon is constructible using straightedge and compass iff  $n$  is a power of 2 times a product of distinct Fermat primes.

A Fermat prime is a prime number that is one bigger than a power of 2 i.e.

$$2^m + 1$$

$$m = 2^k$$

We will prove that a regular 9-gon is not constructible using straightedge and compass.

$k$	$F_k = 2^{2^k} + 1$
-----	---------------------

0	3
---	---

1	5
---	---

2	17
---	----

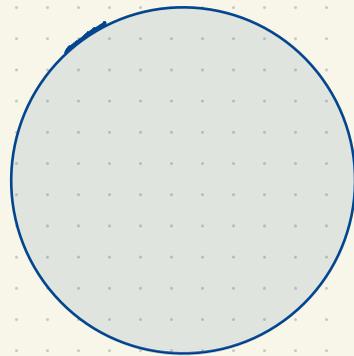
3	257
---	-----

4	65537
---	-------

5	not prime
---	-----------

$$(2^2)^k = 2^{2k}$$

$$F_5 = 2^{32} + 1 = 4294967297$$



Are there any other Fermat primes? Unknown.

We suspect not.

This number theory!

Compare: for which  $n$  can we construct the roots of a poly.  $f(x)$  of degree  $n$  using field operations  $+, -, \times, \div$  and  $n^{\text{th}}$  roots

Answer:  $n \leq 4$  only. Galois theory shows this, relying on facts about the group  $S_n$  which is not solvable for  $n \geq 5$ .

Compare:  $\int x e^{x^2} dx = \frac{1}{2} e^{x^2} + C$ .

$\int x^n e^{x^2} dx$  can be written in elementary form iff  $n$  is odd.

$\int e^{x^2} dx$  cannot be found in "elementary form"

## Field theory

Has been used to prove impossibility of certain tasks eg.

- constructing a regular nonagon (9-gon), trisecting angle, etc. using straightedge and compass;
- "finding" roots of a typical poly.  $f(x)$  of degree  $\geq 5$  using only  $+, -, \times, \div, n^{\text{th}}$  roots
- finding  $\int e^{x^2} dx$  in "elementary form"

Field theory also provides the tools/techniques/algorithms needed to constructively solve certain problems of these types eg.

- construct regular 17-gon
- finding roots of poly's when expressible using  $+, -, \times, \div, n^{\text{th}}$  roots
- expressing antiderivatives in elementary form when possible

$$\int e^{x^2} dx = \int_0^x e^{t^2} dt + C$$

$a^b^c$  means  $a^{(b^c)}$  or  $(a^b)^c$

$$(a^b)^c = a^{bc} = (a^c)^b$$

$\sqrt{2}^{\sqrt{2}^{\sqrt{2}^{\sqrt{2}}}}$  is the limit of a sequence  $\sqrt{2}, \sqrt{2}^{\sqrt{2}}, \sqrt{2}^{\sqrt{2}^{\sqrt{2}}}, \sqrt{2}^{\sqrt{2}^{\sqrt{2}^{\sqrt{2}}}}, \dots$

i.e. the sequence  $a_1, a_2, a_3, a_4, \dots$  where  $a_1 = \sqrt{2}; a_{n+1} = \sqrt{2}^{a_n}$

Compare:  $x = 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{\ddots}}}}}$  is the limit of the sequence  $1, 1 + \frac{1}{1}, 1 + \frac{1}{1 + \frac{1}{1}}, 1 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}}, \dots$

i.e.  $b_0, b_1, b_2, b_3, \dots$  where  $b_0 = 1;$

$$b_{n+1} = 1 + \frac{1}{b_n}$$

i.e.  $1, 2, \frac{3}{2}, \frac{5}{3}, \frac{8}{5}, \frac{13}{8}, \frac{21}{13}, \frac{34}{21}, \frac{55}{34}, \frac{89}{55}, \frac{144}{89}, \dots$

$$x = 1 + \frac{1}{x}$$

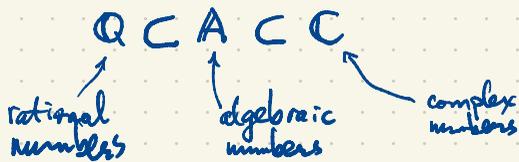
$$x^2 = x + 1$$

$$x^2 - x - 1 = 0$$

$x$  is a root of  $x^2 - x - 1$  so  $x = \frac{1 \pm \sqrt{1+4}}{2} = \frac{1 \pm \sqrt{5}}{2}$

Since  $x > 0$ ,  $x = \frac{1 + \sqrt{5}}{2} \approx 1.618$  (Golden Ratio)

Use similar reasoning in #3, 4.



Can you find irrational numbers  $a, b$  such that  $a^b$  is rational?

Do these exist irrational  $a, b > 0$  (positive real) such that  $a^b$  is rational?

... ..  $a, b > 0$  ... .. such that  $a+b$  is rational?  $\sqrt{2} + (7-\sqrt{2}) = 7$

... ..  $ab$  is rational? eg  $\sqrt{2} \cdot \sqrt{2} = 2$ .  
 or  $\sqrt{2} \cdot \frac{1}{\sqrt{2}} = 1$

Is  $\sqrt{2}^{\sqrt{2}}$  rational or irrational?

If  $\sqrt{2}^{\sqrt{2}} \in \mathbb{Q}$  then take  $a = \sqrt{2}$  and  $b = \sqrt{2}$ .

If  $\sqrt{2}^{\sqrt{2}} \notin \mathbb{Q}$  then take  $a = \sqrt{2}^{\sqrt{2}}$  and  $b = \sqrt{2}$ , giving  $a^b = (\sqrt{2}^{\sqrt{2}})^{\sqrt{2}} = \sqrt{2}^2 = 2 \in \mathbb{Q}$ .

Theorem There do exist  $a, b$  positive real irrational numbers such that  $a^b$  is rational.

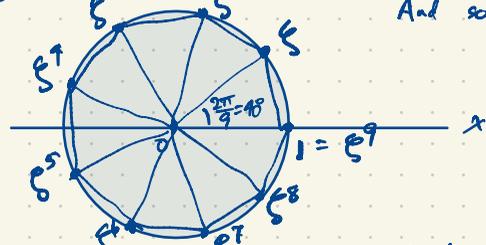
This is a nonconstructive proof.

Compare: the existence of transcendental numbers has an easy nonconstructive proof.

Liouville's constant  $\sum_{n=1}^{\infty} \frac{1}{10^{n!}} = 0.11000100000000000000000001000\dots$

this was the first known explicit transcendental number.

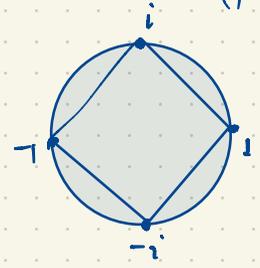
Regular 9-gon in the unit circle.



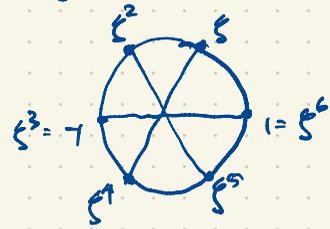
We will show that this figure is not constructible using straightedge and compass. And so it follows that a  $120^\circ$  angle cannot be trisected using " " " " You cannot trisect  $60^\circ$  angle.

Our argument will show that most angles cannot be trisected using straightedge and compass. But some can, e.g.  $90^\circ$  angles.

The vertices of the regular  $n$ -gon inscribed in a unit circle starting at  $(1,0)$  are the  $n^{\text{th}}$  roots of unity in  $\mathbb{C}$ .



$n=4$

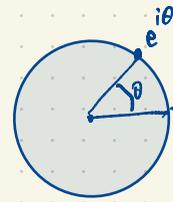


$n=6$

De Moivre's formula (see 'review' on complex numbers linked on the course website)

$$e^{i\theta} = \cos\theta + i \sin\theta \quad \text{for all } \theta \in \mathbb{C}$$

When  $\theta \in \mathbb{R}$ ,  $e^{i\theta} = (\cos\theta, \sin\theta)$  parameterizes the unit circle for  $\theta \in [0, 2\pi]$ .

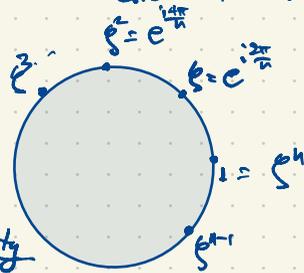


$\zeta = e^{2\pi i/n}$  is an algebraic number: it is a root of  $x^n - 1 = (x-1)(x-\zeta)(x-\zeta^2)\dots(x-\zeta^{n-1})$

$$(\zeta^k)^n = (\zeta^n)^k = 1^k = 1$$

The  $n$  vertices of the regular  $n$ -gon are the  $n^{\text{th}}$  roots of unity in  $\mathbb{C}$ .

$\{1, \zeta, \zeta^2, \dots, \zeta^{n-1}\}$  is a multiplicative cyclic group.  $\zeta^j \zeta^k = \zeta^{j+k}$  (exponents mod  $n$ ). Any element in this group generates a subgroup. If  $\zeta^j$  generates the whole group, it's called a primitive  $n^{\text{th}}$  root of unity.



$$\zeta^n = (e^{i2\pi/n})^n = e^{i2\pi} = 1$$

Ex. for  $n=9$ , the 9<sup>th</sup> roots of unity form a cyclic group  $\langle \xi \rangle = \{1, \xi, \xi^2, \dots, \xi^8\}$ ,  $\xi^9 = 1$

where  $\xi = e^{2\pi i/9}$   $\langle \xi^3 \rangle = \{1, \xi^3, \xi^6\}$

there are six primitive 9<sup>th</sup> roots of unity:  $\xi, \xi^2, \xi^4, \xi^5, \xi^7, \xi^8$ . (every 9<sup>th</sup> root which is not a cube root of 1)