



# Fields

## Book III

We have been talking about number fields: finite extensions  $E \supseteq \mathbb{Q}$  i.e.  $[E : \mathbb{Q}] = n < \infty$ .  
 (Some are Galois i.e.  $G = \text{Aut } E$  satisfies  $|G| = n$ ; but in general  $|G| \leq n$ .)

Back to basics:

In a field  $F$ , if  $\underbrace{1+1+\dots+1}_{n \geq 1} = 0$  then the smallest  $n$  for which this occurs is the characteristic of  $F$ .

If  $F$  has characteristic  $n > 0$  then  $n$  must be prime. If  $n = ab$ ,  $a, b \geq 1$  then

$$\underbrace{(1+1+\dots+1)}_a \underbrace{(1+1+\dots+1)}_b = \underbrace{1+1+1+\dots+1}_{n=ab} = 0$$

By minimality of  $n$ ,  $n$  is prime.

If  $\underbrace{1+1+\dots+1}_n \neq 0$  for any  $n \geq 1$ , then we say  $n$  has characteristic 0.

Given a field  $F$ ,  $\text{char } F = \text{characteristic of } F$  is either 0 or  $p$  (some prime  $p$ ).

- If  $\text{char } F = p$  then  $F \supseteq \mathbb{F}_p = \text{field of order } p$  ( $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z} = \{0, 1, 2, \dots, p-1\} = \text{"integers mod } p\text{"}$ ).  
 e.g.  $\mathbb{F}_p, \mathbb{F}_{p^2}, \mathbb{F}_{p^3}, \mathbb{F}_p, \dots, \mathbb{F}_p(x) = \{\text{all rational functions in } x \text{ with coefficients in } \mathbb{F}_p\}, \dots$

- If  $\text{char } F = 0$  then  $F \supseteq \mathbb{Q}$ . e.g.  $\mathbb{R}, \mathbb{C}, \mathbb{Q}$ , number fields,  $A = \{\text{algebraic numbers}\} \subset \mathbb{C}$   
 e.g.  $\mathbb{Q}[E]$

In either case  $F$  has a unique smallest subfield, either  $\mathbb{F}_p$  or  $\mathbb{Q}$ , called the prime subfield of  $F$ .

All fields of characteristic 0 are infinite. (They are extensions of  $\mathbb{Q}$ , hence vector spaces over  $\mathbb{Q}$ )  
 If  $E \supseteq F$  is a field extension (i.e.  $E, F$  are fields with  $F$  a subfield of  $E$ ) then  
 $E$  is a vector space over  $F$ . The dimension of this vector space is the degree  $[E:F]$  of  
 this extension eg.

$$[\mathbb{C} : \mathbb{R}] = 2$$

$\{\mathbf{i}\}$  basis

$$[\mathbb{R} : \mathbb{Q}] = \infty$$

$1, \sqrt{2}, \sqrt{3}, \sqrt{5}, \sqrt{6}, \sqrt{7}, \sqrt{10}, \sqrt{11}, \dots$   
 are lin. indep.

$$[\mathbb{C} : \mathbb{Q}] = \overbrace{[\mathbb{C} : \mathbb{R}]}^2 \overbrace{[\mathbb{R} : \mathbb{Q}]}^\infty = \infty$$

for fields of characteristic a prime  $p$ , some are finite, some are infinite.

Given  $p$  prime and  $k \geq 1$  (positive integer), there is a unique field of order  $q = p^k$  (up to isomorphism)

Finite fields:  $\mathbb{F}_2, \mathbb{F}_3, \mathbb{F}_4, \mathbb{F}_5, \mathbb{F}_7, \mathbb{F}_8, \mathbb{F}_9, \mathbb{F}_{11}, \mathbb{F}_{13}, \mathbb{F}_{16}, \mathbb{F}_{17}, \dots$

$$\mathbb{F}_4 = \{0, 1, \alpha, \beta\}$$

	0	1	$\alpha$	$\beta$
0	0	1	$\alpha$	$\beta$
1	1	0	$\beta$	$\alpha$
$\alpha$	$\alpha$	$\beta$	0	1
$\beta$	$\beta$	$\alpha$	1	0

$$\alpha + \alpha = (1+1)\alpha = 0\alpha = 0$$

x	0	1	$\alpha$	$\beta$
0	0	0	0	0
1	0	1	$\alpha$	$\beta$
$\alpha$	0	$\alpha$	$\beta$	1
$\beta$	$\beta$	0	1	$\alpha$

$$\text{char } \mathbb{F}_4 = 2.$$

$\mathbb{F}_4 \supset \mathbb{F}_2$  of degree  $[\mathbb{F}_4 : \mathbb{F}_2] = 2$   
 with basis  $1, \alpha$

$$\begin{aligned} \mathbb{F}_4 &= \{a \cdot 1 + b\alpha : a, b \in \mathbb{F}_2\} \\ &= \{0, 1, \alpha, 1+\alpha\} \quad \text{where } \alpha^2 = \alpha + 1. \\ &= \{0, 1, \alpha, \alpha^2\} \end{aligned}$$

$$\mathbb{F}_4 = \mathbb{F}_2[\alpha]$$

The minimal poly. of  $\alpha$  over  $\mathbb{F}_2$  is  $x^2 + x + 1$ .

Irreducible polynomials over  $\mathbb{F}_2 = \{0, 1\}$

degree 1:  $x, x+1$  (both irreducible)

degree 2:  $x^2, x^2+1, x^2+x, x^2+x+1$   
 $x \cdot x$   $x(x+1)$   $(x+1)(x+1)$  irreducible  
reducible

degree 3:  $x^3 = x \cdot x \cdot x$   
 $x^3+1 = (x+1)(x^2+x+1)$   
 $x^3+x = x \cdot (x+1)^2$   
 $x^3+x+1$  irreducible  
 $x^3+x^2 = x \cdot x \cdot (x+1)$   
 $x^3+x^2+1$  irreducible  
 $x^3+x^2+x = x(x^2+x+1)$   
 $x^3+x^2+x+1 = (x+1)^3$

In general the nonzero elements of  $\mathbb{F}_q$  form a cyclic group of order  $q-1$ .

There is only one finite field of each order  $q=p^k$  ( $p$  prime,  $k \geq 1$ ) up to isomorphism.

If  $\mathbb{F}_q$  is a finite field then it must have  $\text{char } \mathbb{F}_q = p$  for some prime  $p$

$|\mathbb{F}_q| = q < \infty$ . So  $\mathbb{F}_q$  is an extension  $\mathbb{F}_q \supseteq \mathbb{F}_p$  hence a vector space of some dimension  $k$ . Let  $\alpha_1, \dots, \alpha_k$  be a basis for  $\mathbb{F}_q$  over  $\mathbb{F}_p$  i.e.  $\mathbb{F}_q = \{q_1\alpha_1 + q_2\alpha_2 + \dots + q_k\alpha_k : q_1, \dots, q_k \in \mathbb{F}_p\}$ .

$$q = |\mathbb{F}_q| = p^k$$

There are  $2^n$  polynomials of degree  $n$ :  $x^n + c_{n-1}x^{n-1} + \dots + c_1x + c_0$   
and they are all monic.

$$c_0, c_1, \dots, c_{n-1} \in \mathbb{F}_2$$

Let  $\alpha$  be a root of  $x^2+x+1$ . The other root is  $\alpha+1$ .

$$\alpha^2 + \alpha + 1 = 0 \Rightarrow \alpha^2 = -\alpha - 1 = \alpha + 1$$

Note: The roots of  $ax^2+bx+c=0$  are  $\frac{-b \pm \sqrt{b^2-4ac}}{2a}$   
except in characteristic 2.

$\mathbb{F}_q = \mathbb{F}_2[\gamma]$  where  $\gamma$  is a root of  $x^3+x+1$  i.e.  $\gamma^3 = \gamma+1$

$$= \{a\gamma + b\gamma^2 + c\gamma^3 : a, b, c \in \mathbb{F}_2\}$$

$$= \{0, 1, \gamma, \gamma+1, \gamma^2, \gamma^2+1, \gamma^2+\gamma, \gamma^2+\gamma+1\}$$

$$\gamma^3 = \gamma^4 = \gamma^5 = \gamma^6 = 1$$

$$\gamma^0 = 1$$

$$\gamma^1 = \gamma$$

$$\gamma^2 = \gamma^2$$

$$\gamma^3 = \gamma+1$$

$$\gamma^4 = \gamma^2+\gamma$$

$$\gamma^5 = \gamma^3+\gamma^2 = \gamma^2+\gamma+1$$

$$\begin{aligned}\gamma^6 &= \gamma^3+\gamma^2+\gamma = (\gamma+1)+\gamma^2+\gamma \\ &= \gamma^2+1\end{aligned}$$

$$\gamma^7 = \gamma^3+\gamma = (\gamma+1)+\gamma = 1$$

$x^3+x+1$  has three roots in  $\mathbb{F}_8$ :  
 $\gamma, \gamma^2, \gamma^4$ .

$x^3+x^2+1$  has three roots in  $\mathbb{F}_8$ :  
 $\gamma^3, \gamma^5, \gamma^6 = \gamma^7$

$$\begin{aligned} F_9 &= \mathbb{F}_3[i] \quad \text{compose: } G = R[i], \quad Q[i] \supset \mathbb{Q}, \quad i = \sqrt{1}. \quad \{1, i\} \text{ is a basis of the extension.} \\ &= \{a+bi : a, b \in \mathbb{F}_3\} \\ &= \{0, 1, 2, i, 1+i, 2+i, 2i, 1+2i, 2+2i\} \\ &\quad \begin{matrix} \theta^0 \\ \theta^1 \\ \theta^2 \\ \theta^3 \\ \theta^4 \\ \theta^5 \end{matrix} \quad \begin{matrix} \theta^0 \\ \theta^1 \\ \theta^2 \\ \theta^3 \\ \theta^4 \\ \theta^5 \end{matrix} \quad \begin{matrix} \theta^0 \\ \theta^1 \\ \theta^2 \\ \theta^3 \\ \theta^4 \\ \theta^5 \end{matrix} \end{aligned}$$

$\theta$  is a primitive element: its powers give all the nonzero elements of  $F_9$ .

$$Q[i] \supset \mathbb{Q}, \quad i = \sqrt{1}.$$

$$i = \sqrt{1} = \sqrt{2} \quad F_9 = F_3[i] = \mathbb{F}_3[\sqrt{2}]$$

$$\theta^0 = 1$$

$$\theta^1 = \theta = 1+i$$

$$\theta^2 = (1+i)^2 = 1+2i+\cancel{i^2} = 2i$$

$$\theta^3 = \frac{2i(1+i)}{\theta^2} = -2+2i = 1+2i$$

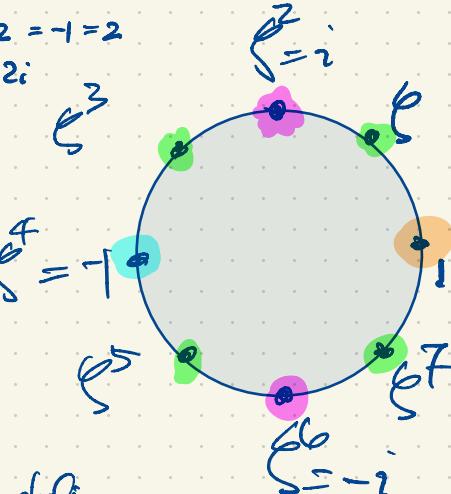
$$\theta^4 = \theta \cdot \theta = (1+2i)(1+i) = 1-2 = -1 = 2$$

$$\theta^5 = \theta^4 \cdot \theta = -\theta = 2\theta = 2+2i$$

$$\theta^6 = \theta^4 \cdot \theta^2 = -\theta^2$$

$$\theta^7 = \theta^4 \cdot \theta^3 = -\theta^3$$

$$\theta^8 = \theta^4 \cdot \theta^4 = -\theta^4$$



Every finite field  $F_q$  ( $q = p^k$ ,  $p$  prime) has a primitive element i.e. an element whose powers give all the nonzero field elements.

Why? Idea of proof: E.g. to see that  $F_9$  has a primitive element: The nonzero elements form a multiplicative group of order 8. There are five groups of order 8 up to isomorphism:

- dihedral group of order 8 (symmetry group of a square)
- quaternion " "

nonabelian

Every abelian group is a direct product of cyclic groups.

$$C_n = \text{cyclic group of order } n$$

(multiplicative)

$$C_n = \{1, g, g^2, \dots, g^{n-1}\}, g^n = 1.$$

abelian

- $C_8$  (four elements of order 8, two elements of order 4, one element of order 2)
- $C_2 \times C_4$  (four elements of order 4, three elements of order 2)
- $C_2 \times C_2 \times C_2$  (with seven elements of order 2)

In a field of order  $q$ , the polynomial  $x^2 - 1$  has at most 2 roots.  
 (In  $F[x]$ , where  $F$  is any field, every polynomial of degree  $k$  has at most  $k$  roots.)  
 If  $f(x) \in F[x]$  has  $k$  roots  $r_1, \dots, r_k \in F$ , then  $\underbrace{f(x)}_{\text{degree } k} = (x-r_1)(x-r_2)\dots(x-r_k)h(x)$ .

$$x^2 - 1 = (x-1)(x+1)$$

$$\mathbb{F}_{25} = \mathbb{F}_5[\sqrt{2}] \neq \mathbb{F}_5[i], i = \sqrt{-1} = \sqrt{4} = \pm 2 \quad \text{In } \mathbb{F}_5, -1 \text{ is already a square.}$$

$1, \sqrt{2}$  is a basis

$$\mathbb{F}_5[i] = \mathbb{F}_5[2] = \mathbb{F}_5$$

$$\mathbb{Q}[\sqrt{4}] = \mathbb{Q}\{2\} = \mathbb{Q}$$

$$\mathbb{R}[\sqrt{2}] = \mathbb{R}$$

$$\mathbb{R}[i] = \mathbb{C}$$

In  $\mathbb{R}[x]$ ,  $x^2 - 2$  is reducible since  $x^2 - 2 = (x+\sqrt{2})(x-\sqrt{2})$ .  
 $x^2 + 1$  is irreducible.

How do we extend  $\mathbb{F}_p$  to  $\mathbb{F}_{p^2}$ ? We want a quadratic extension  $[\mathbb{F}_{p^2} : \mathbb{F}_p] = 2$ .

A choice of basis is  $\{1, \sqrt{a}\}$  if  $a \in \mathbb{F}_p$  is not a square of any element in  $\mathbb{F}_p$  i.e.  $x^2 - a \in \mathbb{F}_p[x]$  should be irreducible.

When  $p$  is an odd prime, there are  $p-1$  nonzero elements and half of them are squares, half are non-squares.

When  $p=5$ , the nonzero elements of  $\mathbb{F}_5$  are  $1, 2, 3, 4$  where  $1, 4$  are squares;  $2, 3$  are non-squares.

$$\mathbb{F}_{25} = \mathbb{F}_5[\sqrt{2}] = \mathbb{F}_5[\sqrt{3}]$$

When  $p=2$ ,  $x^2 - a = (x-a)^2$  i.e.  $x^2 = x \cdot x$  is reducible,  $x^2 - 1 = (x-1)^2$  is reducible,  $\mathbb{F}_2 = \{0, 1\}$  has squares only. But  $x^2 + x + 1$  is irreducible in  $\mathbb{F}_2[x]$   
 $\mathbb{F}_4 = \mathbb{F}_2[x]$ , a root of  $x^2 + x + 1$ .

If  $q = p^k$  then  $\mathbb{F}_q > \mathbb{F}_p$  is an extension of degree  $[\mathbb{F}_q : \mathbb{F}_p] = k$  with exactly  $k$  automorphisms.

In  $\mathbb{F}_q = \mathbb{F}_3[i]$ , the map  $a+bi \mapsto a-bi$  is the nonidentity automorphism.

In  $\mathbb{F}_{25} = \mathbb{F}_5[i_2]$ , the ...  $a+b\sqrt{2} \mapsto a-b\sqrt{2}$  . - - - - - .

$$\begin{aligned}\mathbb{F}_q &= \mathbb{F}_2[\kappa] \quad \text{the map} \quad \begin{array}{l} \overset{\sigma}{\overrightarrow{0}} \mapsto \overset{\sigma}{0} \\ \overset{\sigma}{1} \mapsto \overset{\sigma}{1} \\ \kappa \mapsto \rho \\ \rho \mapsto \alpha \\ \alpha + 1 = \alpha^2 \end{array} \quad . - - - - - . \\ &= \{0, 1, \alpha, \rho\}\end{aligned}$$

Finite fields are Galois extensions of their prime fields:  $\mathbb{F}_q \supseteq \mathbb{F}_p$ ,  $q = p^k$ ,  $p$  prime  $[\mathbb{F}_q : \mathbb{F}_p] = k$  so  $G = \text{Aut } \mathbb{F}_q$  has order  $|G| = k$  and  $G = \{1, \sigma, \sigma^2, \dots, \sigma^{k-1}\}$ ,  $\sigma^k = 1$ . Here  $\sigma(x) = x^p$ .

$$\sigma(xy) = (xy)^p = x^p y^p = \sigma(x)\sigma(y) \quad \text{for all } x, y \in \mathbb{F}_q.$$

$$\begin{aligned}\sigma(x+y) &= (x+y)^p = x^p + p x^{p-1} y + \frac{p(p-1)}{2} x^{p-2} y^2 + \dots + p x y^{p-1} + y^p \quad \text{by the Binomial Theorem} \quad (x+y)^p = \sum_{i=0}^p \binom{n}{i} x^{n-i} y^i \\ &\quad \text{where } \binom{n}{i} = \frac{n!}{i!(n-i)!}, \quad n! = 1 \times 2 \times 3 \times \dots \times n \\ &\quad \text{is divisible by } p \\ &= x^p + y^p = \sigma(x) + \sigma(y)\end{aligned}$$

$\sigma: \mathbb{F}_2 \rightarrow \mathbb{F}_2$  is a homomorphism. All elements of  $\mathbb{F}_2$  are roots of  $x^2 - x$ .

$$\ker \sigma = \{x \in \mathbb{F}_q : \sigma(x) = 0\} = \{0\} \quad \text{so } \sigma \text{ is one-to-one.}$$

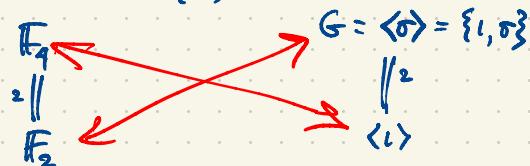
Since  $\mathbb{F}_q$  is finite,  $\sigma$  is onto. So  $\sigma$  is an isomorphism  $\mathbb{F}_2 \rightarrow \mathbb{F}_2$  i.e.  $\sigma$  is an automorphism of  $\text{Aut } \mathbb{F}_2 \geq \{1, \sigma, \sigma^2, \sigma^3, \dots\}$  but these automorphisms can't all be distinct

$$\sigma^k(x) = \underbrace{\sigma(\sigma(\sigma(\dots(\sigma(x))\dots)))}_{k \text{ times}} = (((x^p)^p)^p) \dots)^p = x^{p^k} = x^2 = x$$

In  $\mathbb{F}_q^* = \{x \in \mathbb{F}_q : x \neq 0\}$  is a multiplicative group of order  $q-1$  (actually  $q-1$  cycles).  $x^{q-1} = 1$  for all  $x \in \mathbb{F}_q^*$

Eg.  $\mathbb{F}_q > \mathbb{F}_2$  of degree  $[\mathbb{F}_q : \mathbb{F}_2] = 2$  with basis  $\{1, \alpha\}$

$\mathbb{F}_q = \{0, 1, \alpha, \beta\}$  where  $\beta = \alpha^2 = \alpha + 1$        $\text{Aut } \mathbb{F}_q = \langle \sigma \rangle = \{1, \sigma\}$ ,  
 $= \{\alpha \cdot 1 + b \cdot \alpha : a, b \in \mathbb{F}_2\}$

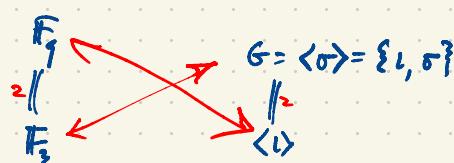


x	$\sigma(x) = x^2$	$\sigma^2(x) = x^4$
0	0	0
1	1	1
$\alpha$	$\beta$	$\alpha$
$\beta$	$\alpha$	$\beta$

Eg.  $\mathbb{F}_q > \mathbb{F}_3 = \{0, 1, 2\}$ ,  $[\mathbb{F}_q : \mathbb{F}_3] = 2$  with basis  $\{1, i\}$

$\mathbb{F}_q = \{a + bi : a, b \in \mathbb{F}_3\}$

$$i = \sqrt{-1} = \sqrt{2}$$



$$\begin{aligned}\sigma(x) &= x^3 \\ \sigma(a+bi) &= abi \\ \text{for } a, b \in \mathbb{F}_3\end{aligned}$$

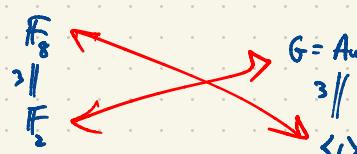
x	$\sigma(x) = x^3$
0	0
1	2
2	-i = 2i
i	-2i = i
2i	$a+bi$
$a-bi$	$a-bi$

$$\begin{aligned}(a+bi)^3 &= a^3 + 3a^2bi + 3ab^2i^2 + (bi)^3 \\ &= a+bi\end{aligned}$$

Eg.  $\mathbb{F}_8 > \mathbb{F}_2 = \{0, 1\}$ ,  $[\mathbb{F}_8 : \mathbb{F}_2] = 3 = |G|$  where  $G = \text{Aut } \mathbb{F}_8 = \langle \sigma \rangle = \{1, \sigma, \sigma^2\}$ ,  $\sigma^3 = 1$

$\mathbb{F}_8 = \{a + b\gamma + c\gamma^2 : a, b, c \in \mathbb{F}_2\}$ ,  $\gamma^3 = \gamma + 1$

$\{1, \gamma, \gamma^2\}$  basis



$$\begin{aligned}\sigma(x) &= x^2, \\ \sigma^2(x) &= (x^2)^2 = x^4, \\ \sigma^3(x) &= ((x^2)^2)^2 = x^8 = x\end{aligned}$$

x	$\sigma(x) = x^2$
0	0
1	$\gamma^2$
$\gamma$	$\gamma^4 = \gamma + \gamma^2$
$\gamma^2$	$\gamma^6 = \gamma + \gamma^2$
$\gamma^3 = 1 + \gamma$	$\gamma$
$\gamma^4 = \gamma + \gamma^2$	$\gamma^8 = 1 + \gamma$
$\gamma^5 = \gamma^2 + \gamma + 1$	$\gamma^2 = \gamma^2 + \gamma + 1$
$\gamma^6 = 1 + \gamma^2$	$\gamma^4 = \gamma^2 + \gamma + 1$
$\gamma^7 = 1$	$\gamma^5 = \gamma^2 + \gamma + 1$
$\gamma^8 = 1$	1

If  $f(x) \in F[x]$  is irreducible, then we say any two roots  $\alpha, \beta$  of  $f(x)$  (typically in an extension field  $E \supseteq F$ ) then  $\alpha, \beta$  are conjugates.

Eg.  $f(x) = x^2 - 2 \in \mathbb{Q}[x]$  has roots  $\pm\sqrt{2} \in \mathbb{R}$  or in  $\mathbb{Q}[\sqrt{2}]$ .  $\pm\sqrt{2}$  are conjugates.

If  $f(x) = x^2 + 1 \in \mathbb{Q}[x]$  has roots  $\pm i \in \mathbb{C}$  or  $\mathbb{Q}[i]$ .  $\pm i$  are conjugates.

In  $E$  there can be an automorphism  $\sigma \in \text{Aut } E$  fixing every element of  $F$  and mapping a root of  $f(x)$  to any of its conjugates.

Eg.  $f(x) = x^3 - 2$  has three roots  $\alpha, \alpha\omega, \alpha\omega^2$  where  $\alpha = \sqrt[3]{2}$ ,  $\omega = e^{2\pi i/3} = \frac{-1 + \sqrt{-3}}{2}$ ,  $\omega^2 = e^{4\pi i/3} = \frac{-1 - \sqrt{-3}}{2}$ .

The elements  $\alpha, \alpha\omega, \alpha\omega^2$  are conjugates. These are all the conjugates of  $\alpha$ .

in  $\overline{\mathbb{Q}[\alpha, \omega]} \supset \mathbb{Q}$ ,  $[\mathbb{Q}[\alpha, \omega] : \mathbb{Q}] = 6$ .

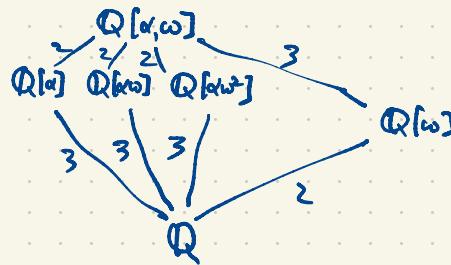
$$x^3 - 2 = (x - \alpha)(x - \alpha\omega)(x - \alpha\omega^2)$$

$\mathbb{Q}[\alpha, \omega]$  is the splitting field of  $f(x) = x^3 - 2$

$\mathbb{Q}[\alpha]$  is not the splitting field of  $f(x) = x^3 - 2 = (x - \alpha)(x^2 + \alpha x + \alpha^2)$

$$[\mathbb{Q}[\alpha] : \mathbb{Q}] = 3$$

$$[\mathbb{Q}[\alpha\omega] : \mathbb{Q}] = 3$$



Eg.  $\mathbb{F}_8 \supset \mathbb{F}_2 = \{0, 1\}$ ,  $[\mathbb{F}_8 : \mathbb{F}_2] = 3 = |\mathcal{G}|$  where  $\mathcal{G} = \text{Aut } \mathbb{F}_8 = \langle \sigma \rangle = \{1, \gamma, \gamma^2\}$ ,  $\gamma^3 = 1$   
 $\mathbb{F}_8 = \{a + b\gamma + c\gamma^2 : a, b, c \in \mathbb{F}_2\}$ ,  $\gamma^3 = \gamma + 1$   
 $\{1, \gamma, \gamma^2\}$  basis

$$\begin{array}{ccc} & \mathbb{F}_8 & \\ 2\mid & & 3\parallel \\ & \mathbb{F}_2 & \end{array}$$

$f(x) = x^3 + x + 1 \in \mathbb{F}_2[x]$  is irreducible

If has roots in  $\mathbb{F}_8$ :  $\gamma, \gamma^2, \gamma^4$

$$\begin{aligned} f(x) &= x^3 + x + 1 = (x - \gamma)(x - \gamma^2)(x - \gamma^4) \\ (\gamma^3 + \gamma + 1) &= 0 \\ \gamma^6 + \gamma^2 + 1 &= 0 \end{aligned}$$

$\gamma^3 \in \mathbb{F}_8$  must have minimal poly.  $g(x) \in \mathbb{F}_2[x]$  of degree 3. This must be  $g(x) = x^3 + x^2 + 1$   
 $\therefore g(x) = x^3 + x^2 + 1$  must have roots  $\gamma^3, \gamma^6, \gamma^5$

The roots of  $x^8 - x \in \mathbb{F}_2[x]$  are all the eight elements of  $\mathbb{F}_8$ .

$$\begin{aligned} x^8 - x &= x(x^7 - 1) = x(x-1)(x^6 + x^5 + x^4 + x^3 + x^2 + x + 1) \\ &= x(x+1)(x^3 + x + 1)(x^3 + x^2 + 1) \\ &\quad 0 \quad 1 \quad \gamma, \gamma^2, \gamma^4 \quad \gamma^3, \gamma^5, \gamma^6 \end{aligned}$$

$$\begin{array}{c} \sigma(x) = x^2 \\ \sigma^2(x) = (x^2)^2 = x^4 \\ \sigma^3(x) = ((x^2)^2)^2 = x^8 = x \end{array}$$

$$\begin{array}{c} x \xrightarrow{\sigma(x)=x^2} 0 \\ 0 \xrightarrow{\sigma(x)=x^2} 1 \\ 1 \xrightarrow{\sigma(x)=x^2} \gamma^2 \\ \gamma^2 \xrightarrow{\sigma(x)=x^2} \gamma^6 = 1 + \gamma^2 \\ \gamma^6 \xrightarrow{\sigma(x)=x^2} \gamma \\ \gamma \xrightarrow{\sigma(x)=x^2} \gamma^3 = 1 + \gamma \\ \gamma^3 \xrightarrow{\sigma(x)=x^2} \gamma^5 \\ \gamma^5 \xrightarrow{\sigma(x)=x^2} 1 \\ 1 \xrightarrow{\sigma(x)=x^2} 1 \end{array}$$

$$\begin{aligned} \sigma(\gamma^4) &= \gamma^8 = \gamma & \sigma(\gamma^5) &= \gamma^{10} = \gamma^3 \\ \sigma(\gamma^3) &= \gamma^6 & & \\ \sigma(\gamma^6) &= \gamma^{12} = \gamma^5 & & \end{aligned}$$

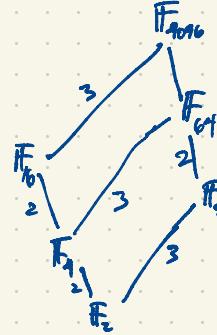
$$\#_5: \text{all elements are roots of } x^5 - x = x(x^2 + 1) = x(x^2 + 1)(x^2 - 1) = x(x-2)(x-3)(x-1)(x+1) \\ = x(x-1)(x-2)(x-3)(x-4)$$

0 1 2 3 4

Subfields of  $\mathbb{F}_{16}$ :  $\mathbb{F}_2, \mathbb{F}_4, \mathbb{F}_8$

$$\begin{matrix} \mathbb{F}_{16} \\ \downarrow 2 \\ \mathbb{F}_8 \\ \downarrow 2 \\ \mathbb{F}_4 \\ \downarrow 2 \\ \mathbb{F}_2 \end{matrix}$$

$$[\mathbb{F}_8 : \mathbb{F}_2] = 3$$



Math 4550 Spring 2025 = 45<sup>o</sup> Theory of Numbers

Putnam Exam 2024 Dec 7 8:30 am - 4:30 pm  
Interested? Email me with 'Putnam' in subject line.

More examples of fields :  $F((x)) \supset F(x) \supset F$  where  $F$  is a field.

Laurent series in  $x$   
with coefficients in  $F$

rational functions in  $x$   
with coefficients in  $F$

$x$  is an indeterminate  
(a symbol)

Ex.  $f(x) = \frac{x}{1-x-x^2} \in \mathbb{Q}(x)$  can be regarded as an infinite series in  $x$  with coefficients in  $\mathbb{Q}$

$$= F_0 + F_1 x + F_2 x^2 + F_3 x^3 + \dots \quad \text{where } F_i \in \mathbb{Q}$$

$$f'(x) = \frac{(1-x-x^2)(1-x(-2x))}{(1-x-x^2)^2} = \frac{1+x^2}{(1-x-x^2)^2}$$

$$f''(x) = \frac{(1-x-x^2)^2(2x) - (1+x^2)2(1-x-x^2)(-1-2x)}{(1-x-x^2)^3} = \frac{(-x-x^2)(2x) + 2(1+x^2)(1+2x)}{(1-x-x^2)^3} = \frac{2x-2x^2-2x^3+2(1+2x+x^2+2x^3)}{(1-x-x^2)^3}$$

$$= \frac{2+6x+2x^3}{(1-x-x^2)^3}$$

$$f'''(x) = \text{etc.}$$

$$f^{(4)}(x) = f''''(x) = \text{etc.}$$

$$\text{Taylor series centered at } 0 \text{ for } f(x) = \sum_{n=0}^{\infty} \frac{f^{(n)}(0)}{n!} x^n = f(0) + f'(0)x + \frac{f''(0)}{2}x^2 + \frac{f'''(0)}{6}x^3 + \frac{f^{(4)}(0)}{24}x^4 + \dots$$

The Fibonacci sequence  $F_n$  is defined recursively by

$$F_n = \begin{cases} 0, & \text{if } n=0 \\ 1, & \text{if } n=1 \\ F_{n-1} + F_{n-2}, & \text{if } n \geq 2 \end{cases}$$

$$= 0 + 1x + \frac{2}{2}x^2 + \frac{12}{6}x^3 + \frac{72}{24}x^4 + \dots$$

$$= x + x^2 + 2x^3 + 3x^4 + 5x^5 + 8x^6 + 13x^7 + \dots$$

Alternatively:  $f(x) = \frac{x}{1-x-x^2} = q_0 + q_1x + q_2x^2 + q_3x^3 + q_4x^4 + \dots = \frac{x + x^2 + 2x^3 + 3x^4 + 5x^5 + 8x^6 + \dots}{q_0 + q_1x + q_2x^2 + q_3x^3 + q_4x^4 + \dots}$

$$x = (1-x-x^2)(q_0 + q_1x + q_2x^2 + q_3x^3 + q_4x^4 + \dots)$$

$$= \underbrace{q_0}_0 + \underbrace{(q_1-q_0)}_1 x + \underbrace{(q_2-q_1-q_0)}_0 x^2 + \underbrace{(q_3-q_2-q_1)}_0 x^3 + \underbrace{(q_4-q_3-q_2)}_0 x^4 + \dots$$

Third way:  $\frac{1}{1-u} = 1+u+u^2+u^3+u^4+\dots$  (geometric series)

Since  $(1-u)(1+u+u^2+u^3+u^4+\dots) = 1 - u + u^2 - u^3 + u^4 - \dots = 1$

Substitute  $u = x+x^2$

$$\begin{aligned}\frac{x}{1-x-x^2} &= x(1+(x+x^2)+(x+x^2)^2+(x+x^2)^3+(x+x^2)^4+\dots) \\ &= x(1+(x+x^2)+(x^2+2x^3+x^4)+(x^3+3x^4+3x^5+x^6)+(x^4+4x^5+6x^6+4x^7+x^8)+\dots) \\ &= x(1+x+2x^2+3x^3+5x^4+\dots) \\ &= x+x^2+2x^3+3x^4+5x^5+\dots\end{aligned}$$

Fourth method:

$$\frac{x}{1-x-x^2} = \frac{x}{(1-\alpha x)(1-\beta x)} = \frac{A}{1-\alpha x} + \frac{B}{1-\beta x} \Rightarrow x = A(1-\beta x) + B(1-\alpha x) \Rightarrow$$

(for  $x = \frac{1}{\alpha}$ )  $\frac{1}{\alpha} = A(1-\frac{\beta}{\alpha}) \Rightarrow A = \frac{1}{\alpha(\alpha-\beta)} = \frac{1}{\sqrt{5}}$

(for  $x = \frac{1}{\beta}$ )  $\frac{1}{\beta} = B(1-\frac{\alpha}{\beta}) \Rightarrow B = \frac{1}{\beta(\beta-\alpha)} = \frac{1}{\sqrt{5}}$

$\Rightarrow B = -\frac{1}{\sqrt{5}}$

$\alpha, \beta$  are the reciprocal roots of  $1-x-x^2 = x^2(x^2-x-1)$

$$\alpha = \frac{1+\sqrt{5}}{2}, \quad \beta = \frac{1-\sqrt{5}}{2}, \quad \alpha-\beta = \sqrt{5}$$

$\approx 1.618 \quad \approx -0.618$

$$\frac{x}{1-x-x^2} = \frac{x}{(1-\alpha x)(1-\beta x)} = \frac{1}{\sqrt{5}} \left( \frac{1}{1-\alpha x} - \frac{1}{1-\beta x} \right) = \frac{1}{\sqrt{5}} \left( \sum_{n=0}^{\infty} \alpha^n x^n - \sum_{n=0}^{\infty} \beta^n x^n \right) = \frac{1}{\sqrt{5}} \sum_{n=0}^{\infty} (\alpha^n - \beta^n) x^n = \sum_{n=0}^{\infty} F_n x^n = x + x^2 + 2x^3 + 3x^4 + 5x^5 + \dots$$

where  $F_n = \frac{\alpha^n - \beta^n}{\sqrt{5}}$

$\frac{F_{n+1}}{F_n} \rightarrow \alpha$

$F_n \sim \frac{1}{\sqrt{5}} \alpha^n$  rounded to the nearest integers.

$|\beta| < 1$  so  $\beta^n \rightarrow 0$

$|\alpha| > 1$  so  $\alpha^n \rightarrow \infty$  grows exponentially

Eg. Count the number  $a_n$  of sequences of 0's and 1's of length  $n$  having no two consecutive 1's.

$n$	"	
0		$a_0 = 1$
1	'0, 1'	$a_1 = 2$
2	00, 10, 01	$a_2 = 3$
3	000, 100, 010, 001, 101	$a_3 = 5$
4	-----	$a_4 = 8$

Other series are relevant in combinatorial applications in which  $f(x)$  cannot converge anywhere. Eg.

$$f(x) = \sum_{n=0}^{\infty} n! x^n = 1 + x + 2x^2 + 6x^3 + 24x^4 + \dots$$

$$f(x)^2 = (1 + x + 2x^2 + 6x^3 + \dots)^2 = 1 + 2x + 5x^2 + \dots$$



$$\frac{f(x)}{x} = \frac{1}{x} + 1 + 2x + 6x^2 + 24x^3 + \dots$$

$$\frac{x}{1-x-x^2} = x + x^2 + 2x^3 + 3x^4 + 5x^5 + 8x^6 + \dots$$

$$\frac{1}{1-x-x^2} = 1 + x + 2x^2 + 3x^3 + \dots$$

$$\frac{1}{x-x^2-x^3} = \frac{1}{x} + 1 + 2x + 3x^2 + 5x^3 + \dots$$

What is a series  $f(x) = a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \dots$  really?

We think of  $f$  as the sequence  $(a_0, a_1, a_2, a_3, \dots)$

So if  $g(x) = b_0 + b_1 x + b_2 x^2 + \dots$  then  $g$  is really  $g$  is  $(b_0, b_1, b_2, b_3, \dots)$

$f+g = (a_0+b_0, a_1+b_1, a_2+b_2, a_3+b_3, \dots)$  entrywise addition

$f \cdot g = (a_0b_0, a_0b_1+a_1b_0, a_0b_2+a_1b_1+a_2b_0, a_0b_3+a_1b_2+a_2b_1+a_3b_0, \dots)$  multiplication is by convolution (not entrywise)

$$fg = (c_0, c_1, c_2, c_3, \dots), \quad c_n = \sum_{k=0}^n a_k b_{n-k}$$

$F[[x]]$  = power series in  $x$  with coefficients in  $F$  (a ring)

$F((x))$  = field of quotients of  $F[[x]]$  (like  $F[[x]]$  but with some negative powers of  $x$ )

e.g.  $F = F_2 = \{0, 1\}$

$$\frac{x^2 + x^4 + x^5 + x^7 + \dots}{x^5 + x^6 + x^7 + x^8 + \dots} = x^{-3} + x^{-2} + 1 + \dots \quad (\text{higher degree terms})$$

$$x^{-2} + x^{-1} + x^0 + x^1 + x^2 + \dots = (x^5 + x^6 + x^7 + x^8 + \dots) (x^{-3} + x^{-2} + 1 + \frac{1}{x} + \frac{1}{x^2} + \dots)$$

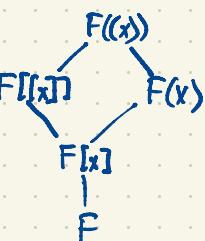
$\uparrow \quad \uparrow \quad \uparrow \quad \uparrow \quad \uparrow \quad \uparrow$   
 $1x^{-2} \quad 0x^{-1} \quad x^0=1 \quad 0x$

In the ring  $F[[x]]$ , the units (i.e. invertible elements) are all the elements with nonzero constant term.

$F((x))$  is however a field i.e. all nonzero elements are units

Why can't we allow infinitely many powers of  $x$  with negative exponents as well as positive exponents?  
 $(\dots + x^{-3} + x^{-2} + x^{-1} + 1 + x + x^2 + x^3 + \dots) (\dots + 5x^{-3} + 2x^{-2} + 7x^{-1} + 11 + 13x + 2x^2 + 3x^3 + x^4 + \dots)$  is undefined whereas

$$(x^{-2} + x^{-1} + 1 + x + x^2 + x^3 + \dots) (7x^{-1} + 11 + 13x + 2x^2 + 3x^3 + x^4 + \dots) = 7x^{-3} + (8x^{-2} + 31x^{-1} + 33 + 36x + \dots)$$



$$\frac{1}{x^2 + x^3} = \frac{1}{x^2(1+x)} = \frac{1}{x^2} (1 - x + x^2 - x^3 + x^4 - x^5 + \dots) = x^2 - x^{-1} + 1 - x + x^2 - x^3 + x^4 - x^5 + \dots$$

Automorphisms of  $\mathbb{Q}(x) \supset \mathbb{Q}$  includes  $f(x) \mapsto f(x+1)$  has inverse  $f(x) \mapsto f(x-1)$ .  
 $f(x)+g(x) \mapsto f(x+1) + g(x+1)$   
 $f(x)g(x) \mapsto f(x+1)g(x+1)$

$$[\mathbb{Q}(x) : \mathbb{Q}] = \infty \quad (\text{actually } \aleph_0)$$

This is a start on one of the HW4 problems.

How about square roots?

For  $f(x) \in \mathbb{Q}(x)$ , when does  $\sqrt{f(x)} \in \mathbb{Q}(x)$ ?  
 $\sqrt{x} \notin \mathbb{Q}(x)$ . Very small fraction of functions  $f(x) \in \mathbb{Q}(x)$  have  $\sqrt{f(x)} \in \mathbb{Q}(x)$ .

e.g. if  $F = \mathbb{Q}(x)$  then  $E = F(\sqrt{x}) = \mathbb{Q}(x, \sqrt{x}) = \mathbb{Q}(\sqrt{x})$  since  $x \in \mathbb{Q}(\sqrt{x})$ , in fact  $x \in \mathbb{Q}[\sqrt{x}]$ .

$$\sqrt{1+x} \in \mathbb{Q}((x))$$

$$\sqrt{1+x} = a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \dots, \quad a_i \in \mathbb{Q}$$

$$\in \mathbb{Q}[[x]]$$

$$1+x = (a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \dots)^2 = a_0^2 + 2a_0 a_1 x + (a_1^2 + 2a_0 a_2)x^2 + (2a_0 a_3 + 2a_1 a_2)x^3 + (a_2^2 + 2a_1 a_3 + 2a_0 a_4)x^4 + \dots$$

$$a_0 = \pm 1. \quad \text{Let's take } a_0 = 1. \quad = 1 + 2a_1 x + (a_1^2 + 2a_0 a_2)x^2 + (2a_0 a_3 + 2a_1 a_2)x^3 + (a_2^2 + 2a_1 a_3 + 2a_0 a_4)x^4 + \dots$$

$$\text{Now } a_1 = \frac{1}{2}.$$

$$a_2 = -\frac{1}{8}$$

$$a_3 = \frac{1}{16}$$

etc.

$$\sqrt{1+x} = 1 + \frac{1}{2}x - \frac{1}{8}x^2 + \frac{1}{16}x^3 - \dots$$

The two square roots of  $1+x$  in  $\mathbb{Q}[[x]]$  are  $\pm \sqrt{1+x} = \pm(1 + \frac{1}{2}x - \frac{1}{8}x^2 + \frac{1}{16}x^3 - \dots)$

$$\underline{\text{Binomial Theorem}} \quad (1+x)^a = \sum_{k=0}^{\infty} \binom{a}{k} x^k \quad \binom{a}{k} = \frac{a(a-1)(a-2)\cdots(a-k+1)}{k(k-1)(k-2)\cdots2\cdot1} \quad k \in \{0, 1, 2, \dots\}$$

$a \in \mathbb{R}$ .

(Polynomial of degree  $k$  in  $x$ ;  
but if  $a \in \{0, 1, 2, \dots\}$  then this value  $\binom{a}{k}$  is entry  $k$  in row  $a$  of Pascal's triangle)

$$\begin{aligned} \sqrt{1+x} &= (1+x)^{\frac{1}{2}} = 1 + \underbrace{\frac{1}{1}x}_{\binom{1}{0}} + \underbrace{\frac{\frac{1}{2}(\frac{1}{2}-1)}{2\cdot1}x^2}_{\binom{2}{1}} + \underbrace{\frac{\frac{1}{2}(\frac{1}{2}-1)(\frac{1}{2}-2)}{3\cdot2\cdot1}x^3}_{\binom{3}{2}} + \underbrace{\frac{\frac{1}{2}(\frac{1}{2}-1)(\frac{1}{2}-2)(\frac{1}{2}-3)}{4\cdot3\cdot2\cdot1}x^4}_{\binom{4}{3}} + \dots \\ &= 1 + \frac{1}{2}x - \frac{1}{8}x^2 + \frac{1}{16}x^3 - \frac{5}{128}x^4 + \dots \in \mathbb{Q}[[x]] \end{aligned}$$

$$-\frac{5}{8} \cdot \frac{1}{16} = -\frac{5}{128}$$

$$\sqrt{2+x} = \sqrt{2}\sqrt{1+\frac{x}{2}} \in \mathbb{R}[[x]]$$

At  $\sqrt{2} \notin \mathbb{Q}[[x]]$

On that about #3 (?)

$$\sqrt{1+x} = 2\sqrt{1+\frac{x}{4}} \in \mathbb{Q}[[x]]$$

If  $q = p^k$ ,  $p$  prime  $k \geq 1$  we have a field of order  $q$ , unique up to isomorphism, denoted  $\mathbb{F}_q$ .  
First suppose  $q$  is odd i.e.  $p = \text{char } F$  is odd.  
Half the nonzero elements are squares.

"ABLE WAS I ERE I SAW ECB"

$$\text{Eq. } \mathbb{F}_7 = \{0, 1, 2, 3, 4, 5, 6\}$$

$a$	$a^2$
0	0
1	1
2	4
3	2
4	5
5	3
6	1

$$\mathbb{F}_{q^2} = \mathbb{F}_q[\sqrt{a}] = \mathbb{F}_q[\sqrt{5}] = \mathbb{F}_7[\sqrt{5}] = \mathbb{F}_7[i], i = \sqrt{-1}$$

$x^2-a$  is reducible iff  $a$  is a square.

$x^2-a$  is irreducible iff  $\mathbb{F}[\sqrt{a}] > \mathbb{F}$  is a quadratic extension.

If  $q = 2^k$  then every element of  $\mathbb{F}_q$  has a unique square root and  $x \mapsto \sqrt{k}x$  is an automorphism of  $\mathbb{F}_q$ .

$$\mathbb{Q} \subset \mathbb{R} \subset \mathbb{R}^*$$

$\mathbb{R}$ : reals ,  $\mathbb{R}^*$ : hyperreals

Back to basics:

Let  $R$  be an integral domain i.e. commutative ring with identity  $1$  having no zero divisors (i.e.  $a, b \neq 0 \Rightarrow ab \neq 0$ )  
 e.g.  $\mathbb{Z}, \mathbb{Z}[x], R[x]$ . An ideal in  $R$  is a subset (actually subring) which is closed under taking  $R$ -linear combinations. A subset  $A \subseteq R$  with  $0 \in A$  is an ideal if

$$r_1a_1 + r_2a_2 + \dots + r_na_n \in A \quad \text{for all } a_1, \dots, a_n \in A; \quad r_1, \dots, r_n \in R.$$

Eg. if we fix  $a_1, \dots, a_n \in R$  then the ideal generated by  $a_1, \dots, a_n$  is

$$(a_1, \dots, a_n) = \{r_1a_1 + \dots + r_na_n : r_1, \dots, r_n \in R\} \quad (\text{Compare: the span of a set of vectors in a vector space is a subspace}).$$

Eg. in  $\mathbb{Z}$ , fix an integer  $m$ . The principal ideal generated by  $m$  is

$$(m) = \{rm : r \in \mathbb{Z}\} = \{\dots, -2m, -m, 0, m, 2m, 3m, \dots\} \text{ is an ideal in } \mathbb{Z}.$$

The quotient ring is  $\mathbb{Z}/(m) = \mathbb{Z}_{/m\mathbb{Z}} = \{\text{cosets of } (m) \text{ in } \mathbb{Z}\} = \{(m), 1+(m), 2+(m), \dots, m-1+(m)\}$

$\mathbb{Z}/(p)$  is a field.  $\mathbb{Z}/(m)$  Informally  $\mathbb{Z}_{/m\mathbb{Z}} = \{\bar{0}, \bar{1}, \bar{2}, \dots, \bar{m-1}\}$  or more formally  $\{0, 1, 2, \dots, m-1\}$  is not a prime unless  $m$  is prime.

$\mathbb{Z}/(6) = \{\bar{0}, \bar{1}, \dots, \bar{5}\}$  is not a field.  $2\bar{3} = \bar{6} = \bar{0}$  (zero divisors  $\bar{2}, \bar{3}, \bar{4}$ ; units  $\bar{1}, \bar{5} = -\bar{1}$ ).

$\mathbb{Z}/(6)$  fails to be a field because the ideal  $(6)$  is not maximal; it is contained in  $(2)$  and  $(3)$ .

$$\mathbb{Z}/(2) = \mathbb{F}_2 \text{ and } \mathbb{Z}/(3) = \mathbb{F}_3$$

$$(2) = \{\dots, -4, -2, 0, 2, 4, 6, \dots\}$$

$$(3) = \{\dots, -6, -3, 0, 3, 6, 9, \dots\}$$

$$(6) = \{\dots, -12, -6, 0, 6, 12, 18, \dots\}$$

examples of maximal ideals  
 (not contained in any larger ideals)

The quotient ring  $R/A$  is a field iff the ideal  $A$  is maximal.  
 We use this to construct  $\mathbb{R}, \mathbb{R}^*$  and essentially all other fields.

an ideal which is not maximal (it's contained in larger ideals).

eg.  $\mathbb{Z}[x]$  has many examples of subrings and ideals.

eg.  $(x^2+1) \subset \mathbb{Z}[x] \quad (x^2+1) = \{ h(x)(x^2+1) : h(x) \in \mathbb{Z}[x] \}$

$$\mathbb{Z}[x]/(x^2+1) = \{ a + bx + (x^2+1) : a, b \in \mathbb{Z} \} \cong \{ a + bi : a, b \in \mathbb{Z} \} = \mathbb{Z}[i].$$

"Gaussian integers"

The ideal  $(x^2+1)$  is not maximal.

We have a homomorphism  $\phi: \mathbb{Z}[x] \rightarrow \mathbb{Z}[i]$

which is onto. Its kernel  $f(x) \mapsto f(i)$  (evaluate at  $i$ )

$$\text{is } \ker \phi = (x^2+1).$$

The first isomorphism theorem for rings:  $\mathbb{Z}[x]/(x^2+1) \cong \mathbb{Z}[i]$

$$\begin{array}{ccc} \mathbb{Z}[x] & \xrightarrow{\phi} & \mathbb{Z}[i] \\ \uparrow \text{domain of } \phi & \uparrow \text{kernel of } \phi & \uparrow \text{image of } \phi \\ \end{array}$$

$(3) = \{ 3h(x) : h(x) \in \mathbb{Z}[x] \}$  is also an ideal of  $\mathbb{Z}[x]$ .

$\mathbb{Z}[x]/(3) \cong \mathbb{F}_3[x]$ ,  $\mathbb{F}_3 = \{0, 1, 2\}$   $\mathbb{F}_3[x]$  is a ring but not a field.  $(3)$  is not a maximal ideal.

$$\mathbb{Z}[x]/(3, x^2+1) \cong \mathbb{F}_9 = \{a+bi : a, b \in \mathbb{F}_3\}.$$

is a field

$$\begin{array}{c} \mathbb{Z}[x] \\ | \\ (3, x^2+1) = \{ 3h(x) + (x^2+1)g(x) : h(x), g(x) \in \mathbb{Z}[x] \} \\ \swarrow (3) \quad \searrow (x^2+1) \quad \text{non-maximal ideals} \end{array}$$

Construction of  $\mathbb{R}$  from  $\mathbb{Q}$  (one way)

$\mathbb{Q}^\infty = \{(q_0, q_1, q_2, \dots) : q_i \in \mathbb{Q}\}$  is a ring with coordinatewise addition, multiplication, subtraction  
 $(1, 1, 1, 1, \dots)(q_0, q_1, q_2, \dots) = (q_0, q_1, q_2, q_3, \dots)$  commutative ring with identity

$(1, 0, 1, 0, 1, 0, \dots)(0, 1, 0, 1, 0, 1, \dots) = (0, 0, 0, 0, 0, 0, \dots) = 0$  zero divisors.  $\mathbb{Q}^\infty$  is not a field.

$\mathbb{Z} = \{(z_0, z_1, z_2, \dots) \in \mathbb{Q}^\infty : \text{for all } n \text{ there exists } M \text{ such that } -\frac{1}{n} < z_k < \frac{1}{n} \text{ whenever } k > M\}$ .  
This is a subring  $\mathbb{Z} \subset \mathbb{Q}^\infty$ . i.e.  $z_k \rightarrow 0$  as  $k \rightarrow \infty$ . (The sequences of rationals having  $\lim_{k \rightarrow \infty} z_k = 0$ )

A larger subring  $R \subset \mathbb{Q}^\infty$  is the subring of Cauchy sequences i.e.

$R = \{r = (r_0, r_1, r_2, \dots) \in \mathbb{Q}^\infty \text{ such that for all } n \text{ there exists } M \text{ such that } |r_k - r_l| < \frac{1}{n} \text{ whenever } k, l > M\}$ .

$R \subset \mathbb{Q}^\infty$  is also a subring. (commutative ring with identity)

$\mathbb{Z} \subset R$  is a subring, in fact  $\mathbb{Z}$  is an ideal in  $R$

$$R/\mathbb{Z} \cong R$$

There is a field  $\mathbb{R}^* \supset R$  having infinitesimals and infinite elements.  
extension

In  $\mathbb{R}^*$  there exist elements  $\varepsilon \in \mathbb{R}^*$  such that  $\varepsilon > 0$  but  $\varepsilon < \frac{1}{n}$  for  $n = 1, 2, 3, \dots$

Note:  $\mathbb{Z} \subset \mathbb{Q}^\infty$  is not an ideal in  $\mathbb{Q}^\infty$  e.g.  $(1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \dots) \cdot (1, 2, 3, 4, 5, \dots) = (1, 1, 1, 1, 1, \dots)$

$$\begin{array}{c} \mathbb{Z} \\ \uparrow \\ \mathbb{Q}^\infty \\ \uparrow \\ \mathbb{Z} \end{array}$$

$R^\infty = \{(r_0, r_1, r_2, r_3, \dots) : r_i \in R\}$  commutative ring with identity  $1 = (1, 1, 1, \dots)$

We will construct  $R^* = \frac{R^\infty}{\mathbb{Z}}$  where  $\mathbb{Z} \subset R^\infty$  is a maximal ideal. What max. ideal is this?  
 $= \{(0, *, 0, *, *)\}$

$R^5 = \{(r_0, r_1, r_2, r_3, r_4) : r_i \in R\}$  has 32 ideals e.g.  $J = \{(0, r_1, 0, r_3, r_4) : r_1, r_3, r_4 \in R\}$

$U = \{(r_0, r_1, r_2, r_3, r_4) : r_0 + r_1 + r_2 + r_3 + r_4 = 0\}$  is a subspace of  $R^5$  but not an ideal e.g.

$$(5, 0, 0, 0) \cdot (1, 1, 1, 1, -1) = (5, 0, 0, 0, 0) \notin U$$

$\overbrace{\quad}^{R^5} \quad \overbrace{\quad}^U$

$$R^5 / J \cong R^2$$

$$R^5 / \{(0, *, *, *, *)\} \cong R$$

$$\{(*, *, *, *, *)\} = R^5$$

$$\cancel{\{(*, *, *, *, 0)\}} \dots \cancel{\{(0, *, *, *, *)\}} \quad \text{5 maximal ideals}$$

Nothing new.

$$\begin{array}{c} \{(*, *, 0, 0, 0)\} \\ | \\ \{(*, 0, 0, 0, 0)\} \quad \{(0, *, 0, 0, 0)\} \dots \{(0, 0, 0, 0, *)\} \\ | \\ \{(0, 0, 0, 0, 0)\} \end{array}$$

$\mathbb{R}^\infty = \{(r_0, r_1, r_2, r_3, \dots) : r_i \in \mathbb{R}\}$  comm. ring with identity

$J = (0, 0, 0, 0, \dots) = \{(0, r_1, r_2, r_3, \dots) : r_i \in \mathbb{R}\}$

$\mathbb{R}^\infty / J \cong \mathbb{R}$  (Boring)

$$(1, 0, 1, 0, 1, 0, \dots) \underset{u}{(0, 1, 0, 1, 0, 1, \dots)} \underset{v}{=} (0, 0, 0, 0, 0, \dots)$$

If we pick an ideal  $Z$  containing both  $u$  and  $v$  then  $u+v \in Z$  i.e.  $1 = (1, 1, 1, \dots) \in Z$

but then  $x \cdot 1 = x \in Z$  for all  $x \in \mathbb{R}^\infty$   $\mathbb{R}^\infty / Z = \mathbb{R}^\infty = 0$

Among all ideals in  $\mathbb{R}^\infty$  we must choose  $Z$  as large as possible but  $Z \subset \mathbb{R}^\infty$  (proper subset)  
i.e.  $1 \notin Z$ .  $Z$  has no units (no invertible elements)

$Z$  must contain either  $u$  or  $v$  but not both.

If  $u, v \notin Z$  then pick one, say  $u$ ,  $Z + \underbrace{\mathbb{R}^\infty u}_{\text{multiples of } u} \supset Z$  is "larger" ideal without containing 1.

$\mathbb{R}^* \supset \mathbb{R}$ . Every  $a \in \mathbb{R}$  is identified as  $(a, a, a, a, \dots)$

e.g.  $\epsilon = (1, \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{5}, \dots) \in \mathbb{R}^\infty$  is infinitesimal.

$0 < \epsilon < 0.01$  because

$$(1, 0, 0, 1, 0, 0, 1, 0, 0, \dots) (0, 1, 1, 0, 1, 1, 0, 1, 1, \dots) = (0, 0, 0, 0, \dots)$$

$$e \cdot \underbrace{(1, 2, 3, 4, 5, \dots)}_{(2, 3, 4, 5, 6, \dots)} = (1, 1, 1, 1, \dots) = 1$$

$$(1, 0, 0, 0, \dots) + \underbrace{(0, 1, 1, 1, 1, \dots)}_{Z} = \underbrace{(1, 1, 1, 1, \dots)}_{Z}$$