

# Field Theory

Book 2

Claim:  $\sqrt{3} \notin \mathbb{Q}[\sqrt{2}]$  i.e.  $\sqrt{3} = a + b\sqrt{2}$  has no solution with  $a, b \in \mathbb{Q}$ .

Suppose  $\sqrt{3} = a + b\sqrt{2}$  where  $a, b \in \mathbb{Q}$ . Then  $3 = a^2 + 2b^2 + 2ab\sqrt{2}$  so  $2ab\sqrt{2} = 3 - a^2 - 2b^2$ .

If  $ab \neq 0$  then  $\sqrt{2} = \frac{3 - a^2 - 2b^2}{2ab} \in \mathbb{Q}$ , a contradiction.

If  $b = 0$  then  $0 = 3 - a^2$  so  $a^2 = 3$ ,  $a = \pm\sqrt{3} \notin \mathbb{Q}$ , a contradiction.

If  $a = 0$  then  $0 = 3 - 2b^2$  so  $2b^2 = 3$ ,  $4b^2 = 6$ ,  $2b = \pm\sqrt{6} \notin \mathbb{Q}$ , a contradiction.  $\square$

So  $1, \sqrt{2}, \sqrt{3} \in \mathbb{R}$  are linearly independent over  $\mathbb{Q}$ .

- $1 \neq 0$
- $\sqrt{2} \neq$  scalar multiple of  $1$ . ( $\sqrt{2} \notin \mathbb{Q}$  by Euclid)
- $\sqrt{3} \neq$  linear combination of  $1, \sqrt{2}$ . (proved above)

$$\sqrt{8} = 2\sqrt{2}$$

$1, \sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{7}, \sqrt{11}, \sqrt{13}, \sqrt{17}, \sqrt{19}, \sqrt{23}, \dots$  are linearly independent.

$[\mathbb{R} : \mathbb{Q}] = \infty$  (in fact uncountable)

Also  $1, \pi, \pi^2, \pi^3, \dots$  are linearly independent over  $\mathbb{Q}$ . (since  $\pi$  is transcendental).

An extension  $E \supseteq F$  is finite if  $[E : F] < \infty$ , i.e.  $[E : F] = n$  is a positive integer.

eg  $\mathbb{C} \supseteq \mathbb{R}$  is a quadratic extension, hence finite,  $[\mathbb{C} : \mathbb{R}] = 2$ .

A finite extension of  $\mathbb{Q}$  i.e.  $E \supseteq \mathbb{Q}$  with  $[E : \mathbb{Q}] = n$ , a positive integer, is called a number field (or algebraic number field). Here every element  $\alpha \in E$  is algebraic over  $\mathbb{Q}$ . Why?

$1, \alpha, \alpha^2, \dots, \alpha^n$  are  $n+1$  vectors in an  $n$ -dimensional vector space  $E \supseteq \mathbb{Q}$  so this list is linearly dependent i.e.  $a_0 + a_1\alpha + a_2\alpha^2 + \dots + a_n\alpha^n = 0$  for some  $a_0, a_1, \dots, a_n \in \mathbb{Q}$ , not all zero, i.e.  $\alpha$  is a root of some nonzero polynomial  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n \in \mathbb{Q}[x]$ .

If  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n$  then the degree of  $f(x)$ , denoted  $\deg f(x)$ , is the largest  $d$  such that  $a_d \neq 0$ .

$$\deg(3x^2 + 5x + 7) = 2$$

$$\deg(0x^2 + 5x + 7) = \deg(5x + 7) = 1$$

$$\deg(7) = \deg(7x^0) = 0$$

$\deg 0$  is sometimes left undefined (not 0) or  $\deg 0 = -\infty$ .

$$\deg[(3x^2 + 5x + 7)(x^3 - 4x - 11)] = \deg(3x^5 + \dots - 77) = 5$$

$$\deg[f(x)g(x)] = \deg f(x) + \deg g(x)$$

If  $g(x) = x^3 - 4x - 11$  then  $\deg g(x) = 3$

$$\deg(0g(x)) = \deg 0$$

$$\deg 0 + \deg g(x) = \deg 0 + 3$$

$$\deg 0 = (\deg 0) + 3$$

There is no integer value for  $\deg 0$  that satisfies this.  
(We don't choose  $+\infty$ ; we choose  $-\infty$ .)

Let  $\alpha \in \mathbb{C}$ . If  $\alpha$  is the root of some nonzero poly.  $f(x) \in \mathbb{Q}[x]$  (i.e.  $f(\alpha) = 0$ ) then  $\alpha$  is algebraic of degree  $n$  where  $n$  is the smallest degree of any such polynomial  $f(x)$ . In this case, the smallest degree monic polynomial having  $\alpha$  as a root is the minimal polynomial of  $\alpha$  (over  $\mathbb{Q}$ ).

eg.  $\sqrt{14}$  is algebraic of degree 2 with min. poly.  $x^2 - 14 \in \mathbb{Q}[x]$ .

Look at powers  $1, \alpha, \alpha^2, \alpha^3, \dots$

$$\alpha = \sqrt{14} \Rightarrow 1, \alpha \text{ lin. indep.}$$

$$1, \alpha, \alpha^2 \text{ lin. dep.}$$

$$\alpha^2 = 0 \cdot \alpha + 14 \cdot 1$$

$\alpha = \sqrt{2} + \sqrt{5}$  is algebraic of degree 4 with min. poly.  $x^4 - 10x^2 + 1$ . Why is  $\alpha = \sqrt{2} + \sqrt{5}$  not a root of any smaller degree poly. with rational coefficients?

If  $x^4 - 10x^2 + 1 = f(x)g(x)$  where  $f(x), g(x) \in \mathbb{Q}[x]$  then one of  $f(x), g(x)$  is a constant polynomial. Assuming we start with a monic poly. with integer coefficients, it suffices to check that there is no nontrivial factorization over  $\mathbb{Z}[x]$ .

If  $x^4 - 10x^2 + 1 = f(x)g(x)$ ,  $f(x), g(x) \in \mathbb{Z}[x]$ , neither  $f(x)$  nor  $g(x)$  is constant then either

(i)  $\deg f(x) = 1$ ,  $\deg g(x) = 3$ ; or

(ii)  $\deg f(x) = \deg g(x) = 2$ . (The case  $\deg f(x) = 3, \deg g(x) = 1$  is essentially case (i)).

In both cases we obtain a contradiction.

In case (i),  $x^4 - 10x^2 + 1 = (x+a)(x^3+bx^2+cx+d)$ ,  $ad=1$ ,  $a=d=\pm 1$ .

In this case  $m(x)$  has  $\pm 1$  as a root but  $m(1) = -8 = m(-1)$ , a contradiction.

In case (ii),  $m(x) = x^4 - 10x^2 + 1 = (x^2+ax+b)(x^2-ax+c)$ ,  $a, b, c \in \mathbb{Z}$  (since there is no  $x^3$  term on the left).

Once again,  $bc=1$  so  $b=c=\pm 1$ . Now

$m(x) = x^4 - 10x^2 + 1 = (x^2+ax\pm 1)(x^2-ax\pm 1)$ . Comparing  $x^2$  terms on both sides,

$-10 = \pm 2 - a^2$  i.e.  $a^2 = 10 \pm 2 = 8$  or  $12$ .

This is a final contradiction so  $m(x) = x^4 - 10x^2 + 1$  is irreducible in  $\mathbb{Z}[x]$  and in  $\mathbb{Q}[x]$ .

Note:  $x^4 + x^2 + 1$  is reducible in  $\mathbb{Z}[x]$  as well as in  $\mathbb{Q}[x]$ : it factors nontrivially as

$x^4 + x^2 + 1 = (x^2 + x + 1)(x^2 - x + 1)$ .

This polynomial has no roots in  $\mathbb{Z}$  or in  $\mathbb{Q}$  or in  $\mathbb{R}$ .

$x^4 - 10x^2 + 1$  is irreducible in  $\mathbb{Z}[x]$  and in  $\mathbb{Q}[x]$  but reducible in  $\mathbb{R}[x]$ . Every polynomial of degree  $\geq 3$  in  $\mathbb{R}[x]$  is reducible.

$x^4 - 10x^2 + 1 = x^4 + 2x^2 + 1 - 8x^2 = (x^2+1)^2 - (2\sqrt{2}x)^2 = (x^2+1+2\sqrt{2}x)(x^2+1-2\sqrt{2}x)$

The polynomial  $x^2 - 7$  is irreducible in  $\mathbb{Z}[x]$  and in  $\mathbb{Q}[x]$  and in  $\mathbb{R}[x]$ . It has a root  $\sqrt{7} \in \mathbb{Z}$ .

Theorem: If  $f(x) \in \mathbb{Z}[x]$  is monic, then  $f(x)$  is reducible in  $\mathbb{Q}[x]$  iff  $f(x)$  is reducible in  $\mathbb{Z}[x]$ . Assume this, and use it!

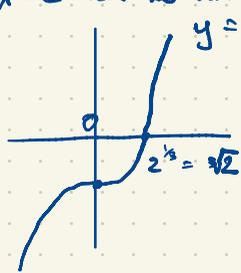
For  $f(x) \in \mathbb{Q}[x]$  of degree  $\geq 3$ ,  $f(x)$  is reducible in  $\mathbb{Q}[x]$  iff it has a root in  $\mathbb{Q}$ .

This is not true for  $\deg f(x) \geq 4$ .

Eg.  $f(x) = x^4 + x^2 + 1$  has no roots in  $\mathbb{Q}$  but it is reducible in  $\mathbb{Q}[x]$

eg.  $x^3 - 2$  is irreducible in  $\mathbb{Q}[x]$  since it has no roots in  $\mathbb{Q}$ . You need to master this point!

$x^3 - 2$  has no rational roots: it has one real root  $2^{1/3} \notin \mathbb{Q}$  essentially by Euclid's argument.



$y = x^3 - 2$  If  $x = 2^{1/3} \in \mathbb{Q}$ ,  $x^3 = 2$ , write  $x = \frac{a}{b}$  with  $a, b$  positive integers in lowest terms ( $\gcd(a, b) = 1$ ) then  $\frac{a^3}{b^3} = 2$  so  $a^3 = 2b^3$  is even so  $a$  is even i.e.  $a = 2r$  for some positive integer  $r$ , so  $8r^3 = 2b^3$  and  $b^3 = 4r^3$  is even so  $b$  is even i.e.  $b = 2s$  for some  $s \in \mathbb{Z}$ . This contradicts  $\gcd(a, b) = 1$ .

Now if  $x^3 - 2$  is reducible in  $\mathbb{Q}[x]$  then  $x^3 - 2 = (x+a)(x^2+bx+c)$ ,  $a, b, c \in \mathbb{Q}$  but then  $-a \in \mathbb{Q}$  is a root, contradiction.

For  $f(x) \in F[x]$  where  $F$  is a field ( $f(x)$  is a polynomial in  $x$  with coefficients in the field  $F$ ) and  $r \in F$ , we have:

$r$  is a root of  $f(x)$  iff  $x-r$  is a <sup>linear factor i.e. factor of degree 1.</sup> factor of  $f(x)$   
 i.e.  $f(r) = 0$  i.e.  $f(x) = (x-r)q(x)$ ,  $q(x) \in F[x]$   
 i.e.  $r$  is a "zero" of  $f(x)$

In one direction this "iff" statement is obvious: if  $f(x) = (x-r)q(x)$  then  $f(r) = (r-r)q(r) = 0$ .

What about the converse? By the Division Algorithm,  $f(x) = q(x)(x-r) + a(x)$ ,  $\deg a(x) < \deg(x-r)$

If  $r$  is a root of  $f(x)$  then  $f(r) = 0 = \frac{q(r)(r-r)}{0} + a \Rightarrow a = 0$   
 $\Rightarrow f(x) = q(x)(x-r)$   $\deg a(x) < \deg(x-r)$   
 $0 \text{ or } -\infty$   
 $a(x) = a = \text{constant}$

We require the Division Algorithm for this.

Review the Division Algorithm for integers  $\mathbb{Z}$ :

Let  $n, d \in \mathbb{Z}$  with  $d \geq 1$ . (OK for  $d$  negative but we cannot use  $d=0$ .) In general  $d$  won't divide  $n$  evenly; there is a remainder.

Theorem There exist unique  $q, r \in \mathbb{Z}$  such that  $n = qd + r$ ,  $0 \leq r < d$ .

Eg.  $n=65$ ,  $d=7$ ,  $65 = \underline{9} \cdot 7 + \underline{2}$   $7 \nmid 65 \neq$

$$65 = \underline{8} \cdot 7 + \underline{9}$$

$$91 = \underline{13} \cdot 7 + \underline{0}$$

quotient remainder

$$7 \mid 91$$

$d$  divides  $n$  ( $d \mid n$ )  $\iff n$  is a multiple of  $d$ ,  $n = qd$  (i.e.  $r=0$ ).

Similarly in  $F[x]$ ,  $F$  any field. eg.  $\mathbb{Q}[x]$ ,  $\mathbb{R}[x]$ , ... not  $\mathbb{Z}[x]$ .

Theorem (Division Algorithm for polynomials) Let  $F$  be any field and let  $f(x), d(x) \in F[x]$  where  $\deg d(x) \geq 1$ . Then there exist unique  $q(x), r(x) \in F[x]$  such that

$$f(x) = q(x)d(x) + r(x), \quad \deg r(x) < \deg d(x).$$

Eg.  $F = \mathbb{Q}$ ,  $f(x) = x^3 - 2x - 3$ ,  $d(x) = x^2 + x + 1$ .

$$f(x) = x^3 - 2x - 3 = (x-1)(x^2 + x + 1) + (-2x - 2)$$

$d =$  "divisor"  
 $q =$  "quotient"  
 $r =$  "remainder"

$$\begin{array}{r} 9 \\ 7 \overline{) 65} \\ \underline{63} \\ 2 \end{array}$$

$$\begin{array}{r} x-1 \\ x^2+x+1 \overline{) x^3-2x-3} \\ \underline{x^3+x^2+x} \\ -x^2-3x-3 \\ \underline{-x^2-x-1} \\ -2x-2 \end{array}$$

$$x^2 + x + 1 = \left(-\frac{1}{2}x\right)(-2x-2) + (1)$$

$$-2x-2 \overline{) \begin{array}{r} x^2 + x + 1 \\ x^2 + x \\ \hline \end{array}}$$

The Division Algorithm leads to Euclid's Algorithm (for  $\mathbb{Z}$ ,  $F[x]$ , ...)   
 not  $\mathbb{Z}[x]$

$$\gcd(100, 27) = 1 = a \cdot 100 + b \cdot 27 \quad \text{for some } a, b \in \mathbb{Z}$$

$$100 = 3 \times 27 + 19$$

$$27 = 1 \times 19 + 8$$

$$19 = 2 \times 8 + 3$$

$$8 = 2 \times 3 + 2$$

$$3 = 1 \times 2 + 1$$

$$2 = 2 \times 1 + 0$$

last nonzero remainder

$$\begin{aligned} \gcd(100, 27) = 1 &= 3 - 2 \\ &= 3 - (8 - 2 \times 3) \\ &= 3 \times 3 - 8 \\ &= 3 \times (19 - 2 \times 8) - 8 \\ &= 3 \times 19 - 7 \times 8 \end{aligned}$$

Continue  
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