



# Combinatorics

Book 3

Fourth method: Decompose  $F(x) = \frac{1+x}{1-x-x^2}$  using partial fractions.

Factor the denominator  $1-x-x^2 = (1-\alpha x)(1-\beta x)$

The roots are the same as the roots of  $x^2+x-1$

$$\text{i.e. } \frac{-1 \pm \sqrt{1+4}}{2} = \frac{-1 \pm \sqrt{5}}{2}$$

$$\text{The reciprocal roots are } \frac{2}{-1 \pm \sqrt{5}} \cdot \frac{-1 \mp \sqrt{5}}{-1 \mp \sqrt{5}} = \frac{2(-1 \mp \sqrt{5})}{1-5} = \frac{1 \mp \sqrt{5}}{2}$$

$$\alpha = \frac{1+\sqrt{5}}{2} \approx 1.618 \quad (\text{the golden ratio})$$

$$\beta = \frac{1-\sqrt{5}}{2} \approx -0.618$$

Always use  $\alpha, \beta$  in the algebraic simplification.

$$F(x) = \frac{1+x}{1-x-x^2} = \frac{1+x}{(1-\alpha x)(1-\beta x)} = \frac{A}{1-\alpha x} + \frac{B}{1-\beta x} = A \sum_{n=0}^{\infty} (\alpha x)^n + B \sum_{n=0}^{\infty} (\beta x)^n$$

$$= \sum_{n=0}^{\infty} \underbrace{(A\alpha^n + B\beta^n)}_{a_n} x^n$$

$a_n \sim A\alpha^n$  (exponential growth rate)

Note: The factors  $1-\alpha x$ ,  $1-\beta x$  reveal the reciprocal roots  $\alpha, \beta$ . (The roots are  $\frac{1}{\alpha}, \frac{1}{\beta}$ .)

$$\alpha + \beta = 1$$

$$\alpha - \beta = \sqrt{5}$$

$$\alpha\beta = -1$$

$\alpha, \beta$  are reciprocal roots of  $x^2+x-1$

$$\frac{1}{\alpha^2} + \frac{1}{\alpha} - 1 = 0$$

$$1 + \alpha - \alpha^2 = 0$$

$$\alpha^2 = \alpha + 1$$

$$\beta^2 = \beta + 1$$

Use partial fractions to find A, B such that

$$\frac{1+x}{1-x-x^2} = \frac{1+x}{(1-\alpha x)(1-\beta x)} = \frac{A}{1-\alpha x} + \frac{B}{1-\beta x}$$

$$\alpha^2 = \alpha + 1$$

$$\beta^2 = \beta + 1$$

$$1+x = A(1-\beta x) + B(1-\alpha x)$$

Evaluate at  $x = \frac{1}{\alpha}$ , then at  $\frac{1}{\beta}$ .

$$1 + \frac{1}{\alpha} = A(1 - \frac{\beta}{\alpha})$$

$$1 + \frac{1}{\beta} = B(1 - \frac{\alpha}{\beta})$$

$$\alpha^2 = \alpha + 1 = A(\alpha - \beta) = \sqrt{5}A \Rightarrow A = \frac{\alpha^2}{\sqrt{5}}$$

$$B = -\frac{\beta^2}{\sqrt{5}}$$

$\alpha \leftrightarrow \beta$  interchanged by algebraic conjugation

$$\sqrt{5} \leftrightarrow -\sqrt{5}$$

$$a_n = A\alpha^n + B\beta^n = \frac{\alpha^2}{\sqrt{5}}\alpha^n - \frac{\beta^2}{\sqrt{5}}\beta^n = \frac{\alpha^{n+2} - \beta^{n+2}}{\sqrt{5}} = \frac{\left(\frac{1+\sqrt{5}}{2}\right)^{n+2} - \left(\frac{1-\sqrt{5}}{2}\right)^{n+2}}{\sqrt{5}}$$

As  $n \rightarrow \infty$ ,  $\beta^n \rightarrow 0$  since  $|\beta| < 1$  so  $a_n \sim A\alpha^n$  where  $A = \frac{\alpha^2}{\sqrt{5}}$ .

Asymptotics If  $f(n), g(n) \rightarrow \infty$  as  $n \rightarrow \infty$ , we write  $f(n) \sim g(n)$  ( $f$  is asymptotic to  $g$ ) if  $\frac{f(n)}{g(n)} \rightarrow 1$ . This is different from  $\approx$  (approximately equal).

eg.  $\sqrt{n^2 + 10n} \rightarrow \infty$  as  $n \rightarrow \infty$ ;  $\sqrt{n^2 + 10n} \sim n$  as  $n \rightarrow \infty$ .

check:  $\frac{\sqrt{n^2 + 10n}}{n} = \sqrt{1 + \frac{10}{n}} \rightarrow 1$ . ( $\lim_{n \rightarrow \infty} \sqrt{1 + \frac{10}{n}} = 1$ ).

$$\sqrt{n^2 + 10n} - n = \left(\sqrt{n^2 + 10n} - n\right) \cdot \frac{\sqrt{n^2 + 10n} + n}{\sqrt{n^2 + 10n} + n} = \frac{10n}{\sqrt{n^2 + 10n} + 10n} = \frac{10}{\sqrt{1 + \frac{10}{n}} + 1} \rightarrow 5 \quad \text{as } n \rightarrow \infty$$

$$n^3 + 7n^2 \sim n^3 \text{ as } n \rightarrow \infty \quad \text{Since } \frac{n^3 + 7n^2}{n^3} = 1 + \frac{7}{n} \rightarrow 1 \text{ as } n \rightarrow \infty$$

$$\text{yet } (n^3 + 7n^2) - n^3 = 7n^2 \rightarrow \infty \text{ as } n \rightarrow \infty$$

In our case the convergence is stronger: not only is  $a_n \sim A\alpha^n$  but moreover  $a_n - A\alpha^n \rightarrow 0$ . We can actually evaluate  $a_n$  by taking the closest integer to  $A\alpha^n$ .

$$\frac{1}{1-u} = 1 + u + u^2 + u^3 + \dots$$

Another example of partial fraction decomposition:

$$\begin{aligned} \frac{1+2x-3x^2}{1+x+4x^2+4x^3} &= \frac{1+2x-3x^2}{(1+x)(1+4x^2)} = \frac{1+2x-3x^2}{(1+x)(1+2ix)(1-2ix)} = \frac{A}{1+x} + \frac{B}{1+2ix} + \frac{C}{1-2ix} \\ &= A \sum_{n=0}^{\infty} (-1)^n x^n + B \sum_{n=0}^{\infty} (2i)^n x^n + C \sum_{n=0}^{\infty} (2i)^n x^n = \sum_{n=0}^{\infty} \underbrace{(A(-1)^n + B(-2i)^n + C(2i)^n)}_{a_n} x^n \end{aligned}$$

OR

$$\frac{1+2x-3x^2}{1+x+4x^2+4x^3} = \frac{1+2x-3x^2}{(1+x)(1+4x^2)} = \frac{A}{1+x} + \frac{Bx+C}{1+4x^2}$$

since  $\frac{1}{1+x} = \frac{x}{1+4x^2} + \frac{1}{1+4x^2}$

How fast does  $a_n$  grow? The reciprocal roots of  $1+x+4x^2+4x^3$  are  $-1, -2i, 2i$ .  $| -1 | = 1$   
 $| \pm 2i | = 2$ .

$a_n \sim c2^n$ . From Maple it seems  $a_n \sim \frac{1}{10} 2^n$ .

No!  
look again:

$$a_n \sim \begin{cases} \frac{9}{5} 2^n & \text{if } n \equiv 0 \pmod{4}; \\ \frac{1}{10} 2^n & \text{if } n \equiv 1 \pmod{4}; \\ -\frac{3}{5} 2^n & \text{if } n \equiv 2 \pmod{4}; \\ -\frac{1}{10} 2^n & \text{if } n \equiv 3 \pmod{4}. \end{cases}$$

$$F(x) = \frac{1+2x-3x^2}{(1+x)(1+4x^2)} = \frac{A}{1+x} + \frac{Bx+C}{1+4x^2} = \frac{-\frac{4}{5}}{1+x} + \frac{\frac{9}{5}x + \frac{9}{5}}{1+4x^2} = -\frac{4}{5}(1-x+x^2-x^3+x^4-x^5+\dots) + \left(\frac{9}{5}x + \frac{9}{5}\right)(1-4x^2+16x^4-64x^6+\dots)$$

Solve for A, B, C

$$\frac{1}{1-u} = 1+u+u^2+u^3+u^4+\dots$$

$$= \sum_{n=0}^{\infty} a_n x^n$$

$$\text{where } a_n = (-1)^{n+1} \frac{4}{5} + \begin{cases} \frac{9}{5}(-4)^{\frac{n}{2}} & \text{if } n \text{ is even} \\ \frac{1}{5}(-4)^{\frac{n-1}{2}} & \text{if } n \text{ is odd.} \end{cases}$$

Alternatively,

(different constants A, B, C)

$$F(x) = \frac{A}{1+x} + \frac{B}{1+2ix} + \frac{C}{1-2ix} = \frac{-\frac{4}{5}}{1+x} + \frac{\frac{9}{5} - \frac{10}{5}i}{1+2ix} + \frac{\frac{9}{5} + \frac{10}{5}i}{1-2ix}$$

(Something like this... look at MAPLE session)

$a_n$  "grows exponentially" (const.  $2^n$ )

but  $a_n \neq c2^n$ . This happens because the denominator of  $F(x)$  has two reciprocal roots of the same largest absolute value.

Another example in counting walks in a graph where this issue arises:



$$A = \begin{bmatrix} 0 & 2 \\ 1 & 0 \end{bmatrix}$$

$w_n = w_n(1,1)$  = number of walks of length  $n$  from vertex 1 to itself.

|       |   |   |   |   |   |   |   |     |
|-------|---|---|---|---|---|---|---|-----|
| $n$   | 0 | 1 | 2 | 3 | 4 | 5 | 6 | ... |
| $w_n$ | 1 | 0 | 2 | 0 | 4 | 0 | 8 | ... |

$$W(x) = [I - xA]^{-1} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - x \begin{bmatrix} 0 & 2 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & -2x \\ -x & 1 \end{bmatrix}^{-1} = \frac{1}{1-4x^2} \begin{bmatrix} 1 & 2x \\ x & 1 \end{bmatrix} = \begin{bmatrix} w_{11}(x) & w_{12}(x) \\ w_{21}(x) & w_{22}(x) \end{bmatrix}$$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}^{-1} = \frac{1}{ad-bc} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$$

$$w(x) = w_{11}(x) = \frac{1}{1-4x^2} = 1 + 4x^2 + 16x^4 + 64x^6 + 256x^8 + \dots$$

$$w_n = w_n(r, 1) = \begin{cases} 0 & \text{if } n \text{ is odd} \\ 2^n & \text{if } n \text{ is even.} \end{cases}$$

Denominator  $1-4x^2 = (1+2x)(1-2x)$  has two <sup>reciprocal</sup> roots  $\pm 2$  having the same absolute value?

Remarks:  $\frac{1}{1-4x^2}$  is preferred over  $\frac{-\frac{1}{4}}{x^2 - \frac{1}{4}}$  since we want to use the geometric

series  $\frac{1}{1-u} = 1 + u + u^2 + u^3 + \dots$

Exponential growth  $f(n) \sim ca^n$  (c, a, k constants)

Polynomial growth  $f(n) \sim cn^k$  eg.  $4n^3 + 7n^2 + 11n + 59 \sim 4n^3$

Other counting problems leading to a sequence where generating functions are used to express the solution:

Let  $a_n$  be the number of permutations of  $[n] = \{1, 2, \dots, n\}$  (i.e. the number of ways I can list  $n$  students in order). Then  $a_n = n!$ . Its generating function is



$$F(x) = \sum_{n=0}^{\infty} n! x^n = 1 + x + 2x^2 + 6x^3 + 24x^4 + 120x^5 + 720x^6 + 5040x^7 + \dots$$

$$G(x) = \sum_{n=0}^{\infty} (n!)^2 x^n = 1 + x + 4x^2 + 36x^3 + 576x^4 + \dots$$

$\binom{n}{k}$  is the number of  $k$ -subsets of an  $n$ -set

i.e. the number of bitstrings of length  $n$  having  $k$  1's (and  $n-k$  zeroes).

If  $a_k = \binom{n}{k}$  where  $n$  is fixed then the generating function for the sequence  $a_0, a_1, a_2, \dots$  is

$$A(x) = \sum_{k=0}^{\infty} a_k x^k = \sum_{k=0}^{\infty} \binom{n}{k} x^k = (1+x)^n$$

eg.  $A_4(x) = \binom{4}{0} + \binom{4}{1}x + \binom{4}{2}x^2 + \dots = 1 + 4x + 6x^2 + 4x^3 + x^4 = (1+x)^4$

Binomial Theorem

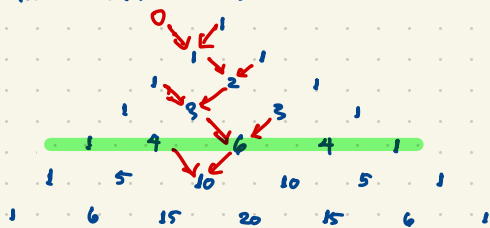
The Binomial Theorem  $(1+x)^m = \sum_{n=0}^{\infty} \binom{m}{n} x^n$  holds for all real values of  $m$ .

If  $m$  is a non-negative integer then  $\binom{m}{n} = \frac{m!}{n!(m-n)!}$  is a non-negative integer (positive for  $n=0, 1, 2, \dots, m$ ; zero for  $n > m$ ) in which case  $(1+x)^m$  is a polynomial in  $x$  of degree  $m$ . This is a special case of the Binomial Series.

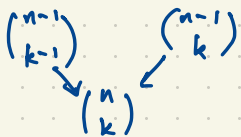
The Binomial coefficients are found by hand from Pascal's Triangle

$\binom{m}{n}$  = entry  $n$  in row  $m$  of Pascal's Triangle

eg.  $\binom{4}{2}$  = entry 2 in row 4  
(start counting at 0, 1, 2, ...)



The recursive formula for generating Pascal's Triangle is  $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$



Three proofs of Pascal's formula  $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$ :

Combinatorial Proof (counting proof): Consider the  $n$ -set  $[n] = \{1, 2, \dots, n\}$ .

Any  $k$ -subset  $B \subseteq [n]$  is of one of the following two types:

(i)  $n \in B$ . In this case  $B = \{n\} \cup B'$  where  $B' \subseteq [n-1]$ ,  $|B'| = k-1$ .

There are  $\binom{n-1}{k-1}$  ways to choose  $B'$  in this case.

(ii)  $n \notin B$ . In this case  $B \subseteq [n-1]$ . There are  $\binom{n-1}{k}$  choices for  $B$  in this case.

The sum in cases (i) and (ii) must give  $\binom{n}{k}$ .  $\square$

Generating Function Proof: Compare coefficients of  $x^k$  on both sides of

$$(1+x)^n = (1+x)(1+x)^{n-1}$$
$$1 + nx + \binom{n}{2}x^2 + \dots + \binom{n}{k}x^k + \dots + x^n = (1+x)(1 + (n-1)x + \dots + \binom{n-1}{k-1}x^{k-1} + \binom{n-1}{k}x^k + \dots + x^{n-1})$$

which gives  $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$ .  $\square$



### Third Proof

$$\begin{aligned} \binom{n-1}{k-1} + \binom{n-1}{k} &= \frac{(n-1)!}{(k-1)!(n-k)!} + \frac{(n-1)!}{k!(n-1-k)!} \\ n! = n \cdot (n-1)! &= \frac{(n-1)! \cdot k}{(k-1)!(n-k)(n-k-1)!k} + \frac{(n-1)! \cdot (n-k)}{k \cdot (k-1)!(n-k-r)!(n-k)} \\ &= \frac{(n-1)!k + (n-1)!(n-k)}{(k-1)!(n-k-r)!k(n-k)} \\ &= \frac{n(n-1)!}{k!(n-k)!} = \frac{n!}{k!(n-k)!} = \binom{n}{k}. \quad \square \end{aligned}$$

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$$A_n(x) = (1+x)^n = \sum_{i=0}^n \binom{n}{i} x^i$$

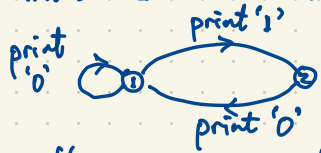
$$2^n = (1+1)^n = \sum_{i=0}^n \binom{n}{i} = \binom{n}{0} + \binom{n}{1} + \binom{n}{2} + \dots + \binom{n}{n} = \text{the sum of the entries in row } n \text{ of Pascal's triangle.}$$

A combinatorial explanation for this result is

$$2^n = \text{number of subsets of } [n] = \sum_{i=0}^n (\text{number of } i\text{-subsets of } [n]) = \sum_{i=0}^n \binom{n}{i}$$

(or  $2^n = \text{number of bitstrings of length } n$  which can be rewritten as  $\sum_{i=0}^n \binom{n}{i}$  where  $\binom{n}{i}$  is the number of bitstrings of length  $n$  having exactly  $i$  1's.)

#W3 #2 is similar to the example on the handout on Fibonacci numbers



$$A = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}$$

This directed graph is an example of a nondeterministic finite automaton with two states ①, ②.

How many walks are there starting at vertex 1?  $w_n = w_n(1,1) + w_n(1,2)$

Printout 0101000 represents the walk (1,2,1,2,1,1,1,1) of length 7

The walks of length  $n$  starting at vertex 1 are in one-to-one correspondence with 11-free bitstrings of length  $n$ .

More generally, many counting problems (where recursion plays a role) are equivalent to counting walks in graphs.

Recall: Binomial Theorem  $(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$  where  $\binom{n}{k}$  ("n choose k") equals the number of  $k$ -subsets of an  $n$ -set.  $\binom{n}{k} = \begin{cases} \frac{n!}{k!(n-k)!} & \text{if } k \in \{0, 1, 2, \dots, n\} \\ 0 & \text{otherwise} \end{cases}$  ( $n \geq 0$  integer)

Multinomial Theorem  $(x_1 + x_2 + \dots + x_r)^n = \sum_{i_1, \dots, i_r} \binom{n}{i_1, i_2, \dots, i_r} x_1^{i_1} x_2^{i_2} \dots x_r^{i_r}$

$$\binom{n}{i_1, i_2, \dots, i_r} = \frac{n!}{i_1! i_2! \dots i_r!} \quad \text{if } i_1, \dots, i_r \geq 0, i_1 + \dots + i_r = n; \quad 0 \text{ otherwise}$$

Multinomial Coefficient

eg.  $(x+y+z)^3 = \sum_{\substack{i+j+k=3 \\ i,j,k \geq 0}} \binom{3}{i,j,k} x^i y^j z^k = x^3 + y^3 + z^3 + 3x^2y + 3xy^2 + 3x^2z + 3xz^2 + 3y^2z + 3yz^2 + 6xyz$

$$\binom{3}{3,0,0} = \frac{3!}{3!0!0!} = \frac{6}{6 \cdot 1 \cdot 1} = 1 = \binom{3}{0,3,0} = \binom{3}{0,0,3}$$

(Trinomial expansion)

$$\binom{3}{2,1,0} = \frac{6}{2 \cdot 1 \cdot 1} = 3 = \binom{3}{0,2,1} = \dots$$

Check:  $3^3 = \underbrace{1+1+1}_3 + \underbrace{3+3+3}_6 + 6 = 27$

$$\binom{3}{1,1,1} = \frac{3!}{1!1!1!} = \frac{6}{1 \cdot 1 \cdot 1} = 6$$

(evaluating at  $(1,1,1)$ ).

How many words can be formed by permuting the letters of MISSISSIPPI?  
(Words are strings of letters where the order is important.)

$$\frac{11!}{1!4!4!2!} = \binom{11}{1,4,4,2} = 34,650.$$

How many words can be formed by permuting the bits in 0110010010?

$$\binom{11}{5,6} = \frac{11!}{5!6!} = \binom{11}{5} = \binom{11}{6} = 462$$

Say M&M's are made in 6 different colors. How many different ways can we have a handful of 10 M&M's? or  $n$  M&M's?

$a_n$  = number of ways to have a handful of  $n$  M&M's?

|       |   |   |    |     |
|-------|---|---|----|-----|
| $n$   | 0 | 1 | 2  | ... |
| $a_n$ | 1 | 6 | 21 | ... |

If M&M's come in the colors red, blue, green, orange, yellow, brown, then there are  $\binom{15}{5}$  ways to draw a handful of ten M&M's e.g.

R R X X G X O O O X Y X Br Br

"X" is a divider

\* \* X X \* X \* \* \* \* X \* X \* \* represents the color distribution

|            |           |            |                |        |       |   |                                   |
|------------|-----------|------------|----------------|--------|-------|---|-----------------------------------|
| <u>* *</u> | X X       | <u>* X</u> | <u>* * * *</u> | X *    | X *   | <u>* *</u>  | represents the color distribution |
| red        | ↑<br>blue | green      | orange         | yellow | brown |   |                                   |
|            |           |            |                |        |       | 2   | red                               |
|            |           |            |                |        |       | 0   | blue                              |
|            |           |            |                |        |       | 1   | green                             |
|            |           |            |                |        |       | 4   | orange                            |
|            |           |            |                |        |       | 1   | yellow                            |
|            |           |            |                |        |       | 2   | brown                             |
|            |           |            |                |        |       | <hr style="width: 100%; border: 0.5px solid black;"/> |                                   |
|            |           |            |                |        |       | 10  | M&M's                             |

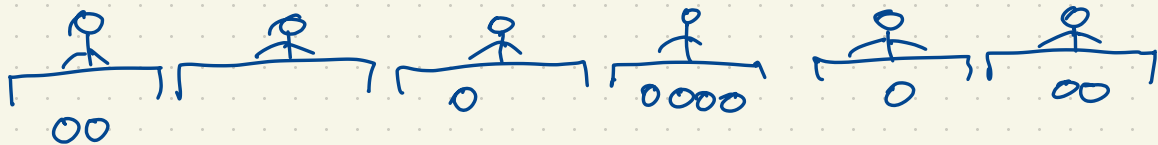
The possible color distributions for a handful of 10 M&M's are in one-to-one correspondence with the number of words of length 15 over a binary alphabet '\*', 'X'. So the number of handfuls of 10 M&M's which come in 6 colors is  $\binom{15}{5}$ .

If M&M's come in  $k$  colors and we select  $n$  M&M's from this batch, the number of possible color distributions is  $\binom{n+k-1}{k-1} = \binom{n+k-1}{n}$ .

① Suppose I want to hand out  $n$  books (all different) to  $k$  students. How many ways can I do this?

$$\underbrace{k \times k \times \dots \times k}_{n \text{ times}} = k^n \text{ choices.}$$

② How many ways can I hand out  $n$  identical silver dollars to  $k$  students?  
 Eg. I hand out 10 identical silver dollars to 6 students.



Answer:  $\binom{15}{5} = \binom{15}{10}$

Note: Problem ① is counting functions  $[n] \rightarrow [k]$ .

In Problem ②, what if we require each student to get at least one of the silver dollars? Instead of  $\binom{10+k-1}{k}$ , the answer is  $\binom{4+k-1}{k} = \binom{4}{4}$ .

Suppose I want to hand out  $k$  different books to  $n$  students, in such a way that each student gets at most one book. How many ways can we distribute the books?

$$P(n, k) = n(n-1)(n-2)\cdots(n-k+1)$$

no. of choices of student to give book 1 to      2nd book      3rd       $k^{\text{th}}$  book

This equals zero if  $k > n$ .

$$P(n, k) = 0 \quad \text{if } k < n$$

$$P(n, k) = n! \quad \text{if } k = n$$

$P(n, k)$  is also denoted  $n_{(k)}$  or various other notations

("descending factorial" or "falling factorial")

$P(n, k)$  is the number of one-to-one maps  $[k] \rightarrow [n]$   
(injections)

Question: How many surjections  $[k] \rightarrow [n]$ ? (functions that are onto, i.e. how many ways can we hand out  $k$  different books to  $n$  students if we want every student to get at least one book)?

Binomial Theorem  $(1+x)^m = \sum_{k=0}^{\infty} \binom{m}{k} x^k$   
 What if  $m$  is not an integer?

$$\binom{m}{k} = \frac{m!}{k!(m-k)!} = \frac{m \cdot (m-1) \cdot (m-2) \cdots (m-k+1) \cdot \cancel{(m-k)} \cdot \cancel{(m-k-1)} \cdots \cancel{1}}{k! \cdot \cancel{(m-k)} \cdot \cancel{(m-k-1)} \cdot \cancel{(m-k-2)} \cdots 1} = \frac{P(m, k)}{k!}$$

$P(m, k) = m(m-1)(m-2) \cdots (m-k+1)$  is defined for all  $k \in \{0, 1, 2, 3, 4, \dots\}$   
 and  $m$  any real number.

$$P(m, 0) = 1$$

$$P(m, 1) = m$$

$$P(m, 2) = m(m-1)$$

eg.  $\sqrt{1+x} = (1+x)^{\frac{1}{2}} = \sum_{k=0}^{\infty} \binom{\frac{1}{2}}{k} x^k = 1 + \frac{\frac{1}{2}}{1!} x + \frac{\frac{1}{2}(\frac{1}{2}-1)}{2!} x^2 + \frac{\frac{1}{2}(\frac{1}{2}-1)(\frac{1}{2}-2)}{3!} x^3 + \frac{\frac{1}{2}(\frac{1}{2}-1)(\frac{1}{2}-2)(\frac{1}{2}-3)}{4!} x^4 + \dots$

$= 1 + \frac{1}{2}x - \frac{1}{8}x^2 + \frac{1}{16}x^3 + \dots$

Suppose I want to give out  $n$  identical silver dollars to 3 students  $x, y, z$ . How many ways can I do this? This is the same as counting bitstrings of length  $n+2$  having 2 ones and  $n$  zeroes e.g.

$x^2 y z \uparrow \leftrightarrow 001010000$  represents one way to distribute 7 silver dollars to  $x, y, z$

$\underbrace{\quad\quad}_x \quad \underbrace{\quad\quad}_y \quad \underbrace{\quad\quad}_z$

$$\binom{9}{2} = \frac{9 \cdot 8}{2 \cdot 1} = \frac{P(9, 2)}{2!} = 36 \text{ ways to distribute 7 identical silver dollars to 3 students}$$

Expand  $\frac{1}{(1-x)(1-y)(1-z)} = \underbrace{(1+x+x^2+x^3+\dots)}_{\text{degree 1}} \underbrace{(1+y+y^2+y^3+\dots)}_{\text{degree 2}} \underbrace{(1+z+z^2+z^3+\dots)}_{\text{degree 3}}$

$$= 1 + x+y+z + x^2+y^2+z^2+xy+xz+yz + x^3+y^3+z^3+x^2y+xy^2+x^2z+xz^2+y^2z+yz^2+xyz + \dots$$

The term  $x^i y^j z^k$  of degree  $i+j+k$  represents how we can give  $i$  coins to  $x$ ,  $j$  coins to  $y$ ,  $k$  coins to  $z$ .

The number of ways to distribute  $n$  coins to 3 students is the number of terms of degree  $n$  in our expansion. To isolate terms of degree  $n$  in the expansion, do the following: replace  $x, y, z$  by  $tx, ty, tz$ .

$$\frac{1}{(1-tx)(1-ty)(1-tz)} = 1 + t(x+y+z) + t^2(x^2+y^2+z^2+xy+xz+yz) + t^3(x^3+y^3+\dots+xyz) + \dots$$

The coefficient of  $t^n$  in this series gives all the ways to distribute  $n$  coins to three students  $x, y, z$ . The number of ways to distribute  $n$  coins to 3 students, replace  $x, y, z$  by 1.

$$\frac{1}{(1-t)^3} = 1 + 3t + 6t^2 + 10t^3 + \dots$$



For this we can use the Binomial Theorem.

How many ways can we distribute  $n$  identical silver dollars to  $k$  students?

Call the students  $x_1, x_2, \dots, x_k$ .

$$\prod_{i=1}^k \frac{1}{1-x_i} = \frac{1}{(1-x_1)(1-x_2)\dots(1-x_k)} = \prod_{i=1}^k (1+x_i+x_i^2+x_i^3+\dots) = \sum_{i_1, \dots, i_k \geq 0} x_1^{i_1} x_2^{i_2} \dots x_k^{i_k}$$

In order to collect terms of each degree  $a \geq 0$ , replace  $x_1, \dots, x_k$  by  $tx_1, \dots, tx_k$ :

$$\prod_{i=1}^k \frac{1}{1-tx_i} = \sum_{i_1, \dots, i_k \geq 0} t^{i_1+i_2+\dots+i_k} x_1^{i_1} x_2^{i_2} \dots x_k^{i_k}$$

Now replace  $x_1, \dots, x_k$  by 1.  $\prod_{i=1}^k \frac{1}{1-t} = \frac{1}{(1-t)^k} = \sum_{n=0}^{\infty} \binom{k+n-1}{n} t^n$

↖ number of monomials  $x_1^{i_1} \dots x_k^{i_k}$   
of degree  $i_1+i_2+\dots+i_k=n$   
= number of solutions of  
 $i_1+i_2+\dots+i_k=n$   
( $i_1, \dots, i_k \geq 0$ )  
= number of ways to give  
 $i_1$  coins to  $x_1$ ,  
 $i_2$  " " "  $x_2$ ,  
⋮  
 $i_k$  " " "  $x_k$ .

$$\begin{aligned} \frac{1}{(1-t)^k} &= (1-t)^{-k} = \sum_{r=0}^{\infty} \binom{-k}{r} (-t)^r = \sum_{r=0}^{\infty} \frac{(-k)(-k-1)(-k-2)\dots(-k-r+1)}{r!} (-1)^r t^r \\ &= (1+(-t))^k \\ &= \sum_{r=0}^{\infty} \frac{(-1)^r k(k+1)(k+2)\dots(k+r-1)}{r!} (-1)^r t^r = \sum_{r=0}^{\infty} \underbrace{\frac{P(k+r-1, r)}{r!}}_{\binom{k+r-1}{r}} t^r = \sum_{n=0}^{\infty} \binom{n+k-1}{n} t^n \end{aligned}$$

Thus the number of ways to give  $k$  identical coins to  $k$  students is  $\binom{n+k-1}{n} = \binom{n+k-1}{k-1}$ .

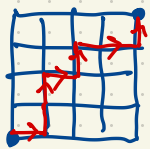
Number of ways to distribute 7 identical coins to 3 students is the coefficient of  $t^7$  in

$$\frac{1}{(1-t)^3} = 1 + 3t + 6t^2 + 10t^3 + 15t^4 + 21t^5 + 28t^6 + 36t^7 + \dots \quad \binom{9}{2} = 36$$

The sequence of coefficients is  $\binom{n}{2} = 1, 3, 6, 10, 15, 21, 28, 36, \dots$  is the triangular numbers  
 $= 1 + 2 + 3 + \dots + n$



In a city downtown, all streets run north-south and east-west, forming a grid. How many ways can you travel from one intersection to another intersection that is  $n$  blocks north and  $n$  blocks east if we require a path of shortest distance ( $2n$  blocks)?



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eg.  $\binom{8}{4} = 70$

words of length 8  
over the binary  
alphabet  $\{E, N\}$

There are  $\binom{2n}{n}$  shortest paths in the city grid to walk  
 $n$  blocks north and  $n$  blocks east.  
This gives a sequence  $1, 2, 6, 20, 70, \dots$



What is the generating function for this problem?

$$\sum_{n=0}^{\infty} \binom{2n}{n} x^n = 1 + 2x + 6x^2 + 20x^3 + 70x^4 + \dots$$

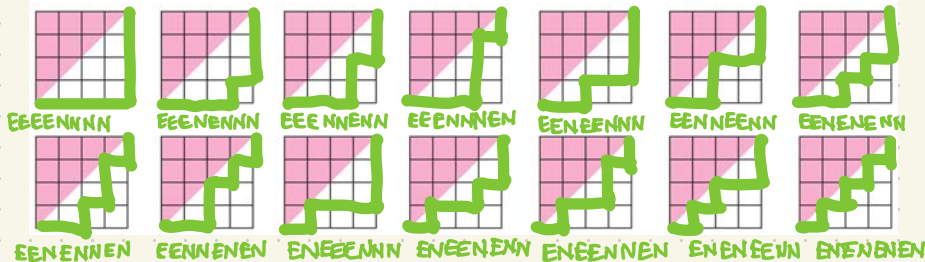
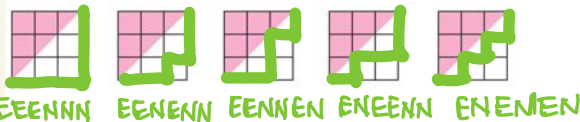
This is a warmup to our next problem. In both cases we can use the Binomial Theorem.

$$A(x) = \sum_{n=0}^{\infty} \binom{2n}{n} x^n = \sum_{n=0}^{\infty} \frac{1 \cdot 2 \cdot 3 \cdot 4 \cdot 5 \cdot \dots \cdot (2n)}{1 \cdot 2 \cdot 3 \cdot \dots \cdot n \cdot 1 \cdot 2 \cdot 3 \cdot \dots \cdot n} x^n = \sum_{n=0}^{\infty} \frac{1 \cdot 3 \cdot 5 \cdot \dots \cdot (2n-1) \cdot 2 \cdot 4 \cdot 6 \cdot \dots \cdot 2n}{1 \cdot 2 \cdot 3 \cdot \dots \cdot n \cdot 1 \cdot 2 \cdot 3 \cdot \dots \cdot n} x^n$$

$$= \sum_{n=0}^{\infty} \frac{1 \cdot 3 \cdot 5 \cdot \dots \cdot (2n-1)}{n!} 2^n x^n = \sum_{n=0}^{\infty} \frac{(\frac{1}{2})(\frac{3}{2})(\frac{5}{2}) \dots (\frac{2n-1}{2})}{n!} (-4)^n x^n$$

$$= \sum_{n=0}^{\infty} \frac{P(-\frac{1}{2}, n)}{n!} (-4x)^n = (1 + (-4x))^{-\frac{1}{2}} = \frac{1}{\sqrt{1-4x}} = 1 + 2x + 6x^2 + 20x^3 + 70x^4 + \dots$$

This time count shortest paths (distance  $2n$ ) in a city grid where we must walk  $n$  blocks north and  $n$  blocks east without going above the main diagonal " $y=x$ ":



$C_n$  = number of solutions

| $n$   | 0 | 1 | 2 | 3 | 4  |
|-------|---|---|---|---|----|
| $C_n$ | 1 | 1 | 2 | 5 | 14 |

$C_n$  is the  $n^{\text{th}}$  Catalan number.